

# **Report on Carcinogens**

## **Draft Background Document for**

# **Riddelliine**

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U.S. Department of Health and Human Services  
Public Health Services  
National Toxicology Program  
Research Triangle Park, NC 27709

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## FOREWORD

The Report on Carcinogens (RoC) is prepared in response to Section 301 of the Public Health Service Act as amended. The RoC contains a list of identified substances (i) that either are known to be human carcinogens or may reasonably be anticipated to be human carcinogens and (ii) to which a significant number of persons residing in the United States are exposed. The Secretary, Department of Health and Human Services (DHHS), has delegated responsibility for preparation of the RoC to the National Toxicology Program (NTP), which prepares the report with assistance from other Federal health and regulatory agencies and nongovernmental institutions.

Nominations for (1) listing a new substance, (2) reclassifying the listing status for a substance already listed or (3) removing a substance already listed in the RoC are reviewed by a multi-step, scientific review process with multiple opportunities for public comment. The scientific peer-review groups evaluate and make independent recommendations for each substance according to specific RoC listing criteria. This draft Background Document was prepared to assist in the review of riddelliine. The scientific information used to prepare Sections 3 through 5 of this document must come from publicly available, peer-reviewed sources. Information in Sections 1 and 2, including chemical and physical properties, analytical methods, production, use, and occurrence may come from published and/or unpublished sources. The NTP will provide a reference for all published and unpublished sources used in this document. For each study cited in the background document from the peer-reviewed literature, information on funding sources (if available) and the authors' affiliations will be provided in the reference section. Any interpretive conclusions, comments, or statistical calculations made by the authors of this draft document that are not contained in the original citation are identified in brackets [ ]. This draft document will be peer reviewed in a public forum by an *ad hoc* expert panel of scientists from the public and private sectors with relevant expertise and knowledge selected by the NTP in accordance with the Federal Advisory Committee Act and HHS guidelines and regulations. This document will be finalized based on the peer-review recommendations of the expert panel and public comments received for this draft document.

- 1 A detailed description of the RoC review process and a list of all substances under  
2 consideration for listing in or delisting from the RoC can be obtained by accessing the  
3 12<sup>th</sup> RoC at <http://ntp.niehs.nih.gov/go/9732>. The most recent RoC, the 11th Edition  
4 (2004), is available at <http://ntp.niehs.nih.gov/go/19914>.

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## Criteria for Listing Agents, Substances or Mixtures in the Report on Carcinogens

### U.S. Department of Health and Human Services National Toxicology Program

The criteria for listing an agent, substance, mixture, or exposure circumstance in the RoC are as follows:

***Known To Be Human Carcinogen:***

There is sufficient evidence of carcinogenicity from studies in humans<sup>\*</sup>, which indicates a causal relationship between exposure to the agent, substance, or mixture, and human cancer.

***Reasonably Anticipated To Be Human Carcinogen:***

There is limited evidence of carcinogenicity from studies in humans<sup>\*</sup>, which indicates that causal interpretation is credible, but that alternative explanations, such as chance, bias, or confounding factors, could not adequately be excluded,

or

there is sufficient evidence of carcinogenicity from studies in experimental animals, which indicates there is an increased incidence of malignant and/or a combination of malignant and benign tumors (1) in multiple species or at multiple tissue sites, or (2) by multiple routes of exposure, or (3) to an unusual degree with regard to incidence, site, or type of tumor, or age at onset,

or

there is less than sufficient evidence of carcinogenicity in humans or laboratory animals; however, the agent, substance, or mixture belongs to a well-defined, structurally related class of substances whose members are listed in a previous Report on Carcinogens as either known to be a human carcinogen or reasonably anticipated to be a human carcinogen, or there is convincing relevant information that the agent acts through mechanisms indicating it would likely cause cancer in humans.

Conclusions regarding carcinogenicity in humans or experimental animals are based on scientific judgment, with consideration given to all relevant information. Relevant information includes, but is not limited to, dose response, route of exposure, chemical structure, metabolism, pharmacokinetics, sensitive sub-populations, genetic effects, or other data relating to mechanism of action or factors that may be unique to a given substance. For example, there may be substances for which there is evidence of carcinogenicity in laboratory animals, but there are compelling data indicating that the agent acts through mechanisms which do not operate in humans and would therefore not reasonably be anticipated to cause cancer in humans.

<sup>\*</sup> This evidence can include traditional cancer epidemiology studies, data from clinical studies, and/or data derived from the study of tissues or cells from humans exposed to the substance in question that can be useful for evaluating whether a relevant cancer mechanism is operating in people.

# Executive Summary

## Introduction

Riddelliine is a pyrrolizidine alkaloid (PA) of the macrocyclic diester class. PAs are esters of unsaturated basic alcohols (necine bases) and necic acids and have been estimated to be present in approximately 6,000 plant species in 12 families distributed throughout the temperate and tropical regions of the world. Riddelliine was nominated by the National Institute of Environmental Health Sciences for possible listing in the Report on Carcinogens based on the results of a National Toxicology Program bioassay that reported clear evidence of carcinogenic activity in rats and mice.

## Human Exposure

Riddelliine and riddelliine *N*-oxide (a metabolite of riddelliine that can be converted back to riddelliine) occur in plants of the genus *Senecio* that are found in sandy desert areas of the western United States and other parts of the world. At least 15 *Senecio* species have been identified that are used in herbal medicines or possibly as food worldwide. Herbal products containing PAs, including several herbal teas, have been extensively documented as causing toxicity in humans. Two cases of accidental poisoning of infants were reported from the southwestern United States in which *Senecio longilobus*, a species known to contain riddelliine, was accidentally used to prepare an herbal tea known as gordolobo yerba. *Senecio* species containing riddelliine are not generally used as food plants in the United States, but ingestion by humans could result from direct contamination of foodstuffs by parts of *Senecio* plants or from indirect introduction of the alkaloid through products derived from animals that have fed on the plants. Evidence for ingestion of these products comes from reports of toxicity in animals and humans. Cases have been reported from outside the United States of accidental human poisoning from grains and flours contaminated with *Senecio* plant parts. PAs have also been detected in eggs, and honey has been shown to contain either PAs or pollen from PA-containing plants. Experimental studies of cows fed *Senecio* plants have demonstrated that PAs can be transmitted in milk.

## **Human Cancer Studies**

No studies on the relationship between human cancer and exposure to riddelliine were identified.

## **Studies in Experimental Animals**

When administered by gavage, riddelliine caused significantly increased incidences of malignant and benign tumors at multiple tissue sites in B6C3F<sub>1</sub> mice and F344/N rats. In B6C3F<sub>1</sub> mice, exposure to riddelliine caused hemangiosarcomas in the liver in males and alveolar/bronchiolar tumors in females. In F344/N rats, exposure to riddelliine caused hemangiosarcomas in the liver in both sexes. Hepatocellular adenomas and mononuclear-cell leukemia in both sexes of rats were also considered to be treatment related. Liver nodules were observed in a small study in Wistar rats exposed to riddelliine via drinking water followed by intraperitoneal injection(s) of riddelliine. The riddelliine metabolites dehydroretronecine (DHR) and dehydroheliotridine (DHH) caused tumors in rodents exposed by dermal application, subcutaneous injection, or intraperitoneal injection. In addition, ingestion of dried plant materials or extracts containing riddelliine caused liver tumors in rats and chickens.

## **Absorption, Distribution, Metabolism, and Excretion**

Riddelliine and other PAs are absorbed primarily via ingestion (though dermal absorption can occur), distributed to the liver, and excreted in the urine and feces. Riddelliine has three primary metabolic pathways: (1) hydrolysis of the ester group(s) to form the necine base, (2) oxidation of the necine base (of riddelliine) to the corresponding *N*-oxide (which may be reduced to riddelliine), and (3) hydroxylation of the necine base (of riddelliine), followed by dehydration to form the corresponding dehydroriddelliine (pyrrolic) derivative. This pyrrolic derivative is then hydrolyzed to form the racemic (±)-6,7-dihydro-7-hydroxy-1-hydroxymethyl-5*H*-pyrrolizine (DHP), which is a 50/50 mixture of the optically pure DHR and DHH enantiomers. Metabolism of PAs to the reactive pyrrolic ester metabolites in humans and rodents is mainly catalyzed by CYP3A and CPY2B6 isozymes of cytochrome P450. Metabolism of PAs to the corresponding *N*-oxides is catalyzed by both cytochrome P450- and flavin-containing monooxygenase.



## Mechanisms of Genotoxicity and Tumorigenicity

DHP can bind DNA, which may be a key step leading to its genotoxicity and tumorigenicity. A set of eight DHP-derived adduct peaks has been detected in DNA reacted with riddelliine in the presence of rat microsomes. Dose-dependent DHP adduct formation has also been detected in livers of rats and mice exposed to riddelliine. Adduct levels were higher in endothelial cells than in parenchymal cells in rats and were more persistent in endothelial cells than in parenchymal cells in both rats and mice. The kinetic parameters ( $V_{\max}$  and  $K_m$ ) for formation of DHP are comparable in human and rat microsomes, and the same profile of DHP-adduct peaks is also detected. In addition, other PAs have been shown to be metabolized to DHP and to cause liver tumors and, to a lesser extent, tumors of other organs, including the CNS, lung, pancreas, bladder, skin, testes, pituitary, and adrenal gland, in rats.

DNA-adduct formation may play a role in the genotoxicity of riddelliine. Riddelliine induced a higher frequency of mutations in non-neoplastic endothelial cells (but not in parenchymal cells) in the cII gene mutation assay in transgenic Big Blue rats. The predominant mutations observed were G·C to T·A transversions, which are consistent with riddelliine-induced formation of DNA adducts involving G·C base pairs. Riddelliine also induced mutations in a *S. typhimurium* strain (TA100) that detects base-pair substitutions (in the presence of metabolic activation) but not in three other *S. typhimurium* strains that detect frameshift mutations (with or without metabolic activation). In addition to mutations, riddelliine also induced other types of genetic damage in mammalian experimental studies. *In vitro*, riddelliine increased the frequency of sister chromatid exchange and chromosomal aberrations in Chinese hamster ovary cells, cell transformation in BALB/c-3T3 fibroblast cells, and DNA crosslinking, but not DNA strand breaks in bovine kidney epithelial cells. In rats exposed *in vivo*, riddelliine induced S-phase synthesis in hepatocytes and endothelial cells and increased p53 expression in endothelial cells but did not induce micronucleus formation in polychromatic erythrocytes. In mice, riddelliine caused unscheduled hepatocyte DNA synthesis (in females only), but did not induce micronucleus formation. Mutations in the

1 k-*ras* gene and increased p53 gene expression were detected in hemangiosarcomas from  
2 mice treated with riddelliine.

3 Riddelliine metabolites appear to cause damage to endothelial cells, as shown by  
4 karyomegaly and cytomegaly and accumulation of intravascular macrophages in many  
5 organs. Short-term exposure to riddelliine in rats increased apoptosis and S-phase nuclei  
6 in endothelial cells and hepatocytes. Increased levels of p53 protein were detected in  
7 endothelial cells, and vascular endothelial growth factor (VEGF), an endothelial cell-  
8 specific mitogen, was increased in hepatocytes. Development of hemangiosarcoma in the  
9 liver may have resulted from endothelial cell DNA-adduct formation, apoptosis,  
10 proliferation of endothelial cells, and mutations. Increased expression of VEGF protein  
11 also could have contributed by stimulating endothelial cell proliferation.

## Abbreviations

|           |  |
|-----------|--|
| AUC:      | area under the time-concentration curve  |
| b.w.:     | body weight  |
| CHO:      | Chinese hamster ovary  |
| dec:      | decomposes (used to indicate when a substance decomposes at its boiling point or melting point)              |
| DHH:      | dehydroheliotridine, also called <i>R</i> -DHP   |
| DHP:      | racemic mixture of (+/-) 6,7-dihydro-7-hydroxy-1-hydroxymethyl-5 <i>H</i> -pyrrolizine; see also DHH and DHR |
| DHR:      | dehydroretronecine, also called <i>S</i> -DHP  |
| ELISA:    | enzyme-linked immunosorbent assay  |
| GC-MS:    | gas chromatography-mass spectrometry   |
| GFHB:     | German Federal Health Bureau   |
| HPLC:     | high performance liquid chromatography   |
| i.p.:     | intraperitoneal  |
| IARC:     | International Agency for Research on Cancer  |
| LC:       | liquid chromatography  |
| LC-ES/MS: | liquid chromatography-electrospray mass spectrometry   |
| LC-MS:    | liquid chromatography-mass spectrometry  |
| LC-MS-MS: | tandem mass spectrometry   |
| mol wt:   | molecular weight   |
| MS:       | mass spectrometry  |
| NMR:      | nuclear magnetic resonance   |
| NTP:      | National Toxicology Program  |
| PA:       | pyrrolizidine alkaloid   |
| PCE:      | polychromatic erythrocyte  |

|                |  |
|----------------|--|
| ppb:           | parts per billion                                |
| ppm:           | parts per million                                |
| <i>R</i> -DHP: | dehydroheliotridine, also called DHH             |
| RTECS:         | Registry of Toxic Effects of Chemical Substances |
| s.c.:          | subcutaneous                                     |
| SCE:           | sister chromatid exchange                        |
| <i>S</i> -DHP: | dehydroretronecine, also called DHR              |
| s.e.m.:        | standard error of the mean                       |
| SIM:           | selected ion monitoring                          |
| TLC:           | thin layer chromatography                        |
| UDS:           | unscheduled DNA synthesis                        |
| UV:            | ultraviolet                                      |
| VEGF:          | vascular endothelial growth factor               |

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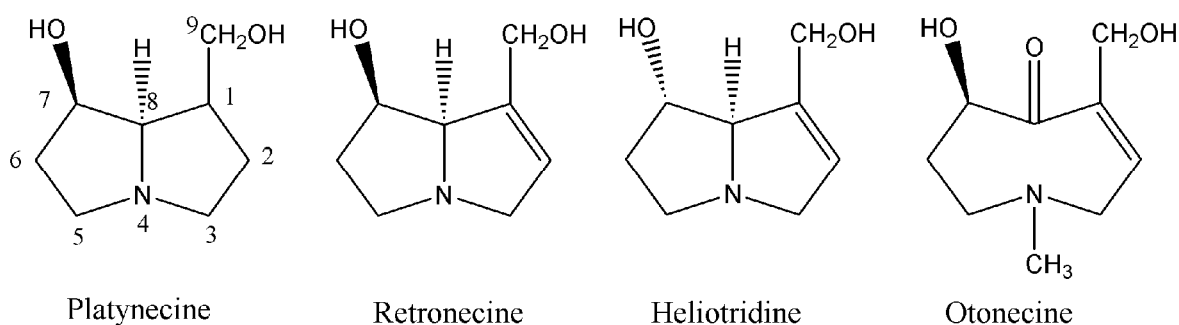
## 1 Introduction

Riddelliine is a pyrrolizidine alkaloid (PA) of the macrocyclic diester class. It occurs naturally in plants (primarily of the genus *Senecio*) that are found in the western United States and other parts of the world. Cattle, horses, and sheep that consume PA-containing *Senecio* species while grazing may succumb to their toxic effects, primarily related to hepatotoxicity. The toxicity is cumulative and may occur over a period of several years. PAs are not known to be toxic *per se* but are oxidized by hepatic enzymes to pyrrolic metabolites, which are the proximate toxins. Riddelliine and other PAs exist in plants as both the free-base alkaloid and the *N*-oxide. The *N*-oxides cannot be oxidized directly to pyrroles but must first be reduced to the free base, a process that often occurs in the digestive tract. PA residues have been found in grains, milk, eggs, and honey, and the plants may contaminate human food sources or be used as dietary supplements or for medicinal purposes. Cases have been reported of accidental human poisoning from grains and flours, and herbal medicines contaminated with *Senecio* plant parts.

Riddelliine was initially nominated by the U.S. Food and Drug Administration for study by the National Toxicology Program in its rodent bioassay program because of riddelliine's potential for human exposure and its economic impact on the livestock industry and because the toxicity of other PAs suggested that riddelliine might be carcinogenic. It was nominated by the National Institute of Environmental Health Sciences for possible listing in the Report on Carcinogens based on the results of a National Toxicology Program bioassay (NTP 2003), which reported clear evidence of carcinogenic activity in male and female F344/N rats and B6C3F<sub>1</sub> mice. The NTP reported that there was clear evidence of carcinogenic activity of riddelliine in F344/N rats based on increased incidences of hemangiosarcoma in the liver. The increased incidences of hepatocellular adenoma and mononuclear cell leukemia in male and female rats also were considered to be treatment related. In addition, the NTP reported that there was clear evidence of carcinogenic activity of riddelliine in male B6C3F<sub>1</sub> mice, based on increased incidences of hemangiosarcoma in the liver, and in female B6C3F<sub>1</sub> mice, based on increased incidences of alveolar/bronchiolar neoplasia.

## 1.1 Chemical identification

PAs are esters of unsaturated basic alcohols (necine bases) and necic acids, and have been estimated to be present in more than 6,000 plant species, i.e., approximately 3% of the world's flowering plants, in 12 families distributed throughout the temperate and tropical regions of the world (Smith and Culvenor 1981, Mattocks 1986). Necic acids are branched-chained mono- or di-carboxylic acids containing four to six carbon atoms and are typically unsaturated, hydroxylated, or epoxidized. The four most common types of necine bases found in PAs are platynecine, retronecine, heliotridine, and otonecine (Figure 1-1). Retronecine and heliotridine are enantiomers and have been studied the most because of their abundance and toxicity.

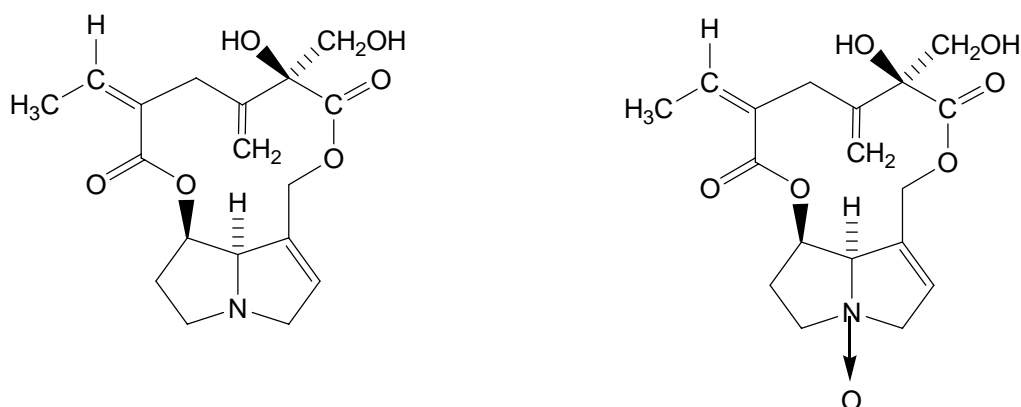


**Figure 1-1. Necine bases of PAs**

Note: The numbering of the carbon atoms in platynecine also applies to the other bases.

Source: Fu *et al.* 2002b, used with permission.

Riddelliine consists of the necine base retronecine which is esterified with riddelliic acid, an oxygenated dicarboxylic acid (see Table 1-3). Retronecine consists of two fused five-member rings with a nitrogen atom at the bridgehead position and a 1,2 double bond. This pyrrolizidine ring system has a hydroxymethyl group at the 1-position and a hydroxyl group at the 7-position, through which the esterifying acid is attached. Riddelliine exists in plants as the free-base alkaloid and as an *N*-oxide; therefore, properties of both forms are presented below. The structures of riddelliine and riddelliine *N*-oxide are shown in Figure 1-2.



**Figure 1-2. Structures of riddelliine (left) and riddelliine N-oxide (right)**

Source: Chou *et al.* 2003a, used with permission.

1 Some PAs are open-chain esters (monoesters and diesters), and some form a macrocyclic  
2 diester. Riddelliine is a macrocyclic diester with a retronecine base (Figure 1-2).  
3 Structural features of PAs associated with hepatotoxicity in rats and mice include (1) a  
4 double bond in the 3-pyrroline ring, (2) one or two hydroxyl groups attached to the  
5 pyrroline ring, (3) one or two ester linkages between the base and necic acid, and (4) the  
6 presence of a branched chain on the acid moiety (Mattocks 1986, Prakash *et al.* 1999).  
7 The specific chemical or metabolic mechanisms linking these structural features with  
8 toxicity of PAs have not all been identified, but it is known that PAs with the platynecine  
9 base, which do not have the double bond between positions C-1 and C-2, are not  
10 hepatotoxic. In addition, Mattocks (1986) proposed that chain branching in the acid  
11 moiety appears to be necessary for the hepatotoxicity of the PAs because branched esters  
12 are more sterically hindered and thus are better able to resist detoxification by ester  
13 hydrolysis. Administration of an esterase inhibitor to animals increases the conversion of  
14 PAs to toxic metabolites in the liver and leads to increased hepatotoxicity. Other  
15 chemical identification information for riddelliine is provided in Table 1-1.

**Table 1-1. Chemical identification of riddelliine**

| Characteristic                | Information  |
|-------------------------------|--|
| Chemical Abstracts index name | 13,19-didehydro-12,18-dihydroxysenecionan-11,16-dione  |
| CAS Registry no.              | 23246-96-0   |
| Molecular formula             | C <sub>18</sub> H <sub>23</sub> NO <sub>6</sub>  |
| Synonyms                      | 13,19-didehydro-12,18-dihydroxysenecionan-11,16-dione<br>3-ethylidine-3Z,4,5,6S,9,11,13,14,14αR,14βR-decahydro-6-hydroxy-6-(hydroxymethyl)-5-methylene[1,6]di-oxacyclododecino[2,3,4- <i>gh</i> ]-pyrrolizine-2,7-dione<br><i>trans</i> -15-ethylidine-12β-hydroxy-12α-hydroxymethyl-13-methylenesenec-1-enine |

Sources: IARC 2002, NTP 2003, ChemIDplus 2007.

## 1.2 Physical-chemical properties

Both riddelliine and riddelliine *N*-oxide are white crystalline solids. Other physical and chemical properties of riddelliine and riddelliine *N*-oxide are summarized in Table 1-2. Riddelliine is optically active, with an optical rotation ( $[\alpha]_D^{25}$ ) of  $-109.5$  (CHCl<sub>3</sub>). Optical rotation of the hydrochloride salt is  $-80.6$  (H<sub>2</sub>O). Peak ultraviolet (UV) absorption ( $\lambda_{\max}$ ) of riddelliine is  $< 220$  nm, as is that of the *N*-oxide. The hydrochloride and methiodide salts are readily soluble in water. The solid is stable at room temperature in diffuse light for several years (R.J. Molyneux, Western Regional Research Center, USDA, Albany, CA; email to Sanford Garner, Constella Group, Durham, NC, December 4, 2006). Alcoholic and aqueous solutions of riddelliine are stable at room temperature when protected from light. Riddelliine readily reacts with oxidizing agents to form dihydropyrrolizine and other derivatives; however, it reacts slowly with atmospheric oxygen. It is readily hydrolyzed in aqueous alkali (IARC 1976). Riddelliine *N*-oxide in solid form is stable at freezer temperature but darkens gradually over a long period when stored at room temperature in the dark.

**Table 1-2. Physical and chemical properties of riddelliine and riddelliine *N*-oxide**

| Property <sup>a</sup>  | Riddelliine   | Riddelliine <i>N</i> -oxide                                      |
|--|---|--|
| Molecular weight   | 349.4   | 365.4  |
| Melting point (°C)<br>HCl salt<br>MeI salt                           | 197–198 dec<br>225–226 dec<br>260–262 dec                                       | 156–158 dec  |
| Boiling point (°C)   | NF  | NF   |
| Density  | NF  | NF   |
| Solubility:<br>water<br>acetone<br>chloroform<br>ethanol<br>methanol | sparingly soluble<br>slightly soluble<br>soluble<br>slightly soluble<br>soluble | soluble<br>insoluble<br>insoluble<br>slightly soluble<br>soluble |
| Octanol-water partition coefficient (log $K_{ow}$ )                  | NF  | NF   |
| Vapor pressure   | NF  | NF   |
| Vapor density  | NF  | NF   |
| Critical temperature   | NF  | NF   |
| Dissociation constant (pK <sub>a</sub> )                             | NF  | NF   |
| Henry's law constant   | NF  | NF   |

Sources: Mattocks 1986, Molyneux *et al.* 1991, Buckingham 2000; R.J. Molyneux, Western Regional Research Center, USDA, Albany, CA email to Sanford Garner, Constella Group, Durham, NC December 4, 2006

dec = decomposes at or below its melting point; NF = not found.

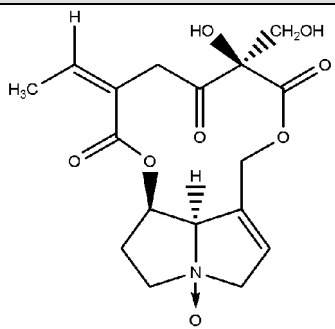
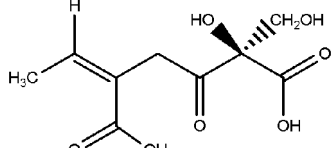
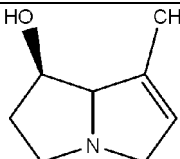
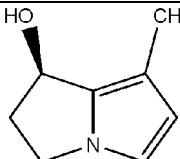
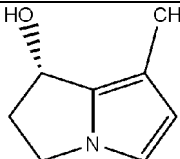
<sup>a</sup>See Glossary for definitions of physical properties.

### 1.3 Metabolites

This section identifies the primary metabolites of riddelliine. A more detailed discussion of the metabolism of riddelliine is provided in Section 5.1.3.

Riddelliine is absorbed from the digestive tract and metabolized in the liver (Williams *et al.* 2002). *In vitro* liver microsomal metabolism of riddelliine generates dehydroretronecine (DHR) and riddelliine *N*-oxide as major metabolites (Yang *et al.* 2001a). Dehydroretronecine (also abbreviated *R*-DHP) is one of two enantiomers of (±)-6,7-dihydro-7-hydroxy-1-hydroxymethyl-5*H*-pyrrolizine (DHP); the other is dehydroheliotridine (DHH, or *S*-DHP). Riddelliine metabolites are shown in Table 1-3.

**Table 1-3. Riddelliine metabolites**

| Metabolite                                      | Molecular weight | Structure   |
|---|------------------|---|
| Riddelliine <i>N</i> -oxide                     | 365              |     |
| Riddelliic acid                                 | 232              |     |
| Retronecine                                     | 155              |    |
| Dehydroretronecine<br>( <i>R</i> -DHP, or DHR)  | 153              |   |
| Dehydroheliotridine<br>( <i>S</i> -DHP, or DHH) | 153              |  |

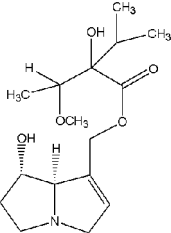
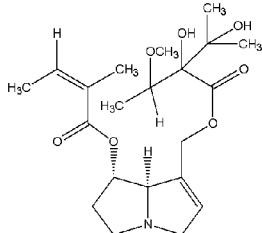
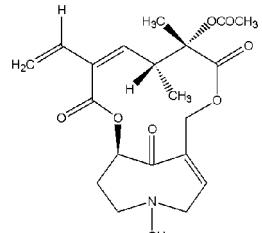
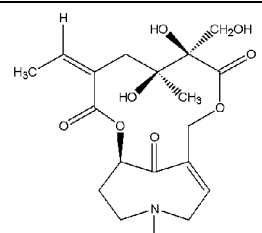
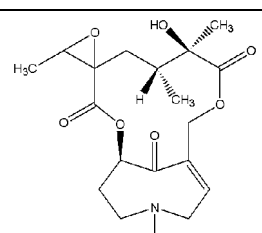
Sources: Fu *et al.* 2002b, Chou *et al.* 2003c.

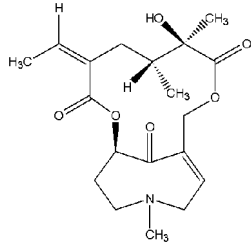
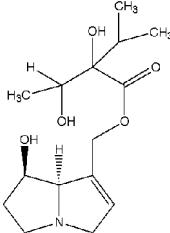
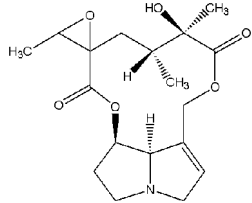
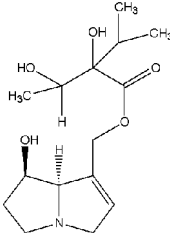
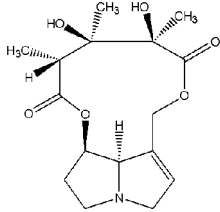
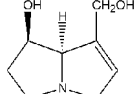
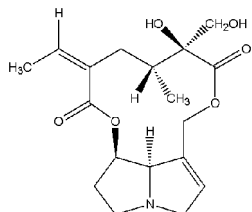
#### 1.4 Riddelliine analogues

PAs number approximately 400, not including the corresponding *N*-oxides. They may be divided into three major categories: monoesters, diesters, and macrocyclic diesters. Riddelliine is a macrocyclic diester. Of the 148 macrocyclic diester alkaloids, the majority have 12-membered rings (Hartmann and Witte 1995). Riddelliine has a structure similar to that of senecionine, seneciphylline, and retrorsine, with which it frequently co-occurs in *Senecio* species. The closely related structures of these alkaloids are shown in Table 1-4. Riddelliine has hundreds of analogues; only those that have induced tumors in rats are listed here. In addition to riddelliine and the retronecine base, these include 14 PAs and one *N*-oxide form, from three plant families. The names of these compounds,

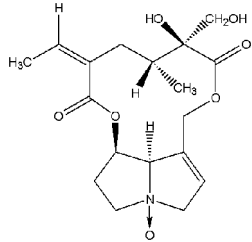
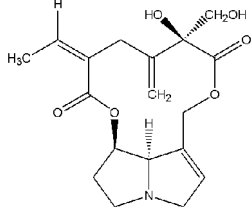
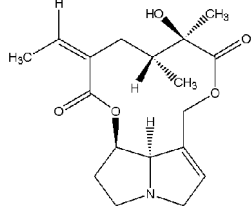
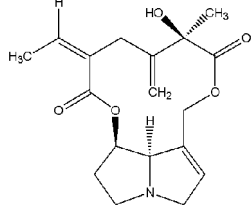
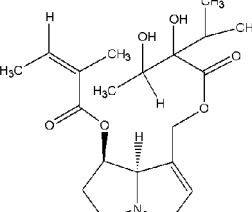
- 1 their chemical structures, plant families, and species are shown in Table 1-4. (See Section  
 2 5.5 and Table 5-9 for additional information about the carcinogenicity of riddelliine  
 3 analogues in experimental animals.)

**Table 1-4. PAs that have caused tumors in rats**

| Base type    | Compound          | Chemical structure  | Plant family               | Species                   |
|--------------|-------------------|---|----------------------------|---------------------------|
| Heliotridine | heliotrine        |    | Boraginaceae               | <i>Heliotropium</i> spp.  |
| Heliotridine | lasiocarpine      |    | Boraginaceae               | <i>Heliotropium</i> spp.  |
| Otonecine    | clivorine         |  | Compositae<br>(Asteraceae) | <i>Lingularia dentata</i> |
| Otonecine    | hydroxysenkirkine |  | Compositae<br>(Asteraceae) | <i>Senecio</i> spp.       |
| Otonecine    | petasitenine      |  | Compositae<br>(Asteraceae) | <i>Senecio</i> spp.       |

| Base type   | Compound      | Chemical structure  | Plant family               | Species                                      |
|-------------|---------------|---|----------------------------|--|
| Otonecine   | senkirkine    |    | Compositae<br>(Asteraceae) | <i>Senecio</i> spp.<br><i>Petasites</i> spp. |
| Retronecine | intermediate  |    | Boraginaceae               | <i>Amsinckia</i> spp.                        |
| Retronecine | jacobine      |    | Compositae<br>(Asteraceae) | <i>Senecio</i> spp.                          |
| Retronecine | lycopsamine   |   | Boraginaceae               | <i>Amsinckia</i> spp.                        |
| Retronecine | monocrotaline |  | Leguminosae<br>(Fabaceae)  | <i>Crotalaria</i> spp.                       |
| Retronecine | retronecine   |  | Leguminosae<br>(Fabaceae)  | <i>Crotalaria</i> spp.                       |
| Retronecine | retrorsine    |  | Compositae<br>(Asteraceae) | <i>Senecio</i> spp.                          |



| Base type   | Compound   | Chemical structure  | Plant family  | Species  |
|-------------|--|---|---|--|
| Retronecine | retrorsine <i>N</i> -oxide<br>(also known as<br>isatidine) |    | Compositae<br>(Asteraceae)<br><br>Leguminosae<br>(Fabaceae) | <i>Senecio</i> spp.<br><br><i>Crotalaria</i> spp.                |
| Retronecine | riddelliine  |    | Compositae<br>(Asteraceae)<br><br>Leguminosae               | <i>Senecio</i> spp.<br><br><i>Crotalaria juncea</i> <sup>b</sup> |
| Retronecine | senecionine <sup>a</sup>                                   |    | Compositae<br>(Asteraceae)                                  | <i>Senecio</i> spp.  |
| Retronecine | seneciophylline  |   | Compositae<br>(Asteraceae)                                  | <i>Senecio</i> spp.  |
| Retronecine | symphytine   |  | Boraginaceae  | <i>Symphytum officinale</i>                                      |

Adapted from Fu *et al.* 2002b.<sup>a</sup>Based on testing of plant extracts that contained senecionine.<sup>b</sup>Based on a single seed sample; see Section 2.3.1.

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## 2 Human Exposure

This section discusses use, production, environmental occurrence, environmental fate, general population exposure, occupational exposure, analytical methods, biological indices of exposure, and regulations and guidelines for riddelliine. Information on other PAs is also included because of the similarities in the chemistry and botanical distribution of riddelliine and other PAs. Thus, evidence for exposure to other PAs illustrates potential routes of exposure that could also occur with plants containing riddelliine.

Riddelliine is a PA that occurs naturally in plants (primarily of the genus *Senecio*) that are found in sandy desert areas of the western United States and other parts of the world. The available information on human exposure to riddelliine and other PAs is based primarily on case reports of liver toxicity associated with ingestion of herbal products and contaminated grains and flours. The diagnosis of PA toxicity is difficult to establish, and additional cases of poisoning by PAs have probably occurred (Huxtable 1980a).

Riddelliine *N*-oxide also is discussed in this section and throughout the document because it can be converted back to riddelliine after ingestion (see Section 5.1 and Figure 5-2).

The quantities of PA *N*-oxides present in plants are highly variable (Fu *et al.* 2002a) but often can be nearly equal to or even greatly exceed the quantities of parent PAs; in some cases, plants may contain only the *N*-oxide form (Mattocks 1986). Of particular concern is that PA *N*-oxides are much more water soluble than the corresponding PAs. When plants containing PAs and PA *N*-oxides are used as herbal tea or herbal medicine (e.g., in Chinese herbal medicine), much more PA *N*-oxide than PA will be extracted and ingested. Consequently, it is important to assess the risk to humans posed by drinking herbal teas (including bush teas, comfrey teas, or herb-derived decoctions) that contain PAs and/or PA *N*-oxides.

### 2.1 Use

Riddelliine and riddelliine *N*-oxide have no known commercial uses and are not available from vendors. However, riddelliine-containing plants have occurred in folk medicines and herbal teas in the United States and other parts of the world (Section 2.3.2). The riddelliine-containing plant *Senecio longilobus* has been used in medicinal herbal

1 preparations in the United States and *S. jacobaea* and *S. vulgaris*, both of which have  
2 been shown to contain riddelliine (Table 2-1), have been reported to be used in medicinal  
3 preparations in other parts of the world (Mattocks 1986).

4 Although riddelliine-containing plants are not used for food in the United States, it has  
5 been reported that two plants of the *Senecio* genus (*S. burchellii* and *S. inaequidens*) have  
6 been used as “spinach” in South Africa. Although riddelliine has been found primarily in  
7 plants of the *Senecio* genus, it has not, however, been confirmed that these plants contain  
8 riddelliine (see Table 2-1).

## 9 **2.2 Production**

10 Riddelliine for experimental purposes has been isolated from *Senecio riddellii*, and  
11 riddelliine *N*-oxide for large animal feeding experiments has been synthesized from  
12 riddelliine by oxidation with hydrogen peroxide in ethanol (Molyneux *et al.* 1991).

13 No data on U.S. production volume, sales, or imports of riddelliine or riddelliine-  
14 containing plants were identified. However, after a case of PA poisoning in Arizona in  
15 which *S. longilobus* was identified as an ingredient in an herbal tea that was consumed by  
16 the patient prior to onset of symptoms (Stillman *et al.* 1977), the distribution of the herb  
17 was traced to a major U.S. importer who also was a major supplier of herbs in the  
18 western United States (Huxtable 1980b). *Senecio*-containing products have been  
19 inadvertently distributed by pharmacies and herb stores and also could be consumed by  
20 people who gather herbs for private use (Fox *et al.* 1978). (See Section 2.3.2 for further  
21 discussion on PA poisonings from herbal products.)

## 22 **2.3 Occurrence and exposure**

23 This section presents information on the environmental fate and transport and the  
24 occurrence of riddelliine and other PAs in plants, herbal products, food, dust, and insects  
25 and the potential for human exposure to these substances. The general population may be  
26 exposed to riddelliine or other PAs by contacting or ingesting plants, herbal products, or  
27 animal products that either naturally contain or have been contaminated with these  
28 chemicals. Information on other PAs is also included because of the similarities in the

chemistry and botanical distribution of riddelliine and other PAs and because of the potential for similar routes of exposure.

The available information on exposure to riddelliine and other PAs is based primarily on case reports of liver toxicity (mostly veno-occlusive disease, which is a blockage of the small veins in the liver resulting in liver damage [see also glossary]) associated with ingestion of herbal products and contaminated foods. Specific information on riddelliine or other individual PAs is often not available because PA exposure assessments of case studies were performed on total PAs, and specific PAs were not assessed (Huxtable 1980b). The assessment of the exposures leading to the PA toxicity is one of the major obstacles in confirming that poisoning with PAs has occurred. Diagnosis of PA poisoning has usually been based on liver symptoms or pathology and analysis of PAs in ingested herbs or foods. Diagnosis can be complicated by the time interval between exposure and disease onset and similarities of clinical symptoms with other diseases. Hence, it is likely that cases of PA poisoning in the United States might have been unreported or misdiagnosed. Numerous pathways for potential exposure exist, and these are discussed in the remainder of this section.

### 2.3.1 Occurrence in plants

Riddelliine has been identified in at least 13 species of the genus *Senecio* (Table 2-1) (Mattocks 1986, Hartmann and Witte 1995) and has been reported to occur in very low yield (< 0.003%) in a single sample of seeds of the legume *Crotalaria juncea* (Adams and Gianturco 1956). However, it was not detected in a second seed sample examined, and other investigators have not reported its presence in *C. juncea* or any other *Crotalaria* species. [PAs in *Crotalaria* generally are of the 11-membered macrocyclic type, in contrast to the 12-membered-ring structure of most *Senecio* alkaloids, and the occurrence of riddelliine in *Crotalaria* therefore appears to be chemotaxonomically unlikely. Furthermore, the fact that riddelliine was isolated in large quantities from *S. riddellii* by Adams *et al.* (1942) and structurally identified during the same time period (Adams and Van Duuren 1953) as the *C. juncea* report suggests that intralaboratory contamination could have occurred. Prakash *et al.* (1985) also reported trace amounts of riddelliine in *C. juncea*, but the experimental procedures described were not consistent

1 with isolation of macrocyclic diester class of alkaloids, and the structure was not  
 2 rigorously confirmed by spectroscopic methods. Further research is needed to establish  
 3 that riddelliine is an authentic constituent of *C. juncea*, and in the absence of  
 4 confirmatory evidence, its presence in *C. juncea* should be regarded with suspicion.]  
 5 Riddelliine co-occurs in most *Senecio* species with its *N*-oxide, the quantity of the latter  
 6 often exceeding that of the free base.

**Table 2-1. Plant species identified as containing riddelliine**

| Species  | Synonym                       | Common name                            |
|--|-------------------------------|--|
| <i>Senecio aegypticus</i>                                    |                               |  |
| <i>Senecio ambrosioides</i>                                  | <i>Senecio brasiliensis</i>   |  |
| <i>Senecio cruentus</i>                                      |                               |  |
| <i>Senecio cymbalarioides</i>                                |                               |  |
| <i>Senecio desfontanei</i>                                   | <i>Senecio coronopifolius</i> |  |
| <i>Senecio douglasii</i> var. <i>longilobus</i> <sup>a</sup> | <i>Senecio longilobus</i>     | woody or threadleaf groundsel          |
| <i>Senecio eremophilus</i>                                   |                               |  |
| <i>Senecio jacobaea</i> (erucifoline chemotype) <sup>a</sup> |                               | tansy ragwort, stinking willie         |
| <i>Senecio riddellii</i> <sup>a</sup>                        |                               | Riddell's ragwort, Riddell's groundsel |
| <i>Senecio spartioides</i> <sup>a</sup>                      |                               | broom groundsel                        |
| <i>Senecio vulgaris</i> <sup>a</sup>                         |                               | common groundsel                       |
| <i>Senecio pseudo-orientalis</i>                             |                               |  |
| <i>Senecio vernalis</i>                                      |                               |  |
| <i>Crotalaria juncea</i>                                     |                               |  |

Sources: Adams and Govindachari 1949, Bull *et al.* 1968, Huxtable 1980b, Mattocks 1986, Sener *et al.* 1986a, Sener *et al.* 1986b, Molyneux *et al.* 1991, Knight and Walter 2003

<sup>a</sup>North American species.

7 The prototypical riddelliine-containing *Senecio*, Riddell's groundsel (*S. riddellii*),  
 8 generally grows in desert areas of western North America, especially in sandy soils. It is  
 9 a low, shrubby plant with bright green, thread-like leaves and intensely yellow composite  
 10 flowers. The plant sprouts in the early spring and dies back to a woody crown in the early  
 11 fall, although sufficient moisture from summer rains may initiate regrowth on the stems.  
 12 The early-season growth and regrowth at periods when little other green leafy material is  
 13 available may make it attractive to grazing animals. This plant was one of the earliest  
 14 *Senecio* species to be identified as poisonous to animals, causing "walking disease" in

horses in Nebraska and adjacent areas of Colorado and Wyoming (see Sections 4 and 5.6). The syndrome was characterized by aimless wandering and cirrhosis of the liver.

Riddelliine and riddelliine *N*-oxide are the predominant alkaloids in *S. riddellii*, occurring in yields of up to 18% of the dry weight of the plant (Molyneux and Johnson 1984); however, alkaloid content may be highly variable, depending on growth stage, environmental conditions, and location (Johnson *et al.* 1985a). It has been calculated that at 18% total PA, as little as 33 g of dry or 176 g of fresh *S. riddellii* consumed per day would be toxic to a 300-kg cow. In other *Senecio* species, riddelliine is frequently accompanied by structurally related alkaloids, such as senecionine, seneciphylline, and retrorsine, and their corresponding *N*-oxides (Molyneux *et al.* 1979), which differ from riddelliine only in the structure of the esterifying moieties (senecic, seneciphyllic, and isatinecic acids, respectively).

PAs and their *N*-oxides have been estimated to be present in approximately 6,000 plant species, i.e., about 3% of all flowering plant species, belonging to disparate genera (Smith and Culvenor 1981). The impetus for their isolation and identification has been primarily the association of specific plants with livestock poisoning. A general review of PA occurrence, metabolism, and toxicity in relation to effects on livestock has been published (Stegelmeier *et al.* 1999). Many plants not occurring in major livestock production areas have not been analyzed for the presence of PAs, so it is likely that riddelliine will be found in additional species, especially in previously unexamined *Senecio* species.

The environmental fate of PAs is not well established. In *Senecio* species, the alkaloids are biosynthesized in the roots and, as the *N*-oxides, translocated in the phloem to the flower structure, where they are preferentially stored (Hartmann *et al.* 1989). After flowering, the PA content of the remaining plant is drastically reduced, presumably because the majority of the alkaloid is dispersed in seeds and flower fragments. Nevertheless, the alkaloid content in the remaining leaves can be appreciable. For example, in *S. riddellii* collected in Oklahoma over a five-year period, the total alkaloid content in the leaves immediately before senescence ranged from 3% to 6% on a dry-

weight basis (Johnson *et al.* 1985a). Hartmann and Witte (1995) concluded that there is no evidence for PA turnover or degradation in living vegetative plant parts. However, in germinating seeds of *Crotalaria*, the alkaloids are rapidly *N*-oxidized and catabolized as a source of nitrogen (Toppel *et al.* 1988).

Plants that do not biosynthesize PAs can acquire them through root parasitism. *Castilleja* species have been shown to assimilate PAs from *Liatris punctata*, *Senecio atratus*, and *S. triangularis* (Stermitz and Harris 1987, Mead *et al.* 1992), and transfer from *S. triangularis* to *Pedicularis* species also has been documented (Schneider and Stermitz 1990). *Castilleja rhexifolia* has been used as a traditional remedy, and PAs may therefore be ingested indirectly via this route.

### 2.3.2 Herbal products

Herbal products containing PAs, some from plants of the genus *Senecio*, have been extensively documented as causing toxicity in humans (Huxtable 1989a). These materials are consumed in many forms, including capsules of ground plant material, tinctures produced by solvent (usually alcohol) extraction, and teas brewed from the dried plant. Herbal products are consumed for a variety of reasons, among them to treat digestive disorders, as a cough suppressant and nasal decongestant, as a sore throat remedy, as general “cure-alls” for everyday aches and pains, and to promote longevity. The inherent variability in alkaloid content of plants, even within a species, due to plant part, maturity, and location, compounded by the different preparation methods, makes alkaloid intake highly variable and estimates problematic. In the United States, these products are essentially unregulated, being classified as natural food products under the Dietary Supplement Health and Education Act of 1994, and no safety standards are imposed. However, the German Federal Health Bureau (GFHB 1992) established regulations restricting levels of PAs in orally consumed herbal products with proven health benefits. Other European countries have imposed similar limits, and it is likely that consistent regulations will be applied throughout the continent in the future (van Engelen *et al.* 1997).

In the United States, two cases of accidental PA poisoning involving ingestion of herbal tea containing *Senecio longilobus* have been reported (Stillman *et al.* 1977, Fox *et al.*



1978) (see Section 5.6). Both cases involved infants who were given a tea known locally in the southwestern United States as “gordolobo yerba.” This tea normally is made from *Gnaphalium macounii* (common names include clammy cudweed and western cudweed) and used as a folk remedy, particularly as a cough suppressant for childhood ailments. However, in these cases, *S. longilobus* was mistaken for *G. macounii* in the collection of the tea ingredients, as the plants resemble one another. *S. longilobus* contains high levels (up to 8.7%) of a mixture of macrocyclic diester alkaloids (Johnson *et al.* 1985a), of which a significant proportion (ca. 20%) is riddelliine (Molyneux *et al.* 1979). One case involved a six-month-old female infant who regularly had been given a hot-water infusion of *S. longilobus* and who subsequently developed veno-occlusive disease which progressed to hepatic fibrosis and cirrhosis (Stillman *et al.* 1977). It was calculated that the child received 70 to 147 mg of total PAs in the two weeks before admission to the hospital (Huxtable 1980b). Based on the proportion measured in other samples of this plant, the riddelliine content of this dose would have been 14 to 28 mg. The other case involved a two-month-old boy who had been given over a four-day period gordolobo yerba which mistakenly contained *S. longilobus*. The herb was found to contain 1.5% by weight of hepatotoxic PAs (specific PAs not provided, but *S. longilobus* has been shown to contain riddelliine) and it was estimated that the infant probably consumed 66 mg of mixed alkaloids over the four-day period. The infant was initially diagnosed with Stage II Reye’s syndrome. However, based on autopsy results, the cause of death was ruled to be PA intoxication.

After the first case of PA poisoning in the United States reported by Stillman (1977) noted above, the distribution for the herbal product that had been linked to the poisoning was traced. Huxtable (1980b) reported that the *S. longilobus*, which had been used in the herbal product, had been collected in Mexico and imported into the United States by a major wholesaler. The importer was also a major supplier of herbs in the western United States. Huxtable noted that the importer stated that *S. longilobus* had been imported and sold by this company for two generations. Other cases of suspected PA poisoning have been reported among Mexican-Americans in Arizona who had ingested herbal teas, including gordolobo yerba, prior to disease onset; however, there was no documentation of whether PAs had been ingested (Huxtable 1980b, 1992).

1 Another closely related species with similar medicinal usage by Hispanic communities in  
2 the southwestern United States and northern Mexico is *Packera candidissima* (sometimes  
3 called *Senecio candidissimus*), which contains 0.76% senecionine-type alkaloids in the  
4 root and 0.36% in the aerial parts (Bah *et al.* 1994).

5 One of the most conspicuous examples of PA poisoning by herbal remedies outside of the  
6 United States is that of “bush teas” in the West Indies and Jamaica. These infusions have  
7 been prepared from various plants, including *Crotalaria fulva*, which contains the 11-  
8 membered macrocyclic diester PA fulvine. These folk remedies have been most  
9 commonly administered for treatment of colds, digestive upsets, and teething pain. In  
10 Jamaica in the 1950s, an epidemic of veno-occlusive disease occurred in children from  
11 ingestion of bush teas (Bras *et al.* 1954). (See Section 5.6 for a discussion on the toxicity  
12 of the teas.) The bush teas were made from leaves of *Crotalaria* or *Senecio* and contained  
13 PAs. A subsequent educational campaign has largely eliminated use of such remedies and  
14 the consequent occurrence of liver disease in children.

15 Another example of an herbal remedy with widespread usage is comfrey (*Symphytum*  
16 *officinale*), which contains monoester PAs. This plant is used primarily in teas, but  
17 capsules containing ground plant material have been marketed, and Russian comfrey (*S.*  
18 *uplandicum*) has been used in a similar manner. Comfrey teas have been used as a  
19 remedy for abdominal pain (Bach *et al.* 1989) and to treat Crohn’s Disease (Weston *et al.*  
20 1987). The overall PA content is considerably lower than generally found in *Senecio*  
21 species, ranging up to 0.2% in leaves and 0.4% in roots (Roitman 1981), and the  
22 monoester-type alkaloids are less acutely toxic than the macrocyclic diester class  
23 (Culvenor *et al.* 1980). Despite the relatively low concentration of PAs, comfrey  
24 preparations have consistently been documented as being responsible for classic veno-  
25 occlusive disease (Ridker *et al.* 1985, Weston *et al.* 1987, Bach *et al.* 1989, McDermott  
26 and Ridker 1990), and comfrey even was found to have killed a young man who had  
27 consumed the leaves as a vegetable (Yeong *et al.* 1990). In some of these cases, it was  
28 possible to calculate an approximate PA intake. For example, a woman diagnosed with  
29 veno-occlusive disease and centrilobular necrosis was found to have ingested an  
30 estimated 15 µg/kg of PAs daily from comfrey tea and comfrey-pepsin capsules over the

preceding four months, for a minimum total PA dose of 85 mg (Ridker *et al.* 1985). The quantity of total PA (free base plus *N*-oxide) in comfrey preparations was determined to be 270 µg/g in samples of leaf capsules and 2,900 µg/g in root capsules (Huxtable 1989a), and a cup of comfrey-root tea, brewed according to package specifications, contained 8.5 mg of total alkaloids (Roitman 1981). In a study analyzing the PA content of comfrey teas, Research Triangle Institute (RTI 2001) identified the PAs symphytine (1.6–8.4 µg/L) and echimidine (1.5–14.5 µg/L) in teas prepared from the leaves of comfrey.

Two studies of poisoning in children in South Africa with hepatic veno-occlusive disease reported the presence of PAs in either the urine of the cases or in the herbal remedies to which they were exposed. Steenkamp *et al.* (2000) confirmed the presence of PAs in the urine of four cases of veno-occlusive disease in children for whom an on-admission urine specimen was available. These 4 cases were part of a total of 20 children identified with veno-occlusive disease thought to be caused by exposure to traditional remedies; however, no on-admission urine samples were available for the other 16 cases. Steenkamp *et al.* noted that the most common genera containing PAs in South Africa are *Senecio* species and *Crotalaria* species. The presence of the PA retrorsine in the traditional herbal remedies administered to two sets of twin infants (a boy and a girl in each set) admitted to a Johannesburg hospital with veno-occlusive liver disease was determined by GC-MS (concentrations not provided) (Conradie *et al.* 2005).

Children appear to be disproportionately exposed to PA-containing herbal preparations. A case of exposure *in utero* has been reported (Roulet *et al.* 1988) where a pregnant woman had consumed coltsfoot (*Tussilago farfara*) daily, and the newborn infant, who died from hepatic veno-occlusive disease, was estimated to have received total PAs at a cumulative transplacental dose of 0.125 mg/kg body weight (b.w.). An 18-month-old child diagnosed with veno-occlusive disease was estimated to have received total PAs (primarily seneciphylline and its *N*-oxide) at a daily dose of 60 µg/kg b.w., through consumption of a tea of *Adenostyles alliariae* daily for 15 months (Sperl *et al.* 1995).

A number of Chinese herbal therapies are made from plants containing PAs (Table 2-2). These plants are used for a variety of medicinal purposes, including treatment of infections and diseases such as bronchitis, asthma, and influenza and treatment of traumatic injuries and abscesses. Senecionine and seneciphylline are the PAs identified in these plants.

**Table 2-2. Chinese herbal plants that contain analogues of riddelliine**

| Plant                           | Chinese name                        | Medicinal purpose                                 | Alkaloid                    |
|---------------------------------|-------------------------------------|---|-----------------------------|
| <i>Gynura segetum</i>           | ju shan qi, tu san chii             | hemoptysis, peripheral blood circulation disorder | senecionine, seneciphylline |
| <i>Senecio argunensis</i>       | yu yie qian li guang, zhan long cao | folk medicine, dysentery                          | senecionine, seneciphylline |
| <i>Senecio chrysanthemoides</i> | chien li kuang, tsang tu san chi    | traumatic injury, breast abscesses                | seneciphylline              |
| <i>Senecio nemorensis</i>       | huana wan                           | enteritis, hepatitis, boils                       | senecionine                 |
| <i>Senecio scandens</i>         | quian li guang, chiu li ming        | oral and pharyngeal infection                     | senecionine, seneciphylline |
| <i>Tussilago farfara</i>        | kuan dong hua, chien hua            | chronic bronchitis, asthma, influenza             | senecionine                 |

Source: Fu *et al.* 2001, Fu *et al.* 2002a.

### 2.3.3 Food

Two plants of the genus *Senecio* (*S. burchellii* and *S. inaequidens*) have been used in South Africa as a leafy vegetable similar to spinach; however, they are purportedly “not popular” (Mattocks 1986) and have not been reported to contain riddelliine. [Because it is unlikely that *Senecio* species known to contain riddelliine are used for food, ingestion by humans is most likely to result from either direct contamination of foodstuffs by parts of *Senecio* plants or from indirect introduction of the alkaloid through products derived from animals that have fed on the plants. Although no studies have specifically examined the occurrence of riddelliine in foodstuffs, the likelihood of its occurrence can be extrapolated from more general studies and reports of PA contamination, especially with respect to *Senecio* species.] The topic has been comprehensively reviewed by Coulombe (2003), who identified 15 *Senecio* species used as either herbal medicines or food in the United States, Jamaica, Germany, Japan, and Africa. The remainder of this section discusses the occurrence of riddelliine and PAs in grains and flours, meat, milk, eggs, and honey and bee pollen.

### Grains and flours

No information specific to riddelliine in grains and flours was found; however, the earliest report of human poisoning due to PAs identified *Senecio ilicifolius* and *S. burchelli* seeds incorporated into bread as being responsible for 80 cases of PA poisoning in South Africa, primarily in children (Willmot and Robertson 1920). The authors called the condition “senecio disease.” Over 30 years later, a similar episode in South Africa was described in which 12 people were poisoned by an unidentified *Senecio* species, and 6 died (Selzer and Parker 1951).

Several large-scale episodes of human poisoning by cereal grains contaminated with seeds of PA-containing plants have been described. Particularly problematic has been contamination by *Heliotropium popovii*, which resulted in 7,800 reported cases of veno-occlusive disease in Afghanistan and 3,906 cases in Tajikistan (Tandon *et al.* 1978, Mayer and Luthy 1993). In these cases, the seeds (of which heliotrine was the preponderant PA) contaminated wheat that was consumed in bread; [baking therefore must not have destroyed the alkaloids]. Seeds of *H. popovii* are similar in size to wheat grains and therefore difficult to remove by screening. In contrast, *Senecio* seeds typically are quite small and lightweight, with a feathery pappus, which should make them easy to remove from heavier grains by winnowing.

### Meat

No information specific to riddelliine in meat was found. Furthermore, the question of occurrence of PAs in meat is inherently complex. The alkaloids are oxidized in the liver to the dehydro (pyrrolic) metabolites, which are extremely reactive and rapidly bind to cellular macromolecules in the liver and red blood cells through thiol groups. It is therefore unlikely that unreacted PAs will be sequestered, and there are no reports of their detection in meat products. However, animal experiments have indicated possible lung involvement (see Sections 4 and 5 for further discussion on lung toxicity), which is difficult to explain if the metabolites are irreversibly bound to liver tissues. Furthermore, chronic and progressive liver damage suggests that these compounds are persistent and may be recycled to cause further damage.

1 The sulfur-bound pyrrolic metabolites can be liberated from tissue samples by cleavage  
2 with silver nitrate and reaction *in situ* with ethanol to form an ethoxy derivative that can  
3 be identified by gas chromatography/mass spectrometry (GC-MS) (Mattocks and Jukes  
4 1990). When this technique was used with rats fed monocrotaline continuously in  
5 drinking water at 20 mg/L, pyrroles were detected in the blood after 12 days and in liver  
6 after 25 days (Mattocks and Jukes 1992). This technique has also been used to establish  
7 exposure of horses and yaks to PAs, by showing the pyrroles to be bound to circulating  
8 hemoglobin and to be present in preserved liver tissue (Seawright *et al.* 1991, Winter *et*  
9 *al.* 1992, Winter *et al.* 1993). GC-MS is able to demonstrate unequivocally that an animal  
10 has previously been exposed to PAs, and since it is effective on dried blood and  
11 preserved liver samples, the samples can be transported or stored for further testing  
12 (Winter *et al.* 1992). Although these experiments demonstrate that the bound metabolites  
13 are remarkably persistent, it has not been determined whether after ingestion, the sulfur-  
14 bound pyrrolic metabolites can be digested to release free pyrroles that are subsequently  
15 absorbed from the GI tract.

#### 16 *Milk*

17 No information specific to riddelliine in milk was found; however, the potential for  
18 humans to be exposed to PAs excreted in milk has been reviewed (Molyneux and James  
19 1990). [Because the free-base alkaloids generally react rapidly and possibly irreversibly  
20 after metabolism in the liver, they are unlikely to be a source of milk contamination. The  
21 corresponding *N*-oxides, however, if not reduced in the gut to the tertiary or free-base  
22 form, are extremely water soluble; also, some of the tertiary alkaloids could be oxidized  
23 in the liver to the *N*-oxides. The *N*-oxides are rapidly excreted in the urine, but in  
24 lactating animals, an appreciable amount is sequestered in the milk.]

25 Lactating cows fed dried *Senecio jacobaea* with an average alkaloid level of 0.16%  
26 (through a rumen cannula) excreted only one of the plant alkaloids (jacoline, a  
27 macrocyclic diester of retronecine) in the milk, at concentrations of 0.94 to 1.67 µg/mL  
28 (Dickinson *et al.* 1976). Their suckling calves were not affected, even though the cows  
29 died of liver damage. In a similar experiment, no histopathologic changes were detected  
30 in calves consuming milk from cows fed chronic lethal doses of *S. jacobaea*, even though

clinical chemistry tests suggested the presence of hepatic lesions in the calves (Johnson 1976). Johnson (1976) also reported that no gross or histopathologic effects were seen in rats following gavage daily for 30 days with milk from cows fed *S. jacobaea*. Goats fed the flowering tops of *S. jacobaea* at 1% of their body weight per day produced milk containing PAs at concentrations of 0.33 to 0.81 ppm (Deinzer *et al.* 1982). In rats fed milk from these goats at a total PA dose of 0.96 mg, swollen centrilobular hepatocytes and biliary hyperplasia were observed, similar to effects seen in rats fed the plant at 0.001% in the diet (Goeger *et al.* 1982). It is noteworthy that all of these experiments were performed with *S. jacobaea*, which contains lower total alkaloid levels and a lesser proportion of the *N*-oxide form than do riddelliine-containing species such as *S. longilobus* and *S. riddellii*.

In an experiment with tritium-labeled senecionine and seneciphylline (produced biosynthetically by growing *S. vulgaris* with radiolabeled precursors), lactating rats fed these compounds excreted 0.08% of the radioactivity in the milk within 3 hours, of which 0.02% was unchanged PAs (Lüthy *et al.* 1983). [The experiment was not performed with the corresponding *N*-oxides, which would be expected to be excreted more efficiently.]

[Although no definitive information on the occurrence of riddelliine in milk is available, the general population is unlikely to be exposed to appreciable levels of riddelliine in milk, because most milk herds are not kept in the arid environments where plants containing the alkaloid are endemic. Furthermore, milk consumed by the general population usually is blended from many sources, with consequent dilution of any alkaloids present. However, individuals potentially could be exposed by consuming milk from a family cow or goat grazing in areas where *S. riddellii* or similar species are common, particularly in view of the exceptionally high alkaloid levels and proportion of *N*-oxides that may be present.] Calculation of potential excretion in milk from a cow grazing *S. riddellii* with a high alkaloid content and 10:1 ratio of *N*-oxide to free base suggests that the milk could contain riddelliine *N*-oxide at concentrations as high as 5 mg/L (Molyneux and James 1990). [Although this form of the alkaloid is not toxic *per se*, it could be reduced to the tertiary or free-base form in the gut of the consumer and thus result in hepatic damage.] Weanling pigs have been shown to be particularly susceptible

1 to the effects of riddelliine (Stegelmeier *et al.* 2003), [and children who are high  
2 consumers of milk from a point source might similarly be at risk.]

### 3 *Eggs*

4 No information specific to riddelliine in eggs was found; however, poisoning of poultry  
5 by contamination of feed with seeds of *Heliotropium* (Pass *et al.* 1979a) has been  
6 reported. Eggs were analyzed in one incident, involving contamination of wheat by *H.*  
7 *europaeum*, and shown to contain a mixture of alkaloids typical of *Heliotropium* at  
8 concentrations of 1.2 to 9.7 µg per egg (Edgar and Smith 2000). However, when Eroksuz  
9 *et al.* (2003) fed groups of 10 laying hens diets containing ground-aerial parts of *S.*  
10 *vernalis* at 0, 0.5%, 2%, and 4% for 210 days, no free PAs were detected in the eggs.

### 11 *Honey and bee pollen*

12 No data on riddelliine levels in honey were found; however, bees gathering pollen and  
13 nectar from PA-containing plants are likely to acquire the alkaloids, especially since the  
14 highest levels have been found to occur in the flowers and seeds (see Section 2.4).  
15 Numerous PA-containing plants, including plants of the genus *Senecio*, in many parts of  
16 the world have been identified as sources of honey for human consumption, primarily by  
17 microscopic pollen analysis but rarely by analysis for the alkaloids (Edgar *et al.* 2002).  
18 Honey samples in Switzerland have been reported to contain PAs at 0.03 to 0.07 µg/g  
19 (Rietjens *et al.* 2005).

20 Bees foraging *S. jacobaea* produced honey containing PAs at concentrations of up to 3.9  
21 µg/g (3.9 ppm) (Deinzer *et al.* 1977). All the PAs present in the plant were detected in the  
22 honey and included seneciphylline, senecionine, jacobine, jaconine, jacoline, and  
23 jacozone. [The reported amounts probably were underestimates, because no corrections  
24 were made for extraction efficiencies.] More recent analysis of honey from *S. jacobaea*  
25 by solid-phase extraction and liquid chromatography- (LC-) MS analysis showed PA  
26 levels of up to 1.48 µg/g (Crews *et al.* 1997). Reported recoveries were 57% to 70%,  
27 indicating actual levels in excess of 2 µg/g, and the profile of PAs in the honey was  
28 characteristic of *S. jacobaea*. However, no PAs were found in samples of honey retailed  
29 in the area.



1 A major source of honey produced in southeastern Australia is *Echium plantagineum*,  
2 known as Paterson's Curse in Victoria and New South Wales and as Salvation Jane in  
3 South Australia (Culvenor *et al.* 1981). Analysis of four honey samples from producers in  
4 New South Wales showed PA levels from 0.27 to 0.87 µg/g, and a fifth sample purchased  
5 from an Adelaide store, labeled "*Echium* honey," had a level of 0.95 µg/g. [The  
6 extraction efficiency for GC-MS analysis was estimated by Culvenor *et al.* to be 60% to  
7 70%, so some of the samples could have contained PAs at levels in excess of 1 µg/g.]  
8 The primary constituent was echimidine, a non-macrocyclic diester, accompanied by  
9 structurally related alkaloids. The non-macrocyclic esters are characteristic of the plant  
10 family *Boraginaceae* which includes the genera *Echium* and *Heliotropium* (Edgar *et al.*  
11 2002).

12 Beales *et al.* (2004) analyzed 63 samples of Australian honey drawn from bulk containers  
13 prior to any processing at the packaging company and from 5 retail samples. The primary  
14 floral sources for the bulk samples were identified by the bee keepers as follows: 13  
15 samples from *E. plantagineum*, 9 samples from *E. plantagineum* mix, 4 samples from  
16 *Heliotropium amplexicaule*, 2 samples from *H. europaeum*, and 35 from floral sources  
17 with no known association with PAs. The 5 retail samples included 3 samples from  
18 blended sources, 1 from *Eucryphia lucida*, and 1 from *E. vulgare*. The concentration of  
19 total PAs in the honey attributed to known PA-producing floral sources ranged from  
20 about 0.033 to 2.2 µg/g. Concentrations of PAs in the honeys attributed to non-PA-  
21 producing plants, or in honeys from unknown sources, ranged from 0.003 to 0.8 µg/g.  
22 The only sample that did not contain detectable amounts of PAs was the retail sample  
23 from *E. lucida*.

24 In addition to honey, bee pollen could be a source of PA exposure. Boppré *et al.* (2005)  
25 reported the presence of PAs in 2 pollen samples from *E. vulgare* collected from plants in  
26 Australia. PA concentrations in the pollen ranged from about 8,000 to 14,000 µg/g, and  
27 the authors suggested that pollen could contribute significantly to the pyrrolizidine  
28 content of honey. Boppré *et al.* also noted that commercial bee pollen used as a food  
29 supplement could contain PAs at unsafe levels.

### 1 *Methods to reduce riddelliine content of foods*

2 Riddelliine decomposes at its melting point of 197°C to 198°C. [Heating of foods above  
3 this temperature might be expected to result in the destruction of riddelliine. However,  
4 the products of thermal decomposition are not known, and in the absence of proof to the  
5 contrary, they cannot be assumed to be innocuous. Similar considerations apply to  
6 riddelliine *N*-oxide, which melts at 156°C to 158°C. Evidence from mass spectrometry  
7 suggests that the latter may initially undergo thermal deoxygenation to yield riddelliine.  
8 Nevertheless, the episodes of veno-occlusive disease resulting from consumption of  
9 bread made from wheat contaminated with PA-containing seeds (see “grains and flours”  
10 above) suggest that heating is not effective as a means of destroying the alkaloids.]

### 11 *2.3.4 Dust*

12 [Detection of PAs in dried, ground plant material indicates that the alkaloids are likely to  
13 be present in flower and leaf fragments or dusts from senescent plant material.  
14 Individuals conducting harvesting operations in fields highly infested with PA-containing  
15 weed species might inhale them directly into the lungs, a target organ (see Table 4-3 and  
16 Section 5.6).]

### 17 *2.3.5 Insects*

18 As discussed above, bees can assimilate PAs and incorporate them into honey (Edgar *et*  
19 *al.* 2002). Phloem-feeding insects also can sequester them and excrete PAs in honeydew.  
20 The specialist aphid *Aphis jacobaeae* has been shown to sequester large amounts of PAs  
21 from its host, *Senecio jacobaea*, as well as from *S. pellucidus* and *S. silvaticus*, at levels  
22 of up to 3.5 mg/g; these PAs were then transferred from the aphid to predatory ladybird  
23 beetles at a level of 4.9 mg/g (Witte *et al.* 1990). Honeydew extracted from green peach  
24 aphids feeding on *S. vulgaris* flower buds contained senecionine, its *N*-oxide, and  
25 hydrolytic products including retronecine (Molyneux *et al.* 1990). Some species of  
26 *Lepidoptera* acquire PAs from plants and in some cases incorporate the PAs into their  
27 eggs, presumably for protection against insect predators (Dussourd *et al.* 1988). PAs that  
28 are not known to occur in plants have been identified in the pupae of *Lepidoptera* and are  
29 believed to result from re-esterification of retronecine of plant origin; these PAs include  
30 callimorphine from *Tyria jacobaeae* and creatonotine from *Creatonotos transiens*.

### 2.3.6 Occupational exposure

[Individuals that may have an increased risk of occupational exposure to PAs include ranchers, farmers, and herbalists. Ranchers or farmers tending livestock, or harvesting hay or crops that are infested with PA-containing plants might contact or inhale dust that contains portions of these plants. In addition, individuals who harvest herbs and prepare herbal remedies have an increased risk of exposure through direct contact and inhalation of dust from the dried preparations. The lungs have been shown to be vulnerable to damage by PAs (Mattocks 1986) (see Table 4-3 and Section 5.6), and direct exposure, rather than secondary exposure following hepatic metabolism, should be a matter of concern.]

## 2.4 Analytical methods

The large number of known, structurally diverse PAs has complicated the development of appropriate techniques, but numerous methods have been reported for their quantitative and qualitative analysis (Roeder 1999). The primary application has been for analysis of plant samples in which the alkaloids are known or suspected to occur.

### 2.4.1 Nuclear magnetic resonance

Nuclear magnetic resonance (NMR) spectroscopy has been used to determine PA content of *Senecio* species and in some cases may provide information regarding relative composition of individual alkaloids (Molyneux *et al.* 1979, Pieters *et al.* 1989). [Such techniques should be directly applicable to foods such as cereal grains or herbal remedies, but their use for analysis of samples with large amounts of potentially interfering substances, such as samples of meat, milk, or honey, would require considerable modification of extraction and sample preparation technique. For example, the presence of organic acids in honey may result in the formation of salts with the basic alkaloids, requiring careful pH adjustment prior to extraction, to liberate all of the alkaloids. Furthermore, most of the current methods are designed for plants in which the alkaloids are natural constituents and therefore present at relatively high levels, whereas the levels in food samples are likely to be several orders of magnitude lower.]

#### 2.4.2 *Thin-layer chromatography*

Thin-layer chromatography is a rapid, low-cost technique for identification of individual PAs, with sensitivity of about 1 µg. PAs most commonly are separated on silica-coated plates, with organic solvent mixtures containing small amounts of ammonia. The *N*-oxides are much less lipophilic than the corresponding tertiary bases, and more-polar solvents are required to develop reasonable retention-factor values. The variety of applicable solvent systems was summarized by Mattocks (1986). PAs can be visualized by spraying with Dragendorff's reagent, which reacts with most classes of alkaloids. A more specific technique is to use Ehrlich's reagent, which reacts with the pyrrolic ring system of dehydropyrrolizidines. The latter can be produced by oxidation of the PAs with a pre-spray of *o*-chloranil; subsequent treatment with Ehrlich's reagent gives intense purple spots (Molyneux and Roitman 1980). The *N*-oxides cannot be converted into dehydropyrrolizidines by *o*-chloranil, because they are at the same oxidation state, but on spraying with acetic anhydride, they undergo a Polonovski rearrangement to give the corresponding pyrrole, which reacts with Ehrlich's reagent in the same way as with the tertiary bases.

#### 2.4.3 *Gas chromatography*

Gas chromatography has been used for the analysis of a wide range of PA structural types, both underivatized and derivatized to improve volatility (Culvenor *et al.* 1981). Via GC-MS, specific individual alkaloids can be identified without the need for specific individual standards. This technique has been used to characterize the PA composition of *Senecio* species (Stelljes *et al.* 1991). Selected-ion monitoring should provide unequivocal identification. Witte *et al.* (1993) established that about 100 underivatized PAs, encompassing diverse structural types, could be identified by retention indices on two different capillary columns in combination with the molecular ion and group-specific fragmentation patterns. An interlaboratory collaboration showed that such data were sufficient to unequivocally identify the individual alkaloids, without the need for a standard for each individual alkaloid. [However, *N*-oxides, because of their extremely polar nature and tendency to undergo on-column thermal deoxygenation, cannot be analyzed by GC without time-consuming prior reduction to the free bases.]

#### 2.4.4 High-performance liquid chromatography

The desirability of analyzing for both free base and *N*-oxide PAs, preferably simultaneously, presents a difficult problem, because of their vastly different physical properties. High-performance liquid chromatography (HPLC) offers the greatest potential to achieve this, even though the two alkaloid forms represent extremes of lipophilicity and hydrophilicity (Brown *et al.* 1994). An ion-pairing technique, which converts all of the alkaloids into ionized forms, has been used for HPLC separation of a number of macrocyclic PA free bases and their corresponding *N*-oxides. [Nevertheless, conventional HPLC methods are severely limited by the alkaloids' lack of a significant chromophore in the UV spectrum, with consequent reduction in sensitivity.] This limit has recently been circumvented by the use of evaporative light scattering detection, which is applicable to both tertiary bases and *N*-oxides, although the limit of detection in plant material (ca. 40 µg) was somewhat higher than desirable (Schaneberg *et al.* 2004). The development of LC-MS systems may provide the solution to such detection problems and, in association with tandem mass spectrometry (LC-MS-MS), should provide high-sensitivity analysis of the alkaloids within a complex matrix without prior clean-up. Preliminary results of HPLC-MS analysis of extracts of honey produced from *Heliotropium europaeum*, *H. amplexicaule*, and *Echium plantagineum* have shown excellent resolution between structurally similar PAs, with unequivocal identification of most of the alkaloids present (Edgar *et al.* 2002).

#### 2.4.5 Immunoassay

Immunoassays should be particularly suited to analysis of PAs in foodstuffs, because they are extremely sensitive, capable of detecting natural compounds in the parts-per-billion range, and less subject to matrix interference than chromatographic methods.

A class-specific enzyme-linked immunosorbent assay (ELISA) of one of the most common necine bases, retronecine, has been described (Roseman *et al.* 1992), and other immunoassays have been reported that are specific for a particular alkaloid or show cross-reactivity to a small group of alkaloids having similar structure, such as the macrocyclic diester type (Bober *et al.* 1989, Roeder and Pflueger 1995, Langer *et al.* 1996, Roseman *et al.* 1996, Zündorf *et al.* 1998). More recently, it has been demonstrated

1 that the problem of detection of both free base and *N*-oxide forms of the same alkaloid  
2 can be overcome, specifically for the case of riddelliine by the generation of polyclonal  
3 antibodies to a riddelliine-protein conjugate, and the potential for use of ELISA to detect  
4 and estimate PAs in plants and feeds has been reviewed (Lee *et al.* 2001, 2003).

## 5 **2.5 Biological indices of exposure**

6 Metabolism of riddelliine and many other PAs *in vivo* or *in vitro* results in formation of  
7 the same eight DHP-derived DNA adduct peaks (as discussed in Section 5.2). In a study  
8 of DNA adducts in the blood of F344 rats, 3 rats per sex per group were given a single  
9 dose of riddelliine by gavage at 10 mg/kg b.w. in 0.1 M phosphate buffer. DNA was  
10 extracted from whole blood, and adduct levels were measured by <sup>32</sup>P-postlabeling at 8,  
11 24, 48, and 168 hours after dosing (Yan *et al.* 2002). After a 24-hour lag, DHP-derived  
12 DNA adducts appeared in the bloodstream, reaching a constant level within 48 to 168  
13 hours post-dosing. During this period, adduct levels were 4-fold higher in female rats  
14 than in males.

15 It has been suggested that formation of the DHP-derived DNA adducts may be a common  
16 pathway for all tumorigenic PAs (Xia *et al.* 2003). These results suggest that DHP-  
17 derived DNA adducts in blood could serve as biomarkers for assessing exposure to  
18 riddelliine and other tumorigenic PAs (Fu *et al.* 2001, Yan *et al.* 2002, Fu *et al.* 2002b).

## 19 **2.6 Regulations and guidelines for the United States**

20 No regulations or guidelines were identified for riddelliine.

## 21 **2.7 Summary**

22 Riddelliine has no known commercial uses and is not available from chemical suppliers.  
23 Riddelliine and riddelliine *N*-oxide occur in plants of the genus *Senecio* found in sandy  
24 desert areas of the western United States. Environmental exposure to riddelliine and other  
25 PAs may occur through use of herbal products, ingestion of contaminated foods, or  
26 contact with plant materials. Two cases of fatal human exposure to riddelliine-containing  
27 plants in an herbal tea have been reported from the southwestern United States. The  
28 potential for exposure through meat or milk from animals that have fed on PA-containing  
29 plants also has been proposed. Numerous methods have been reported for analysis of

- 1 riddelliine, including NMR spectroscopy, GC, and immunoassay. DNA adducts formed
- 2 from DHP may serve as biological indices of exposure to riddelliine. No U.S. regulations
- 3 or guidelines were identified for riddelliine.

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### **3 Human Cancer Studies**

- 1 No studies or case reports on the relationship between exposure to riddelliine and cancer
- 2 in humans were identified.

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## 4 Studies of Cancer in Experimental Animals

As discussed in Sections 1 and 2, riddelliine belongs to a class of chemicals known as PAs, which occur in a wide variety of plants found in the western United States and in temperate and tropical climates throughout the world (see Section 2.3.1 and Table 2-1). Delayed fatal liver toxicity has been reported in cattle, horses, and other livestock that ingested PA-containing plants while grazing on rangelands (Mattocks 1986) or were fed PAs under experimental conditions (Johnson *et al.* 1985b, Molyneux *et al.* 1988, Molyneux *et al.* 1991, IARC 2002) (see also Section 2.3.1 and Section 5.6). Although there have been no reports of cancer in livestock exposed to PAs, no long-term, low-dose studies with these animals were identified. Several studies have investigated the carcinogenicity of riddelliine in experimental animals, and many more have examined the carcinogenicity of various PAs or of plant extracts that contain these chemicals. At least 16 PAs, including one *N*-oxide and three pyrrolic metabolites (retronecine, dehydroretronecine, and dehydroheliotridine) have induced tumors in experimental animals (Fu *et al.* 2002b). The carcinogenicity of these other PAs is discussed in Section 5.5.1.

The carcinogenicity of riddelliine and other PAs has been reviewed (Schoental 1968a, IARC 1976, 1983, WHO 1988, IARC 2002). Schoental and Head (1957) conducted the first carcinogenicity study of riddelliine. However, this study was reviewed by IARC (1976) and considered insufficient for evaluating the carcinogenicity of riddelliine. IARC (1976) did review other PAs and concluded that there was evidence that isatidine, lasiocarpine, monocrotaline, retrorsine, and some plant extracts known to contain PAs were carcinogenic in experimental animals (see Sections 4.5 and 5.5). More recently, IARC (2002) concluded that there was sufficient evidence for the carcinogenicity of riddelliine in experimental animals based on results of an NTP two-year bioassay (see Sections 4.1 and 4.2). It is important to note that the carcinogenic doses of PAs used in experimental animal studies are comparable with the doses in some reported instances of human poisonings, based on estimated intakes expressed as milligrams per kilogram of body weight per day (Culvenor 1983, see Section 5.6).

1 This section reviews the available carcinogenicity studies of riddelliine in mice (Section  
2 4.1) and rats (Section 4.2). Non-neoplastic effects of riddelliine exposure are summarized  
3 in Section 4.3. The carcinogenicity of riddelliine metabolites (Section 4.4) and plant  
4 materials and extracts that likely contained riddelliine also are briefly reviewed (Section  
5 4.5). The carcinogenicity data are summarized in Section 4.6.

6 As noted in Section 2.1, riddelliine is not available from chemical suppliers. The  
7 riddelliine used by the National Toxicology Program (NTP) in the subchronic (Chan *et al.* 1994) and chronic (Chan *et al.* 2003, NTP 2003) toxicity studies was from the same  
8 lot and was obtained from Dr. Russell Molyneux of the United States Department of  
9 Agriculture. The chemical was extracted and purified from *S. riddellii* plants collected  
10 from rangelands in the western United States. Its purity was 92%, with 5% retrorsine and  
11 1.4% seneciophylline. Retrorsine and seneciophylline are both metabolized to DHP, which  
12 is the same DNA adduct-forming molecule to which riddelliine is metabolized (see  
13 Section 5.1.3 and Figure 5-2). Limited studies in animals suggest that liver tumors also  
14 may occur from exposure to retrorsine and seneciophylline (see Section 5.5.1). The only  
15 other animal study reported below was conducted by Schoental and Head (1957) using  
16 crystalline riddelliine that they noted was a gift from Professor Roger Adams who had  
17 established its structure. No other information on the source or purity of this crystalline  
18 riddelliine was reported.  
19

#### 20 **4.1 Carcinogenic effects in mice**

21 The NTP and other researchers have conducted several studies on the carcinogenicity of  
22 riddelliine in mice. Groups of 20 B6C3F<sub>1</sub> mice (6 to 8 weeks old) of each sex were  
23 administered riddelliine in 0.1 M sodium phosphate buffer by gavage five days a week  
24 for 13 weeks at doses of 0, 0.33, 1.0, 3.3, 10, or 25 mg/kg b.w. (Chan *et al.* 1994, NTP  
25 2003). After 13 weeks, 10 mice in each group were sacrificed and examined; 5 of the  
26 remaining animals were sacrificed after a 7-week recovery period, and the other 5 were  
27 sacrificed after a 14-week recovery period. Body-weight gain was inversely related to  
28 dose level and remained depressed in the two highest-dose groups of each sex throughout

1 the 14-week recovery period. Hepatocytomegaly was observed in the high-dose groups  
2 after 13 weeks and persisted through the recovery period in females.

3 Groups of 50 B6C3F<sub>1</sub> mice (5 to 6 weeks old) of each sex were included in a two-year  
4 NTP carcinogenicity study (Chan *et al.* 2003, NTP 2003). Riddelliine was administered  
5 by gavage five days per week for 105 weeks. Because the amount of riddelliine was  
6 limited, unbalanced dose groups were purposely selected to better evaluate dose-response  
7 relationships in male mice and female rats (see Section 4.2). Based on the results of the  
8 subchronic exposure studies, dose levels were 0, 0.1, 0.3, 1.0, and 3.0 mg/kg in male  
9 mice and 0 and 3.0 mg/kg in females. Survival was significantly lower ( $P < 0.001$ ) in the  
10 high-dose groups (3 mg/kg) than in the controls due primarily to hemangiosarcoma in the  
11 liver. Mean body weights in the high-dose groups were lower than in the controls  
12 throughout most of the study, and at the end of the study were 19% lower in males and  
13 33% lower in females. Mean body weight in males in the 1-mg/kg group was 6% lower  
14 than in controls at the end of the study. Neoplastic lesions are summarized in Table 4-1  
15 and non-neoplastic lesions are discussed in Section 4.3. Neoplastic lesions included  
16 significantly increased ( $P < 0.001$ ) liver hemangiosarcoma in males and significantly  
17 increased ( $P < 0.001$ ) alveolar/bronchiolar adenoma or carcinoma combined in females.  
18 Incidences of hepatocellular neoplasia were significantly lower ( $P < 0.001$ ) in some  
19 riddelliine-exposed groups than in the controls, which the NTP suggested could be due to  
20 the ability of PAs to inhibit cell division (Hincks *et al.* 1991). The NTP (2003) concluded  
21 that there was clear evidence of carcinogenic activity of riddelliine in male B6C3F<sub>1</sub> mice  
22 based on increased incidences of hemangiosarcoma in the liver and clear evidence in  
23 female B6C3F<sub>1</sub> mice based on increased incidences of alveolar/bronchiolar neoplasms.

**Table 4-1. Neoplastic lesions observed in B6C3F<sub>1</sub> mice administered riddelliine by gavage for two years**

| Sex    | Dose (mg/kg)       | No. examined (no. surviving to end of study) | Tumor incidence (%) <sup>a</sup> |                        |               |               |                             |               |              |
|--------|--------------------|--|----------------------------------|------------------------|---------------|---------------|-----------------------------|---------------|--------------|
|        |                    |  | Liver                            | Liver (hepatocellular) |               |               | Lung (alveolar/bronchiolar) |               |              |
|        |                    |  | Hemangio-sarcoma                 | Adenoma                | Carcinoma     | Combined      | Adenoma                     | Carcinoma     | Combined     |
| Male   | 0                  | 50 (39)                                      | 2 (4.4)                          | 16 (34.2)              | 23 (47.7)     | 36 (73.4)     | 12 (26.3)                   | 7 (15.2)      | 18 (39.1)    |
|        | 0.1                | 50 (41)                                      | 1 (2.2)                          | 18 (38.8)              | 21 (43.2)     | 39 (80.0)     | 10 (21.7)                   | 8 (17.3)      | 16 (34.7)    |
|        | 0.3                | 50 (40)                                      | 0 (0)                            | 14 (29.0)              | 19 (38.4)     | 33 (66.0)     | 11 (23.1)                   | 6 (12.4)      | 15 (31.1)    |
|        | 1.0                | 50 (38)                                      | 2 (4.4)                          | 5 (10.9)**N            | 20 (42.8)     | 23 (49.2)*N   | 8 (17.5)                    | 1 (2.2)       | 9 (19.7)     |
|        | 3.0                | 50 (20)*** <sup>c</sup>                      | 31 (66.7)***                     | 0 (0)***N              | 3 (7.5)***N   | 3 (7.5)***N   | 12 (28.5)                   | 5 (12.4)      | 17 (39.7)    |
|        | trend <sup>b</sup> |  | $P < 0.001$                      | $P < 0.001$ N          | $P < 0.001$ N | $P < 0.001$ N | $P = 0.356$                 | $P = 0.289$ N | $P = 0.424$  |
| Female | 0                  | 50 (34)                                      | 0 (0)                            | 9 (20.9)               | 8 (19.0)      | 16 (36.9)     | 1 (2.4)                     | 1 (2.3)       | 2 (4.7)      |
|        | 3.0                | 50 (17)*** <sup>c</sup>                      | 1 (2.2)                          | 0 (0)**N               | 0 (0)**N      | 0 (0)***N     | 9 (21.5)**                  | 4 (9.5)       | 13 (30.5)*** |

Sources: Chan *et al.* 2003, NTP 2003.

\*Significantly different ( $P < 0.05$ ) from the control group by the Poly-3 test, which is based on an adjustment for survival to reflect the number of animals at risk of developing the tumor (see Glossary for a more complete definition of the Poly-3 test).

\*\*Significantly different ( $P < 0.01$ ) from the control group by the Poly-3 test.

\*\*\*Significantly different ( $P < 0.001$ ) from the control group by the Poly-3 test (tumor incidences) or life-table pairwise comparison (survival).

<sup>a</sup>Poly-3-estimated neoplasm incidence after adjustment for intercurrent mortality.

<sup>b</sup>Poly-3 test for dose-related trend.

<sup>c</sup>Life-table pairwise comparison (Cox method).

N = lower incidence than in controls (in the Poly-3 test) or inverse dose relationship (in the trend test).

## 4.2 Carcinogenic effects in rats

Groups of 20 F344/N rats (6 to 8 weeks old) of each sex were administered riddelliine in 0.1 M sodium phosphate buffer by gavage five times a week for 13 weeks at a dose of 0, 0.1, 0.33, 1.0, 3.3, or 10 mg/kg b.w. (Chan *et al.* 1994, NTP 2003). After 13 weeks, 10 rats in each group were sacrificed and examined; 5 of the remaining animals were sacrificed after a 7-week recovery period, and the other 5 were sacrificed after a 14-week recovery period. All but 1 of the male rats in the high-dose group died before the end of 13 weeks, and 5 female rats in the high-dose group died during either the first or second recovery period. Dose-related decreases in mean final body weights and body weight gains were observed at 13 weeks, but after the 14-week recovery period, body weights in all exposure groups were similar to those of the controls except for females in the two highest dose groups. Dose-related hepatopathy was observed in both sexes, and hepatocellular adenoma was observed in 2 of 10 female rats at 13 weeks and in 1 of 5 female rats after the 14-week recovery period at 1.0 mg/kg b.w.

Groups of 50 F344/N rats (5 to 6 weeks old) of each sex were administered riddelliine by gavage five days per week for 105 weeks. Based on the results of the subchronic exposure studies, dose levels were 0, 0.01, 0.033, 0.1, 0.33, and 1.0 mg/kg b.w. in females and 0 or 1.0 mg/kg b.w. in males (Chan *et al.* 2003, NTP 2003). Survival was similar to that of controls in all exposure groups except the high-dose groups. All female rats in the 1-mg/kg group died by week 97, and the study of male rats was terminated after 72 weeks, because all but 3 animals in the single dose group had died.

Hemangiosarcoma in the liver was considered the cause of early death of 37/50 males and 32/50 females dosed at 1.0 mg/kg b.w. Mean body weights for both males and females also were lower in the 1.0 mg/kg b.w. dose group compared to controls throughout most of the study. Neoplastic responses included significantly increased incidences of liver hemangiosarcoma, hepatocellular adenoma and mononuclear cell leukemia in both males and females exposed to 1 mg/kg (Table 4-2). In addition, incidences of hepatocellular adenoma and carcinoma combined were significantly increased in the high-dose female group. The adjusted incidences of tumors were calculated using the Poly-3 test, which is based on an adjustment for survival to reflect the number of animals at risk of developing the tumor. Liver hemangiosarcomas are very

1 rare in F344 rats and were not detected in concurrent controls or in 659 historical controls  
2 given the NTP-2000 diet. The liver hemangiosarcomas included both single and multiple  
3 neoplastic masses and metastasized to the lung, lymph nodes, pancreas, and spleen. (See  
4 Section 4.3 for a discussion of non-neoplastic lesions.) NTP (2003) concluded that there  
5 was clear evidence of carcinogenic activity of riddelliine in male and female F344/N rats  
6 based on increased incidences of hemangiosarcoma in the liver. The increased incidences  
7 of hepatocellular adenoma and mononuclear cell leukemia in male and female rats also  
8 were considered to be treatment related.



**Table 4-2. Neoplastic lesions observed in F344/N rats administered riddelliine by gavage for two years**

| Sex    | Dose (mg/kg)       | No. examined (no. surviving to end of study) | Tumor incidence (%) <sup>a</sup> |                        |                     |             |                           |
|--------|--------------------|--|----------------------------------|------------------------|---------------------|-------------|---------------------------|
|        |                    |  | Liver                            | Liver (hepatocellular) |                     |             | All organs                |
|        |                    |  | Hemangiosarcoma                  | Adenoma                | Carcinoma           | Combined    | Mononuclear-cell leukemia |
| Male   | 0                  | 50 (49)                                      | 0 (0)                            | 0 (0)                  | 0 (0)               | NAP         | 2 (4.0)                   |
|        | 1.0                | 50 (3)*** <sup>c</sup>                       | 43 (92.5)***                     | 4 (13.7)*              | 0 (0)               | NAP         | 9 (28.5)**                |
| Female | 0                  | 50 (33)                                      | 0 (0)                            | 1 (2.3)                | 0 (0)               | 1 (2.3)     | 12 (27.0)                 |
|        | 0.01               | 50 (22)                                      | 0 (0)                            | 0 (0)                  | 0 (0)               | 0 (0)       | 8 (18.9)                  |
|        | 0.033              | 50 (28)                                      | 0 (0)                            | 0 (0)                  | 0 (0)               | 0 (0)       | 13 (29.9)                 |
|        | 0.1                | 50 (22)                                      | 0 (0)                            | 0 (0)                  | 0 (0)               | 0 (0)       | 18 (40.3)                 |
|        | 0.33               | 50 (29)                                      | 3 (7.0)                          | 1 (2.4)                | 1 (NR) <sup>d</sup> | 2 (4.8)     | 18 (39.0)                 |
|        | 1.0                | 50 (0)*** <sup>c</sup>                       | 38 (89.7)***                     | 7 (32.3)**             | 1 (NR) <sup>d</sup> | 8 (36.1)*** | 14 (51.6)*                |
|        | trend <sup>b</sup> |  | $P < 0.001$                      | $P < 0.001$            | NR                  | $P < 0.001$ | $P = 0.009$               |

Sources: Chan *et al.* 2003, NTP 2003.

\*Significantly different ( $P < 0.05$ ) from the control group by the Poly-3 test, which is based on an adjustment for survival to reflect the number of animals at risk of developing the tumor (see Glossary for a more complete definition of the Poly-3 test).

\*\*Significantly different ( $P < 0.01$ ) from the control group by the Poly-3 test.

\*\*\*Significantly different ( $P < 0.001$ ) from the control group by the Poly-3 test (tumor incidences) or life-table pairwise comparison (survival).

<sup>a</sup>Poly-3 estimated neoplasm incidence after adjustment for intercurrent mortality.

<sup>b</sup>Poly-3 test for dose-related trend.

<sup>c</sup>Life-table pairwise comparison (Cox method).

<sup>d</sup>Adjusted rate not reported, unadjusted rate = 2.0%.

NAP = not applicable (only adenomas observed); NR = not reported; NS = not significant.

1 Schoental and Head (1957) administered riddelliine in drinking water at a concentration  
2 of 0.02 mg/mL twice weekly for six months to 14 female and 6 male Wistar rats. During  
3 the succeeding six months, rats either continued to receive riddelliine in drinking water or  
4 were administered additional riddelliine by intraperitoneal (i.p.) injections. After one  
5 year, all surviving animals (12 females and 4 males) were injected i.p. with riddelliine at  
6 a dose of 30 mg/kg and maintained without further exposure until their deaths. Control  
7 groups consisted of 8 rats of each sex maintained on the normal diet throughout the  
8 experiment and an additional group of 3 male rats maintained on the normal diet  
9 supplemented with betaine. In the animals that survived for a year, the livers of all 4  
10 males were grossly abnormal, with pale, solid nodules in all lobes; however, no  
11 histopathology was reported for these nodules. The surviving females were less severely  
12 affected than the males; 5 of the 12 had small liver nodules, 1 had a liver sarcoma (arising  
13 from the wall of a tapeworm cyst), and 1 had a mammary fibroadenoma. No liver nodules  
14 occurred in the controls. The authors reported that the lesions produced by riddelliine  
15 were similar to those produced by other PAs. [This early tumorigenicity study suggested  
16 a possible tumorigenic effect by riddelliine, despite its small sample size and  
17 unconventional study design.]

#### 18 **4.3 Non-neoplastic effects in rats and mice**

19 In the NTP (2003) study, riddelliine exposure increased the incidences of many non-  
20 neoplastic lesions, particularly in the liver, kidney, and spleen, in both rats and mice  
21 (Table 4-3) (see Section 5.6 for a discussion of toxicity). Significantly higher incidences  
22 of non-neoplastic lesions in the bone marrow, lung, stomach, and lymph nodes also were  
23 observed in rats. Arterial inflammation was particularly severe in female mice, affecting  
24 the intestines, mesentery, ovary, and uterus, in addition to the kidney and spleen, while  
25 subcutaneous tissue edema was noted in male mice. These results demonstrated that the  
26 selected dose ranges were appropriate and that the lowest doses tested in female rats and  
27 male mice were close to the no-observed-effect levels.

**Table 4-3. Incidences of selected non-neoplastic lesions in F344/N rats and B6C3F<sub>1</sub> mice exposed to riddelliine by gavage for two years**

| Lesions                            | Male rats <sup>a</sup> | Female rats <sup>b</sup>                      | Male mice <sup>c</sup>                | Female mice <sup>d</sup> |
|------------------------------------|------------------------|---|---------------------------------------|--------------------------|
| <b>Liver</b>                       |                        |   |                                       |                          |
| Hepatocyte, cytomegaly             | 0/50, 32/50**          | 0/50, 0/50, 7/50**, 23/50**, 32/50**, 29/50** | 4/50, 4/50, 16/50**, 33/50**, 43/50** | 0/49, 49/50**            |
| Hepatocyte, karyomegaly            |                        |   | 4/50, 4/50, 15/50**, 33/50**, 43/50** | 0/49, 49/50**            |
| Necrosis, focal                    | 0/50, 23/50**          | 4/50, 2/50, 3/50, 4/50, 4/50, 15/50**         | 18/50, 9/50*, 5/50**, 6/50**, 21/50   |                          |
| Eosinophilic focus                 | 3/50, 15/50**          | 1/50, 2/50, 6/50, 4/50, 12/50**, 13/50**      |                                       |                          |
| Mixed-cell focus                   | 3/50, 7/50*            | 8/50, 10/50, 10/50, 11/50, 23/50**, 5/50      |                                       |                          |
| Clear-cell focus                   |                        | 9/50, 8/50, 9/50, 13/50, 22/50**, 2/50        |                                       |                          |
| Bile duct, hyperplasia             |                        | 2/50, 1/50, 4/50, 4/50, 3/50, 10/50**         | 2/50, 0/50, 1/50, 3/50, 6/50          | 0/49, 28/50**            |
| Hemorrhage                         | 0/50, 4/50*            | 0/50, 0/50, 2/50, 0/50, 1/50, 7/50**          |                                       |                          |
| Hepatocyte, centrilobular necrosis |                        | 0/50, 7/50**                                  |                                       |                          |
| Hepatocyte, centrilobular necrosis |                        |   | 0/50, 1/50, 3/50, 4/50, 10/50**       |                          |
| Hemorrhage, focal                  |                        |   | 0/50, 2/50, 1/50, 6/50*, 21/50**      |                          |
| Hyperplasia, regenerative          | 0/50, 49/50**          | 0/50, 0/50, 0/50, 0/50, 8/50**, 50/50**       |                                       |                          |
| Infiltration, mixed cell           |                        |   |                                       | 29/49, 41/50**           |
| <b>Kidney</b>                      |                        |   |                                       |                          |
| Nephropathy                        | 0/50, 6/50**           | 0/50, 0/50, 0/50, 1/50, 1/50, 6/50**          | 46/49, 48/49, 48/50, 50/50, 50/50     | 18/49, 47/50**           |
| Glomerulus, glomerulosclerosis     |                        |   | 0/49, 1/49, 0/50, 42/50**, 41/50**    | 0/49, 40/50**            |
| Renal tubule, hyaline droplet      |                        |   | 0/49, 2/49, 1/50, 1/50, 3/50          | 2/49, 14/50**            |
| Renal tubule, karyomegaly          |                        |   | 0/49, 0/49, 0/50, 0/50, 12/50**       | 0/49, 1/50               |
| Renal tubule, dilatation           |                        |   | 16/49, 17/49, 24/50, 29/50**, 22/50   |                          |
| Renal tubule, pigmentation         |                        |   |                                       | 2/49, 27/50**            |
| Artery inflammation                |                        |   |                                       | 1/49, 16/50**            |

| Lesions                             | Male rats <sup>a</sup> | Female rats <sup>b</sup>                    | Male mice <sup>c</sup>              | Female mice <sup>d</sup> |
|-------------------------------------|------------------------|---|-------------------------------------|--------------------------|
| <b>Spleen</b>                       |                        |   |                                     |                          |
| Congestion                          | 0/50, 24/49**          | 0/50, 0/50, 0/50, 1/50, 3/50, 7/50**        |                                     |                          |
| Hematopoietic cell proliferation    | 1/50, 23/49**          | 24/50, 33/50*, 25/50, 26/50, 27/50, 34/50** | 18/49, 16/49, 19/50, 20/50, 33/50** | 32/49, 43/50*            |
| Artery inflammation                 |                        |   |                                     | 0/49, 6/50*              |
| <b>Other</b>                        |                        |   |                                     |                          |
| Bone marrow hyperplasia             | 1/50, 36/49**          | 6/50, 3/50, 8/50, 7/50, 10/50, 32/50**      |                                     |                          |
| Lung hemorrhage                     | 1/50, 21/50**          | 4/50, 7/50, 1/50, 3/50, 5/50, 19/50**       |                                     |                          |
| Stomach erosion                     | 0/50, 10/50**          | 0/50, 0/50, 0/50, 2/49, 1/49, 9/50**        |                                     |                          |
| Lymph node, mediastinal, hemorrhage | 3/50, 20/50**          | 5/50, 8/50, 9/50, 5/50, 7/50, 25/50**       |                                     |                          |

Sources: Chan *et al.* 2003, NTP 2003.

<sup>a</sup>For male rats, doses = control and 1.0 mg/kg b.w.

<sup>b</sup>For female rats, doses = control, 0.01, 0.033, 0.1, 0.33, and 1.0 mg/kg b.w.

<sup>c</sup>For male mice, doses = control, 0.1, 0.3, 1.0, and 3.0 mg/kg b.w.

<sup>d</sup>For female mice, doses = control and 3.0 mg/kg b.w.

\*Significantly different from the control group ( $P \leq 0.05$ ) by Poly-3 test, which is based on an adjustment for survival to reflect the number of animals at risk of developing the lesion (see Glossary for a more complete definition of the Poly-3 test).

\*\*Significantly different from the control group ( $P \leq 0.01$ ) by Poly-3 test.

#### 1 4.4 Metabolites

2 Riddelliine and many other hepatotoxic PAs share in common the reactive metabolite  
3 DHP (see Sections 1 and 5). DHP is a racemic mixture of the enantiomers DHR and  
4 DHH. Both enantiomers have been reported to cause cancer in rats (Allen *et al.* 1975,  
5 Peterson *et al.* 1983), and DHR also caused skin tumors in mice (Shumaker *et al.* 1976,  
6 Johnson *et al.* 1978, Mattocks and Cabral 1982). Results from these studies are  
7 summarized below.

8 Retronecine is a hydrolysis product of riddelliine and can be detected in the serum of  
9 male and female rats and mice exposed to riddelliine (Williams *et al.* 2002). A tumor of  
10 the spinal cord was observed in one out of ten newborn rats injected subcutaneously with  
11 retronecine (Schoental and Cavanagh 1972). However, no control group was included in  
12 this study, and this is the only study that has reported central nervous system tumors after  
13 administration of riddelliine, its metabolites, or other PAs.

#### 4.4.1 Mice

Johnson *et al.* (1978) exposed groups of 8-wk-old female Swiss mice to DHR (20 mg/kg b.w.) by subcutaneous (s.c.) injection (8 mg/mL in 0.1 M phosphate buffer), topical application (4 mg/mL in acetone), or both. Group 1 (25 mice) received 0.2 mL topical applications, group 2 (25 mice) received 0.1 mL s.c. injections, and group 3 (75 mice) received both s.c. injections and topical applications. The control group (15 mice) received s.c. injections of 0.1 mL of 0.1 M phosphate buffer (pH 7) and topical applications of 0.2 mL of acetone. All mice were administered DHR once per week for the first four weeks; after six months, all animals without tumors were administered DHR weekly for two more weeks. Of the mice exposed to DHR, 68% (63 of 92) developed tumors at the application or injection site. Most were skin tumors (basal-cell or squamous-cell carcinoma). Twelve of the mice developed skin tumors that metastasized to the lung, liver, or spleen. Of 11 mice in the control group, 1 developed a pulmonary adenoma.

A solution containing DHR at a concentration of 7.65 mg/mL in acetone was applied (0.1 mL of DHR solution per mouse per application) to the backs of 21 female LACA mice weekly for up to 47 weeks (Mattocks and Cabral 1982). Controls received applications of acetone. All surviving mice were killed at 102 weeks and examined for skin tumors. The incidence of malignant skin tumors (histological type not reported) was significantly higher ( $P < 0.02$ ) in exposed mice (5 of 20) than in the controls (0 of 19).

#### 4.4.2 Rats

A group of 75 male Sprague-Dawley rats received biweekly s.c. injections of DHR at 20 mg/kg b.w. for four months, followed by biweekly s.c. injections at 10 mg/kg b.w. for another eight months (Allen *et al.* 1975, Shumaker *et al.* 1976). The control group (50 rats) received biweekly injections of 0.1 M phosphate buffer at pH 7. After four months, a partial hepatectomy was performed on 15 animals in the exposed group and 5 in the control group to investigate the effect of DHR on hepatic mitosis and to evaluate tissue changes resulting from exposure to DHR. DHR-exposed rats with partial hepatectomies had a decreased mitotic index ( $11.99 \pm 6.6$ , mean  $\pm$  S.D.) compared to control rats ( $61.7 \pm 8.7$ ), which was described by that authors as a “decided inhibition,” although no

1 statistical analysis was provided. The remaining animals were maintained for up to an  
2 additional 10 months and were sacrificed when they became moribund. Survival in the  
3 exposed and control groups was similar. After four months, body weights were lower in  
4 the DHR-exposed group, but there were no signs of illness. The dose was reduced, and by  
5 the 12th month, body weights were essentially the same in both groups.

6 Rhabdomyosarcomas developed at the injection site in 31 of 60 DHR-exposed rats and in  
7 none of the controls, and rhabdomyosarcomas with metastases (sites not reported) were  
8 observed in 5 rats.

9 Four groups of 24 male hooded rats received i.p. injections of DHH and/or thioacetamide  
10 (a mitotic stimulator) over a 32-week period, beginning at 10 weeks of age, and were  
11 maintained for up to 104 weeks after the first injection (Peterson *et al.* 1983). Rats in  
12 group 1 received weekly injections of thioacetamide at 60 mg/kg b.w.; group 2 received  
13 an initial injection of DHH at 76.5 mg/kg, a second dose at 65 mg/kg four weeks later,  
14 and subsequent doses at 60 mg/kg every four weeks; group 3 received both thioacetamide  
15 and DHH (at the same doses and on the same schedule as in groups 1 and 2, with the first  
16 thioacetamide injection given one week after the first DHH injection); and group 4  
17 received i.p. injections of saline solution. After the eighth week, body weight was lower  
18 in the DHH-treated group than in the controls. In the control and thioacetamide groups,  
19 10 rats per group died at 90 to 113 weeks of age [study weeks 80 to 103], and 18 rats died  
20 in each of the DHH groups at 33 to 106 weeks of age [study weeks 23 to 96]. Mortality  
21 was significantly higher in the DHH-exposed groups than in the control group. Kidney  
22 and liver damage and polyarteritis were the most common causes of early deaths. Interim  
23 sacrifices were conducted at 10, 21, and 31 weeks after the first injection (2 animals per  
24 group), 82 weeks (5 animals each from groups 1 and 4), and 104 weeks (3 animals each  
25 from groups 1 and 4). No neoplasias other than age-associated spontaneous testicular  
26 tumors were observed in the controls. While the authors noted that the complete absence  
27 of other tumors in the control group could be considered unexpected, they did not have  
28 reliable historical data on tumor incidence rates for rats at these ages. Seven tumors (2  
29 bronchiogenic adenocarcinomas, 2 liver hepatomas, 1 liver cystic cholangioma, 1  
30 adrenal pheochromocytoma, and 1 subcutaneous fibroma) occurred in 6 rats in the group  
31 exposed to thioacetamide alone. There were 11 tumors in 6 rats in the DHH-exposed

group. These included tumors of the abdomen or abdominal wall (leiomyofibrosarcoma and fibrosarcoma), thorax and lung (bronchiogenic adenocarcinomas), pancreas (Islet cell carcinoma), adrenal gland (pheochromocytoma), liver (cystic cholangioma), forebrain (glioma), and gastrointestinal tract (adenocarcinoma or carcinoma). The group exposed to both thioacetamide and DHH had 6 tumors in 4 rats, including 2 liver hepatomas, one liver carcinoma, 1 osteogenic sarcoma of the hind leg, and 1 pheochromocytoma. The total tumor incidence was significantly higher ( $P < 0.02$ ) in all DHH- and/or thioacetamide-exposed groups combined than in the controls, but there were no significant differences among the exposed groups.

#### 4.5 Plant materials and extracts

Dried plant materials (such as leaves, roots, flowers, and seeds) or extracts from plant materials containing PAs have caused tumors when administered to rats or chickens. In many cases, the PA content of these materials and extracts was not described. Although none of the studies reviewed below specifically identified riddelliine as a constituent of these plant materials or extracts, it may reasonably be assumed that certain plants probably contained some riddelliine, along with other PAs. Riddelliine has been detected in at least 13 plant species (see Section 2). Molyneux *et al.* (1988) reported that *S. riddellii* (Riddell's groundsel), *S. longilobus* (threadleaf groundsel), *S. jacobaea* (tansy ragwort), and *S. vulgaris* (common groundsel) were responsible for most livestock PA poisonings in the western United States. The riddelliine content of these plants varies, but is highest in *S. riddellii* ( $\geq 96\%$  of total PAs) and *S. longilobus* (8% to 21% of total PAs) (Molyneux *et al.* 1979). Relatively small amounts of riddelliine occur in *S. vulgaris* (3% of total PAs). *S. jacobaea* contains at least 8 PAs, including riddelliine, but the amounts were not reported (Molyneux *et al.* 1979, Molyneux *et al.* 1991). Three studies were identified in which rats or chickens were exposed to *S. jacobaea* (Cook *et al.* 1950, Schoental *et al.* 1954, Campbell 1956) and one study in which rats were exposed to *S. longilobus* (Harris and Chen 1970). Several types of liver tumors were reported in rats and chickens given solutions of PAs extracted from *S. jacobaea* and in rats and chickens fed diets containing dried and milled plant material containing PAs. Angiosarcoma (hemangiosarcoma) was reported for Harlan rats. Results are summarized in Table 4-4.

**Table 4-4. Neoplastic lesions observed in experimental animals exposed to plant materials and extracts from *Senecio jacobaea* or *S. longilobus***

| Reference                    | Plant species        | Animal (N)            | Exposure (duration)   | Results  |
|------------------------------|----------------------|-----------------------|---|--|
| Cook <i>et al.</i> 1950      | <i>S. jacobaea</i>   | albino rat (11)       | 0.1 mg/mL, reduced due to toxicity to 0.05 mg/mL in drinking water (daily up to 11 mo)  | hepatoma or cholangioma in 3 rats surviving $\geq$ 8 months (sex not specified)  |
| Schoental <i>et al.</i> 1954 | <i>S. jacobaea</i>   | Wistar rat (25)       | solution containing 0.05 mg/mL, reduced to 0.03 mg/mL 3 days/wk<br>Exposure route not reported, but most likely in drinking water. (daily for life) | hepatoma in 2 male rats  |
| Campbell 1956                | <i>S. jacobaea</i>   | chicken (21)          | diet containing 1 mg/day, reduced to 0.5 mg/day (daily for 14 wk)   | liver tumors in 3 males (hepatoma) and 1 female (liver-cell and bile-duct carcinoma)   |
| Harris and Chen 1970         | <i>S. longilobus</i> | Harlan rat (40 to 50) | 0.5% to 0.75% in diet (daily and intermittent for up to 1 yr)   | hepatocarcinoma in 4/23 (3 males and 1 female) and 16/47 (13 males and 3 females); angiosarcoma (hemangiosarcoma) in 1 male in intermittent exposure groups surviving > 200 days |

#### 4.6 Summary

The carcinogenicity of riddelliine was investigated in B6C3F<sub>1</sub> mice and F344/N rats (administered by gavage for two years) and in Wistar rats (administered in drinking water for one year). There was clear evidence of carcinogenic activity in B6C3F<sub>1</sub> mice (hemangiosarcoma in the liver in males and alveolar/bronchiolar adenoma or carcinoma in females) and F344/N rats (hemangiosarcoma in the liver in males and females). Hepatocellular adenoma and mononuclear-cell leukemia also were significantly increased in incidence in both sexes of F344/N rats and were considered treatment related. The tumor locations and types associated with riddelliine are summarized in Table 4-5.

The mechanism of carcinogenic action of riddelliine may involve the formation of its metabolites (see Sections 5.1 and 5.5). The riddelliine metabolite DHR was tested for carcinogenicity in female mice following skin application and in male rats exposed by s.c. injection. DHH, another metabolite of riddelliine and an enantiomer of DHR, was tested for carcinogenicity in male rats by i.p. injection. DHR caused malignant skin



- 1 tumors in mice and local rhabdomyosarcomas in rats. Male rats exposed to DHH by i.p.
- 2 injection developed a variety of malignant tumors.
- 3 Four studies of the carcinogenicity of plant species known to contain riddelliine were
- 4 reviewed, three in rats and one in chickens. Liver tumors were reported in all four studies.

**Table 4-5. Summary of neoplastic responses in mice and rats exposed to riddelliine**

| Tumor location | Tumor type   | Riddelliine |      |
|----------------|--|-------------|------|
|                |  | Mice        | Rats |
| Liver          | hemangiosarcoma                                    | ✓ <b>m</b>  | ✓    |
|                | hepatocellular adenoma                             |             | ✓    |
| Lung           | alveolar/bronchiolar adenoma or carcinoma combined | ✓ <b>f</b>  |      |
| Hematopoietic  | mononuclear-cell leukemia                          |             | ✓    |

✓ = Reported for both sexes. ✓**m** = Reported for males only. ✓**f** = Reported for females only.

Source: Chan *et al.* 2003, NTP 2003.

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## 5 Other Relevant Data

This section discusses the relevant mechanistic and other information needed to understand the toxicity and potential carcinogenicity of riddelliine. It includes information on (1) absorption, distribution, excretion, and metabolism, (2) DNA adduct formation, (3) mechanistic data, (4) genotoxicity studies, (5) comparative metabolism, carcinogenicity and mutagenicity of riddelliine metabolites and other PAs, (6) a brief review of toxicity, and (7) a summary.

### 5.1 Absorption, distribution, metabolism, and excretion

#### 5.1.1 Absorption

Riddelliine and other PAs have been reported to be absorbed via oral ingestion and dermal exposure. Feeding experiments with domestic farm animals indicated that both riddelliine and riddelliine *N*-oxide are absorbed via the gastrointestinal tract (IARC 2002). Dermal absorption of PAs has been shown to result in less bioaccumulation than oral absorption. In a study comparing urinary excretion following dermal versus oral administration of a crude mixture of PA *N*-oxides, free alkaloids, and metabolites, Brauchli *et al.* (1982) reported that the percutaneous absorption of PA *N*-oxides was less than the gastrointestinal absorption by a factor of 20 to 50 when the excretion of *N*-oxides and metabolites in the urine was considered. However, it is possible that skin or scalp absorption of PAs could be increased by the presence of inflammation or lesions (Chojkier 2003). The possibility of absorption of PAs following inhalation exposure to plant dusts or fragments also has been proposed.

#### 5.1.2 Distribution

Riddelliine fed to animals, particularly rats, is distributed to the liver, where pyrrolic metabolites are formed (Mattocks and White 1971). In pigs fed riddelliine, Schoch *et al.* (2000) detected pyrrolic metabolites in the blood and liver one day after exposure. Disposition studies have been reported for many riddelliine analogues, including monocrotaline, lasiocarpine, senecionine, seneciphylline, and retrorsine (Mattocks 1986, NTP 1993). Most of the PAs are distributed to the liver and kidneys; much smaller amounts have been detected in the lungs and spleen. In a study of a mixture of senecionine and seneciphylline in lactating rats, the highest tissue levels were found in

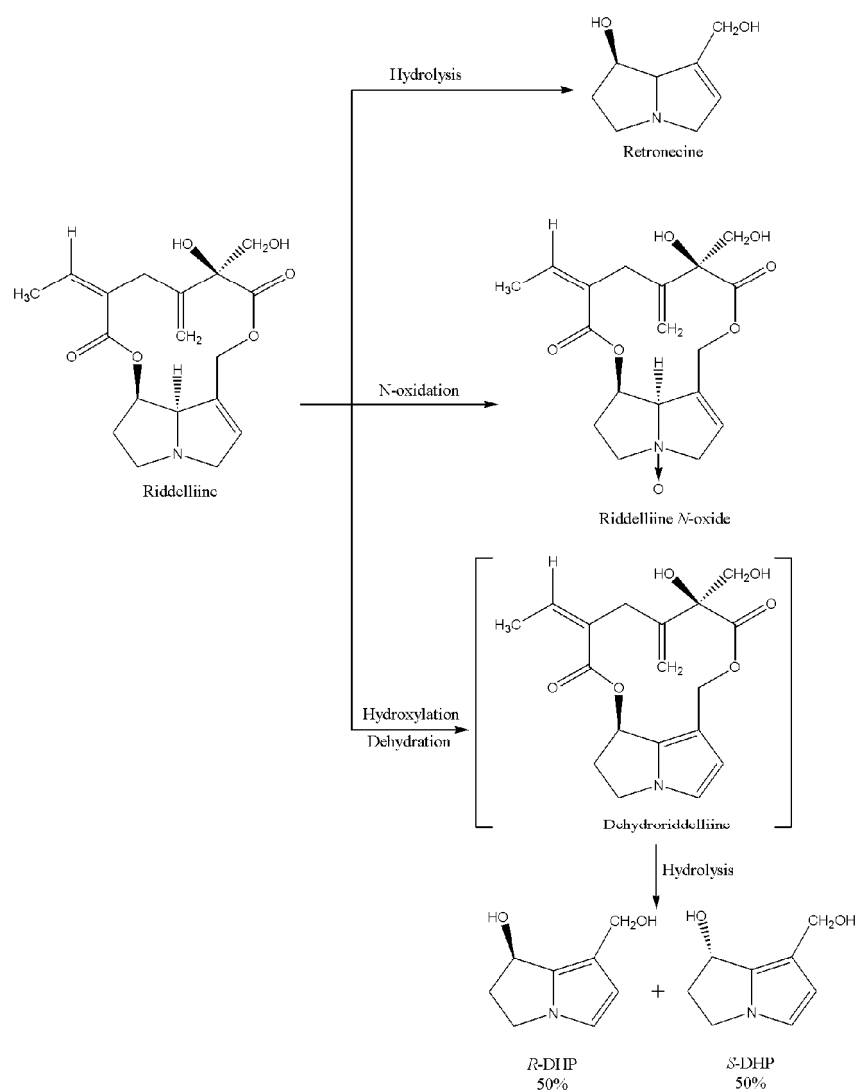
1 the liver and lungs, and in a study of rats administered a compound showing PA-like  
2 hepatotoxicity, [<sup>3</sup>H]synthanecine A bis(*N*-ethylcarbamate), the highest concentrations  
3 were found in the liver and lungs (Mattocks 1986).

#### 4 5.1.3 Metabolism

5 This section describes the metabolic pathways for riddelliine, as determined *in vivo* in  
6 rodents and *in vitro* in rat and human liver microsomes, the enzymes responsible for  
7 riddelliine metabolism, and compares metabolism in humans and rodents.

#### 8 Metabolic pathways

9 Riddelliine has three primary metabolic pathways: (1) hydrolysis of the ester group(s) to  
10 form the necine base, (2) oxidation of the necine base (of riddelliine) to the  
11 corresponding *N*-oxide (which also may be reduced to riddelliine), and (3) hydroxylation  
12 of riddelliine at the C-3 or C-8 positions of the necine base, followed by dehydration to  
13 form the corresponding dehydroriddelliine (pyrrolic) derivative (Figure 5-1). This  
14 pyrrolic derivative is then hydrolyzed to form the racemic (±)-6,7-dihydro-7-hydroxy-1-  
15 hydroxymethyl-5*H*-pyrrolizine (DHP), which is a 50/50 mixture of the optically pure  
16 dehydroretronecine (DHR, or *R*-DHP) and dehydroheliotridine (DHH, or *S*-DHP)  
17 enantiomers. A number of studies have shown that many PAs have the same metabolic  
18 pathways; thus, DHP is a common metabolite of many retronecine-, heliotridine-, and  
19 otonecine-type PAs (Fu *et al.* 2001, IARC 2002, Fu *et al.* 2002b, Wang *et al.* 2005a,  
20 Wang *et al.* 2005b, Xia *et al.* 2006).



**Figure 5-1. The three primary metabolic pathways for riddelliine**

Source: Fu *et al.* 2002b, used with permission.

- 1 Metabolism of riddelliine *in vitro* by human liver microsomes formed DHP and
- 2 riddelliine *N*-oxide (Xia *et al.* 2003). *In vitro* metabolism of riddelliine by liver
- 3 microsomes of female and male F344 rats also generated DHP and riddelliine *N*-oxide as
- 4 major metabolites (Yang *et al.* 2001a, Fu *et al.* 2002b). Riddelliine was metabolized more
- 5 rapidly by liver microsomes in male than in female rats (Xia *et al.* 2003).
- 6 Williams *et al.* (2002) studied the toxicokinetics of riddelliine by administering a single
- 7 dose of riddelliine orally at 10.0 mg/kg b.w. to F344 rats and B6C3F<sub>1</sub> mice. Six
- 8 sequential blood samples were collected, and serum concentrations of riddelliine and its

1 metabolites were determined by LC-electrospray- (ES-) MS. Riddelliine was completely  
2 absorbed within 30 minutes after a gavage dose in all rats and mice and there was rapid  
3 and extensive conversion of riddelliine to riddelliine *N*-oxide. All animals produced small  
4 amounts of retronecine. No DHP was detected, presumably because the highly reactive  
5 DHP can bind to macromolecules in the blood, such as serum proteins or red blood cells.  
6 The half-times for elimination from serum increased in the following order: riddelliine <  
7 retronecine < riddelliine *N*-oxide (see Table 5-1). The half-times for elimination and  
8 distribution were similar for male and female rats. In addition, the internal exposure  
9 (calculated as area under the time-concentration curve from zero to infinity [AUC<sub>0-infinity</sub>])  
10 for riddelliine *N*-oxide was greater than that for riddelliine in male rats; however, this  
11 relationship was reversed for female rats.

12 The hydrolysis process in all types of PAs and the *N*-oxidation process in the retronecine-  
13 and heliotridine-type PAs are generally considered detoxification pathways. Plants that  
14 contain PAs generally also contain large amounts of PA *N*-oxides. PA *N*-oxides are major  
15 metabolites of PAs and also are generally regarded as detoxification products. However,  
16 recent studies have shown that metabolism of riddelliine *N*-oxide and other PA *N*-oxides  
17 by human or rat liver microsomes generates DHP and the corresponding parent PAs  
18 under both aerobic and hypoxic (under argon) conditions (Chou *et al.* 2003a, Wang *et al.*  
19 2005c). Oxidative conditions inhibited reduction to the parent PA by 38% to 66% for  
20 human liver microsomal metabolism and 25% to 57% for the rat. DHP formation was  
21 reduced by 40% to 67% (human) and 25% to 68% (rat) under hypoxic conditions. Thus,  
22 the *N*-oxides of riddelliine and other PAs may be metabolically activated.

**Table 5-1. Toxicokinetic determinations for riddelliine and metabolites**

| Molecule                    | Animal        | Elimination<br>$t_{1/2}$ (h) <sup>a</sup> | Distribution<br>$t_{1/2}$ (h) <sup>b</sup> | AUC <sub>0-infinity</sub><br>(ng·h/mL) <sup>a</sup> |
|-----------------------------|---------------|---|--|---|
| Riddelliine                 | Rat, male     | 4.2 ± 0.3                                 | 0.35                                       | 516 ± 80*   |
|                             | Rat, female   | 4.2 ± 1.0                                 | 0.55                                       | 1,267 ± 395   |
|                             | Mouse, male   | 3.2                                       | 0.34                                       | 1307  |
|                             | Mouse, female | 3.0                                       | 0.24                                       | 1064  |
| Riddelliine <i>N</i> -oxide | Rat, male     | 7.0 ± 1.3                                 | 0.55                                       | 1,494 ± 367*  |
|                             | Rat, female   | 11.9 ± 7.2                                | 0.37                                       | 714 ± 405   |
|                             | Mouse, male   | 15.4                                      | 0.35                                       | 1753  |
|                             | Mouse, female | 28.9                                      | 0.33                                       | 2746  |
| Retronecine                 | Rat, male     | 8.2 ± 1.0                                 | NA   | 88 ± 24   |
|                             | Rat, female   | 6.7 ± 1.8                                 | NA   | 135 ± 36  |
|                             | Mouse, male   | 6.9                                       | NA   | 128   |
|                             | Mouse, female | 8.1                                       | NA   | 217   |

Source: Williams *et al.* 2002.

AUC<sub>0-infinity</sub> = area under the time-concentration curve from zero to infinity; NA = not applicable

<sup>a</sup>Means ± SDs were determined from plots of data for serum from individual rats (n = 5, females; n = 3, males) and means without SDs were determined from plots of data averaged from six individual mice for each time point.

<sup>b</sup>A first-order distribution rate constant was determined from mean blood concentration-time plots.

\**P* < 0.05; significant sex difference.

# 1 *Metabolizing enzymes*

2 Metabolism of PAs to the reactive pyrrolic ester metabolites in rodents and humans is  
3 mainly catalyzed by CYP3A and CYP2B6 isozymes of cytochrome P450 (Chung and  
4 Buhler 1994, Chung *et al.* 1995, Kasahara *et al.* 1997, Reid *et al.* 1998, Tepe and  
5 Williams 1999, Lin *et al.* 2000, Yang *et al.* 2001a). These two isoforms are primarily  
6 responsible for the metabolism of PAs to dehydropyrrolizidines, whereas both  
7 cytochrome P450 and flavin-containing monooxygenase catalyze formation of the *N*-  
8 oxides (Fu *et al.* 2002b) (see Figure 5-2). The rate of metabolism of riddelliine by rat  
9 liver microsomes was increased 3.4- to 3.8-fold by pretreatment with phenobarbital, an  
10 inducer of CYP2B and CYP3A isozymes (Yang *et al.* 2001a).

11 When riddelliine was metabolized *in vitro* by human liver microsomes in the presence of  
12 the P450 3A4 enzyme inhibitor triacetylandomycin, formation of DHP and riddelliine  
13 *N*-oxide were reduced 84% and 92%, respectively (Xia *et al.* 2003), indicating that the

1 P450 3A4 enzyme is principally responsible for the metabolism of riddelliine and for  
2 metabolic activation of most, if not all, toxic PAs.

3 Metabolism of PAs to the corresponding *N*-oxides is catalyzed by both cytochrome P450  
4 and flavin-containing monooxygenase (Williams *et al.* 1989a, Miranda *et al.* 1991a,  
5 Miranda *et al.* 1991b, Chung *et al.* 1995). Buhler and co-workers reported that  
6 metabolism of senecionine to senecionine *N*-oxide was catalyzed by both CYP2B and  
7 flavin-containing monooxygenase in untreated and phenobarbital-treated guinea pigs  
8 (Ramsdell and Buhler 1987, Chung *et al.* 1995). Enzymatic hydrolysis of the ester  
9 functional groups of PAs is catalyzed mainly by liver microsomal carboxylesterases  
10 (Eastman and Segall 1981, Buhler and Kedzierski 1986, Williams *et al.* 1989b, Miranda  
11 *et al.* 1991b, Chung and Buhler 1994, Chung *et al.* 1995, Kasahara *et al.* 1997, Reid *et al.*  
12 1998, Yang *et al.* 2001a), but also can be catalyzed by liver cytosolic carboxylesterase  
13 (Mattocks 1982, 1986, Dueker *et al.* 1992, Kasahara *et al.* 1997).

#### 14 *Comparison of metabolism in humans and rodents*

15 As discussed above, metabolism of riddelliine by human liver microsomes resulted in the  
16 formation of DHP and riddelliine *N*-oxide (Xia *et al.* 2003). The  $K_m$  and  $V_{max}$  values are  
17 shown in Table 5-2. These kinetic parameters from human liver microsomal metabolism  
18 are comparable to those from rat liver microsomal metabolism. As described above, the  
19 P450 isozyme CYP3A is principally responsible for metabolism of riddelliine in humans.



**Table 5-2. Enzyme kinetic parameters for riddelliine oxidative metabolism to DHP and riddelliine *N*-oxide in rat and human liver microsomes**

| Samples                    | Kinetic parameters <sup>a</sup>                      |                             |                            |                             |
|----------------------------|--|-----------------------------|----------------------------|-----------------------------|
|                            | <i>V</i> <sub>max</sub> (nmol/min per mg of protein) |                             | <i>K</i> <sub>m</sub> (mM) |                             |
|                            | DHP  | Riddelliine <i>N</i> -oxide | DHP                        | Riddelliine <i>N</i> -oxide |
| Rat, female <sup>b</sup>   | 0.48 ± 0.03  | 0.30 ± 0.01                 | 0.37 ± 0.05                | 0.44 ± 0.04                 |
| Rat, male <sup>b</sup>     | 1.12 ± 0.04  | 2.17 ± 0.08                 | 0.28 ± 0.03                | 0.25 ± 0.03                 |
| Human, female <sup>c</sup> | 1.70 ± 0.09  | 0.43 ± 0.03                 | 0.66 ± 0.08                | 0.71 ± 0.12                 |
| Human, male <sup>c</sup>   | 0.95 ± 0.02  | 0.26 ± 0.01                 | 0.24 ± 0.02                | 0.44 ± 0.06                 |

Source: Xia *et al.* 2003.

<sup>a</sup>Kinetic parameters, represented as mean ± SD (3 replicates), were determined with GraphPad Prism software.

<sup>b</sup>Liver microsomes were prepared by combining liver tissues of 6 (female) or 5 (male) rats.

<sup>c</sup>Equal amounts of liver microsomal protein from 4 female human liver microsomes samples were combined; 1 male human liver microsome sample was used.

#### 5.1.4 Excretion

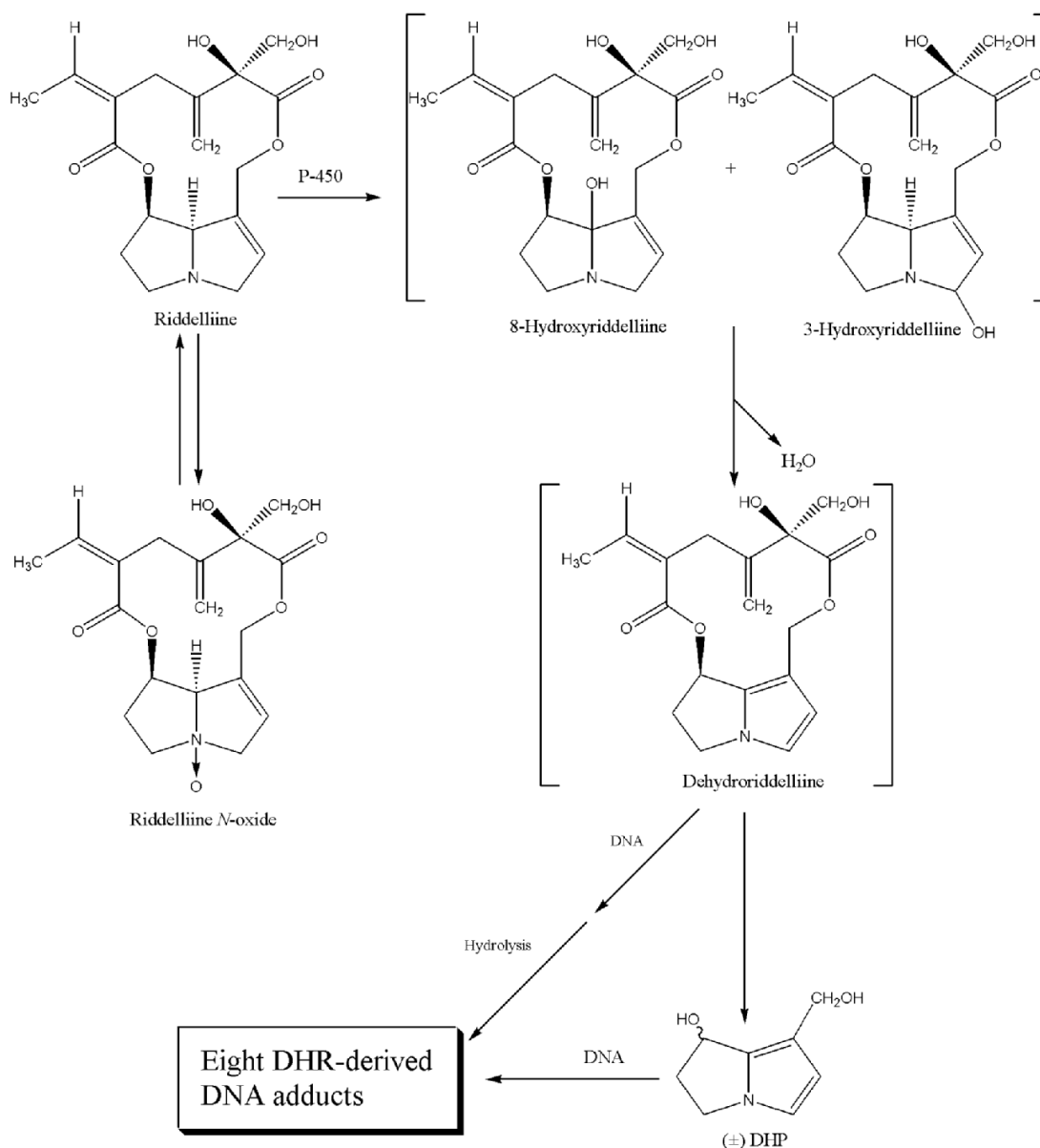
In general, about 80% of ingested PAs are excreted unchanged in the urine and feces, with urine the more prevalent route (NTP 2003). Excretion of metabolized <sup>14</sup>C-labeled PAs senecionine and seneciophylline as CO<sub>2</sub> by lactating rats was less than 1% of the total dose (Eastman *et al.* 1982). The authors stated that higher rates of excretion via CO<sub>2</sub>, approaching 10%, had been reported for lasiocarpine, another PA. Biliary excretion of some PAs and their metabolites may also be possible (Mattocks 1986).

#### 5.2 Studies of DHP adduct formation

Figure 5-2 shows the proposed pathway of metabolic activation of riddelliine leading to DNA adduct formation based on metabolism studies with rat and human liver microsomes and studies of DNA adduct formation *in vitro* and *in vivo*.

A common mechanism likely exists for DNA adduct formation for the PAs, including riddelliine, that form DHP as a metabolite. As shown in Figure 5-2, two possible pathways lead to DHP-derived DNA adduct formation from metabolism of riddelliine and other PAs *in vitro* and *in vivo*: (1) a dehydro-PA, e.g., dehydroriddelliine, binds covalently to DNA to form dehydro-PA-derived DNA adducts, which are hydrolyzed to DHP-derived DNA adducts, and (2) dehydro-PAs hydrolyze to form DHP, which binds to DNA. At present, it is not known which pathway predominates. Because dehydro-PAs

- 1 are highly unstable, and DHP is the most stable pyrrolic compound (Galloway *et al.*  
 2 1987, Huxtable *et al.* 1996), it has been proposed that more binding occurs through DHP  
 3 than through dehydro-PAs (Figure 5-2) (Yang *et al.* 2001a, Fu *et al.* 2002b, Xia *et al.*  
 4 2004).



**Figure 5-2. Pathway for metabolic activation of riddelliine leading to DNA adduct formation**

Sources: adapted from Yang *et al.* 2001a, Chou *et al.* 2003a, used with permission.

- 5 DHP can bind DNA, which may be a key step leading to its genotoxicity and  
 6 tumorigenicity. Studies of DHP-derived DNA adducts formed *in vitro* and *in vivo* are

1 discussed below and summarized in Table 5-3. Studies of DNA adduct formation and  
 2 their relationship to tumorigenicity is discussed in Section 5.3.

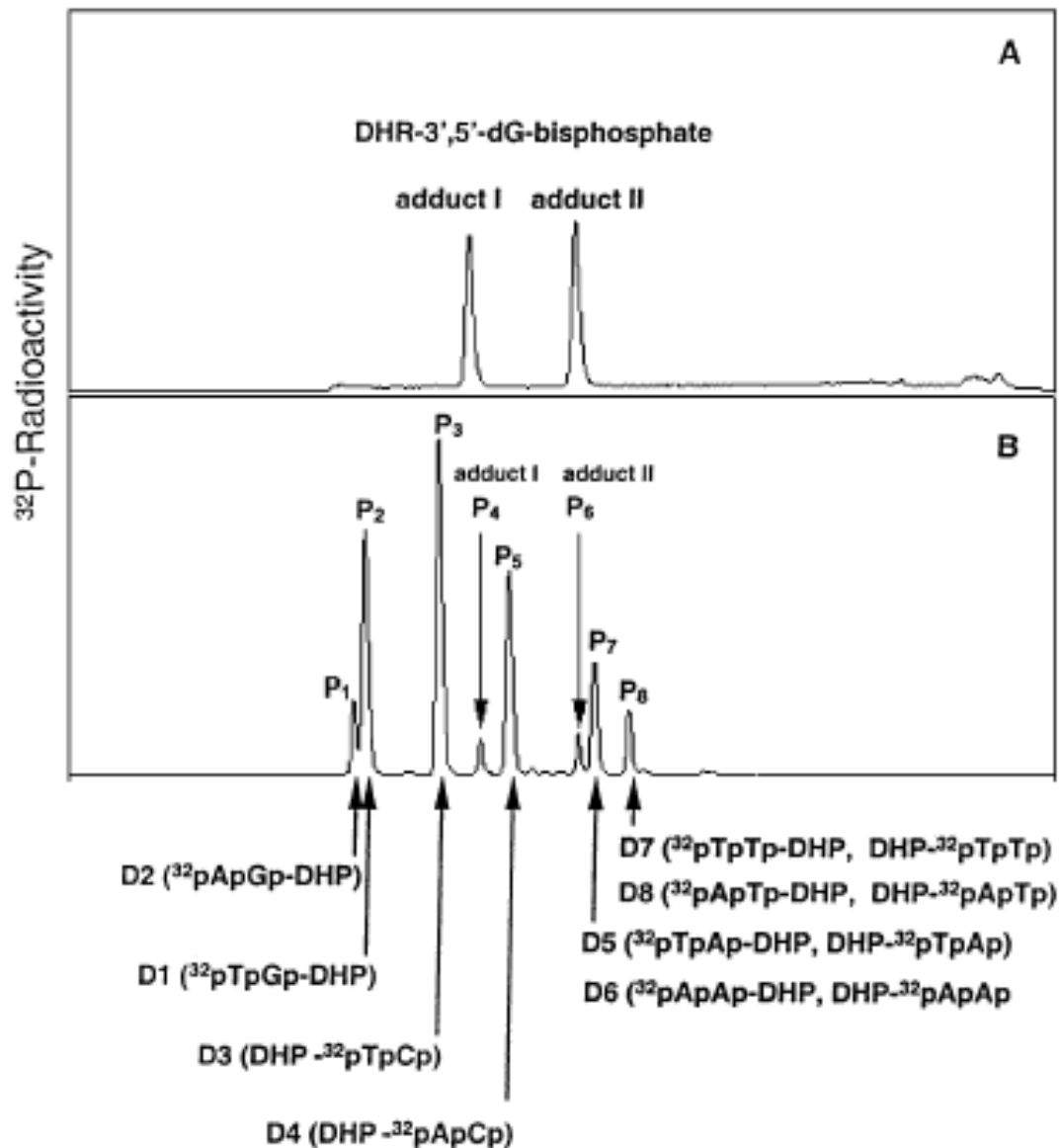
**Table 5-3. Studies in which DHP-derived DNA adducts were detected via <sup>32</sup>P-postlabeling following exposure to riddelliine**

| Test system  | Dose (mg/kg b.w.), route, exposure duration | Reference                |
|--|---|--------------------------|
| Calf thymus DNA, <i>in vitro</i> <sup>a</sup>                            | NR  | Yang <i>et al.</i> 2001a |
| F344 rat liver, female, <i>in vivo</i>                                   | 0.01–1.0, gavage, 3-6 months                | Yang <i>et al.</i> 2001a |
| F344 rat liver, male, <i>in vivo</i>                                     | 1, gavage, 2 weeks                          | Chou <i>et al.</i> 2003c |
| B6C3F <sub>1</sub> mouse liver, female & male, <i>in vivo</i>            | 3, gavage, 2 weeks                          | Chou <i>et al.</i> 2003c |
| Rat liver microsomes + calf thymus DNA, female & male, <i>in vitro</i>   | 0.1 mM, 30 minutes                          | Xia <i>et al.</i> 2003   |
| Human liver microsomes + calf thymus DNA, female & male, <i>in vitro</i> | 0.1 mM, 30 minutes                          | Xia <i>et al.</i> 2003   |
| F344 rat liver, female, <i>in vivo</i>                                   | 1, gavage, 3 days                           | Chou and Fu 2006         |

NR = not reported.

<sup>a</sup>DNA was incubated with the riddelliine metabolite DHR.

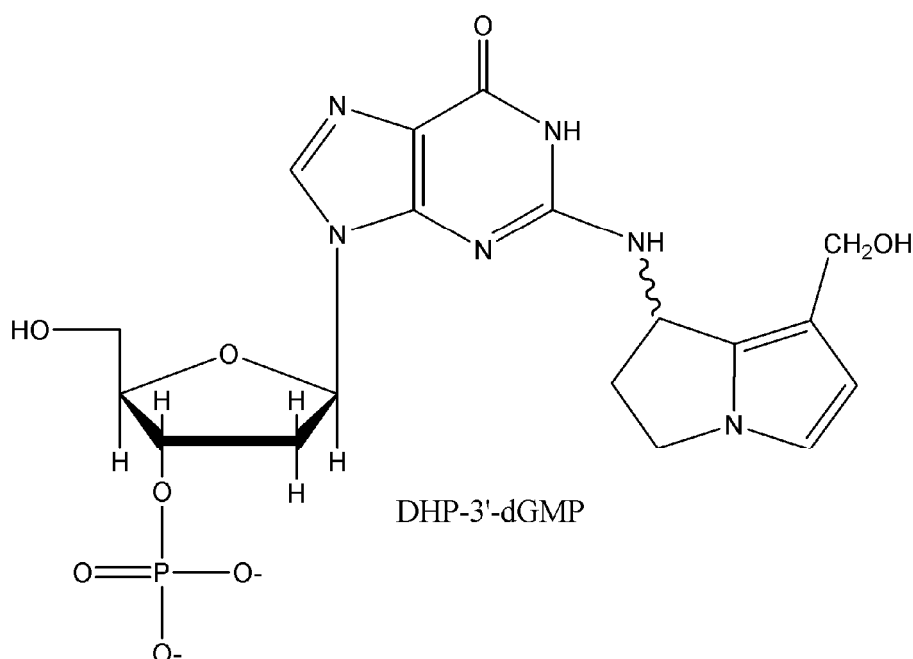
3 Yang *et al.* (2001a, 2001b) developed a <sup>32</sup>P-postlabeling/HPLC method for detection and  
 4 quantification of DHP-derived DNA adducts formed *in vitro* or *in vivo*. The HPLC  
 5 chromatograms of DHP-derived DNA adducts from the DHP-modified calf thymus DNA  
 6 assayed by <sup>32</sup>P-postlabeling/HPLC are shown in Figure 5-3, along with the assignments  
 7 of individual peaks as determined by LC-ES/MS analysis (Chou *et al.* 2003b). A set of  
 8 eight DHP-derived adduct peaks was formed from the reaction of DHP with calf thymus  
 9 DNA or from rat or human liver microsomal metabolism of riddelliine in the presence of  
 10 calf thymus DNA (Yang *et al.* 2001a, b); the adducts were similar in rat and human  
 11 microsomes (Xia *et al.* 2003). Among the set of DHP-derived DNA adduct peaks, two  
 12 (P4 and P6) were identified as epimers of DHP-2'-deoxyguanosine 3'-monophosphate  
 13 (adduct I and II in Figure 5-3), and the remaining adducts were characterized as DHP-  
 14 modified dinucleotides; four of the adduct peaks (P1, P2, P3, and P5) each corresponded  
 15 with a single DHP-modified dinucleotide, while the remaining two peaks (P7 and P8)  
 16 each consisted of a mixture of 4 DHP-modified dinucleotides (Yang *et al.* 2001b, Chou *et*  
 17 *al.* 2003b). The formation of these adducts appears to occur as the result of DNA binding  
 18 to the carbonium ion at the C-7 position of the necine base (Fu *et al.* 2004). See Figure 5-  
 19 4 for the structure of the DHP-3'-dGMP adduct.



**Figure 5-3.  $^{32}\text{P}$ -postlabeling chromatograms of DHP-derived DNA adducts from DHP-modified calf thymus DNA**

$^{32}\text{P}$ -postlabeling chromatograms of epimeric DHP-3',5'-dG-bisphosphate adducts (top panel) or DHP-modified calf thymus DNA (bottom panel) with assignment of individual peaks to the respective DHP-modified dinucleotides. Note: D5 and D6 both point to P<sub>7</sub> and D7 and D8 both point to P<sub>8</sub>.

Source: Chou *et al.* 2003b, used with permission.

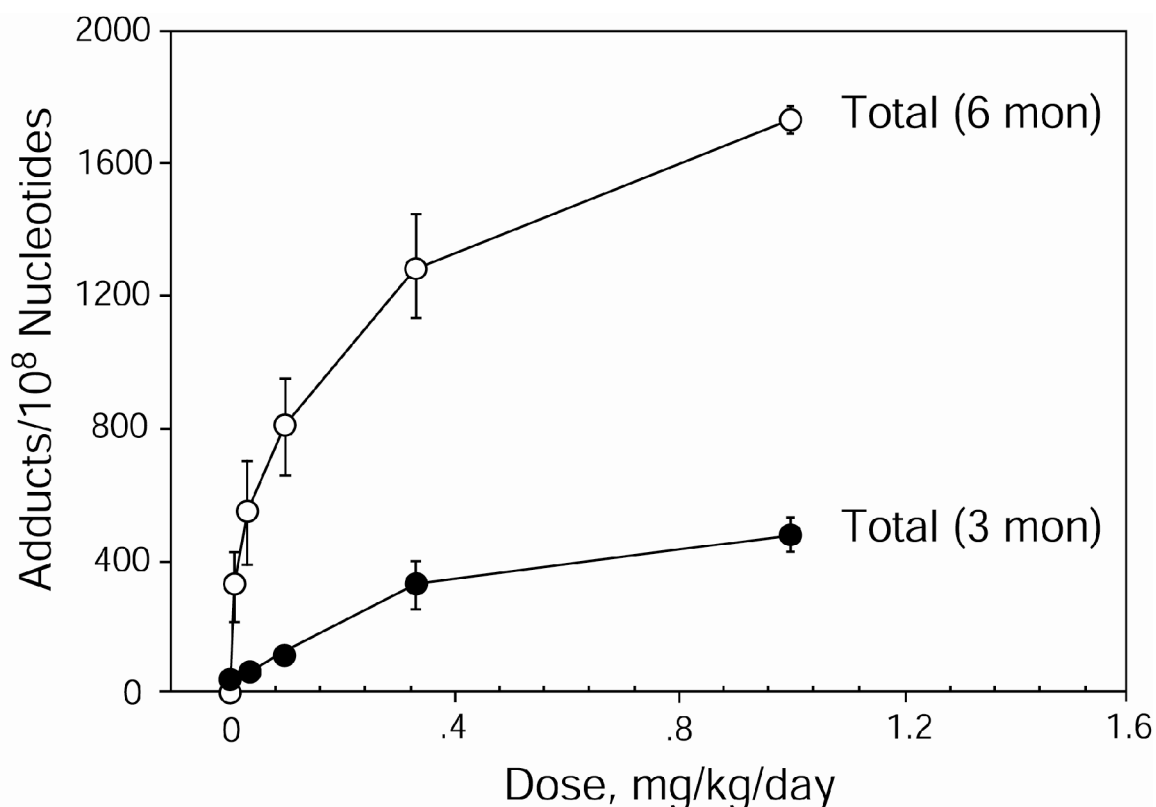


**Figure 5-4. Structure of DHP-derived DNA adduct**

The DHP-3'-dGMP adduct can exist as one of two epimers (*R*-DHP and *S*-DHP). A series of six DHP dinucleotide adduct peaks was identified by Chou *et al.* (2003b) in which two nucleotides are bound to the DHP molecule as a result of incomplete enzymatic digestion. These molecules would be similar to that illustrated but two nucleotides would be attached to the DHP molecule at carbon 7.

Source: Yang *et al.* 2001b, used with permission.

- 1 Following the same exposure regimen as in the two-year carcinogenicity bioassays, NTP
- 2 conducted a study of DNA adduct formation *in vivo* in female F344 rats, using the <sup>32</sup>P-
- 3 postlabeling method (Yang *et al.* 2001a). A total of 72 rats were assigned to 12
- 4 experimental groups (6 per group) and administered riddelliine by gavage at a dose of
- 5 0.01, 0.033, 0.1, 0.33, or 1.0 mg/kg per day, five days per week, beginning at weaning
- 6 and continuing for three or six months. The results shown in Figure 5-5 indicate a
- 7 positive dose-response trend in the frequency of DHP-derived adducts in the livers of rats
- 8 fed riddelliine for 3 or 6 months.



**Figure 5-5. Dose-response of total DHP-derived DNA adducts in liver DNA of female rats fed riddelliine**

Dose-response relationship of total riddelliine-derived DNA adduct formation in liver of female rats fed riddelliine for 3 and 6 months. Note: the scale on the x axis as reported in the original publication incorrectly read 0, 4, 8, 12, and 16 mg/kg/day.

Source: Adapted from Yang *et al.* 2001a, used with permission.

### 5.3 Mechanistic studies and considerations

#### 5.3.1 DNA adducts and mutations

Female transgenic Big Blue rats received riddelliine by gavage at a dose of 0.1, 0.3, or 1.0 mg/kg b.w., five days a week for 12 weeks, and were sacrificed one day after the last administration. The DNA from liver endothelial cells was examined. The mutation frequency in the transgenic *cII* gene was determined, and the mutant genes were sequenced (Mei *et al.* 2004a). Riddelliine induced a significant dose-dependent increase in the mean mutation frequency, from  $30 \times 10^{-6}$  in the control group to  $103 \times 10^{-6}$  in the high-dose group. The mutational spectra from the riddelliine-exposed and control rats also differed significantly (Table 5-4), with G·C→T·A transversions predominant in riddelliine-treated rats and G·C→A·T transitions predominant in controls. The authors concluded that riddelliine was genotoxic in rat liver and that the types of mutations

- 1 induced by riddelliine were consistent with riddelliine-induced formation of DNA  
 2 adducts involving G·C base pairs.

**Table 5-4. Independent *cII* gene mutations in liver endothelial cells of Big Blue rats exposed to riddelliine**

| Type of mutation       | Control |     | Riddelliine*** |     |
|------------------------|---------|-----|----------------|-----|
|                        | Number  | %   | Number         | %   |
| G·C → C·G              | 2       | 4   | 4              | 5   |
| G·C → A·T              | 30      | 55  | 22             | 26  |
| G·C → T·A              | 5       | 9   | 29             | 35  |
| A·T → T·A              | 3       | 5   | 4              | 5   |
| A·T → C·G              | 3       | 5   | 5              | 6   |
| A·T → G·C              | 3       | 5   | 4              | 5   |
| Frameshift             | 8       | 15  | 8              | 10  |
| Complex mutation       | 1       | 2   | 0              | 0   |
| Total mutants screened | 55      | 100 | 83             | 100 |

Source: Mei *et al.* 2004a.

\*\*\*Mutational spectra significantly different ( $P < 0.001$ ) from controls by the Adams and Skopek (1987) test.

- 3 In another study by Mei *et al.* (2004b), the cell-specificity of riddelliine mutagenicity in  
 4 rat liver was studied in female transgenic Big Blue rats administered riddelliine by  
 5 gavage at 0.3 mg/kg b.w., five days per week for 12 weeks. This study followed the  
 6 observation of Chou *et al.* (2003c) that liver endothelial cells of riddelliine-exposed mice  
 7 and rats contained higher levels of DNA adducts than did the liver parenchymal cells  
 8 (hepatocytes), suggesting that the tumor specificity was due to higher levels of DNA  
 9 damage in the cells that form liver hemangiosarcomas. Mei *et al.* (2004b) collected the  
 10 collagenase-perfused livers from the rats, separated fractions containing the parenchymal  
 11 (hepatocytes) and non-parenchymal (mainly endothelial) cells by a series of low-speed  
 12 centrifugations, and enriched the fractions by Percoll gradient centrifugation. They found  
 13 that mutagenicity was higher in the non-parenchymal (mainly endothelial cells) than in  
 14 parenchymal cells. In comparisons between control and riddelliine-exposed rats, the *cII*  
 15 mutation frequencies differed significantly for endothelial cells, but not for parenchymal  
 16 cells (see Table 5-5).

1 DNA sequencing indicated that the riddelliine-induced mutations were primarily  
 2 G·C→T·A transversions (17%, compared with 9% in the controls); however, in contrast  
 3 to the findings of (Mei *et al.* 2004a), the overall mutational spectra did not differ  
 4 significantly between the riddelliine-exposed rats and the controls. The authors concluded  
 5 that the relatively high mutagenicity of riddelliine in rat liver endothelial cells may be  
 6 partially responsible for the tumorigenic specificity of this agent (Mei *et al.* 2004b).

**Table 5-5. Frequencies of *cH* mutations in the liver cells Big Blue rats exposed to riddelliine and in non-exposed controls**

| Group       | Cells       | Total plaques screened ( $\times 10^3$ ) | Total mutant plaques | Mutation frequency ( $\times 10^{-6}$ ) mean $\pm$ SD <sup>a</sup> |
|-------------|-------------|--|----------------------|--|
| Control     | parenchymal | 1,019                                    | 34                   | 35.2 $\pm$ 5.7   |
|             | endothelial | 1,054                                    | 41                   | 39.5 $\pm$ 3.8   |
| Riddelliine | parenchymal | 1,374                                    | 55                   | 37.5 $\pm$ 9.3   |
|             | endothelial | 788                                      | 50                   | 67.0 $\pm$ 17.1*   |

Source: Mei *et al.* 2004b.

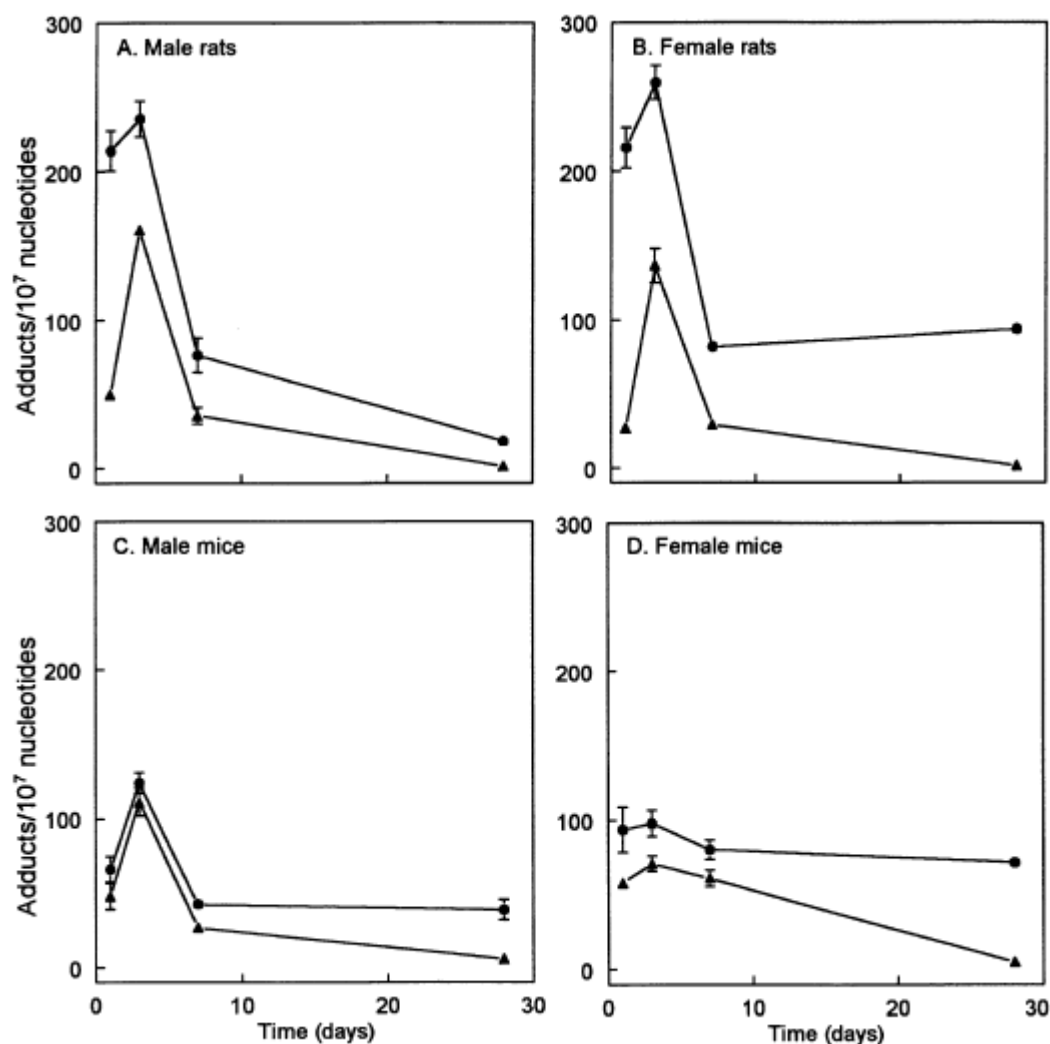
<sup>a</sup>The means were based on 3 replicates.

\*Significantly different ( $P < 0.05$ ) from the control group by ANOVA followed by the Holm-Sidak test.

### 7 5.3.2 DNA adducts and tumor formation

8 To examine the relationship between DNA adduct levels and the incidence of liver  
 9 hemangiosarcoma, the DHP-derived DNA adduct levels in purified rat and mouse liver  
 10 endothelial cells (the cells of origin for liver hemangiosarcoma) were determined (Chou  
 11 *et al.* 2003c). F344 rats and B6C3F<sub>1</sub> mice were given riddelliine by gavage, five days per  
 12 week for two weeks, at 1.0 mg/kg b.w. for rats and 3.0 mg/kg b.w for mice. On days 1, 3,  
 13 7, and 28 after the last dose, liver parenchymal and endothelial cell fractions were  
 14 isolated, and DHP-derived DNA adduct levels were determined by <sup>32</sup>P-  
 15 postlabeling/HPLC. Adduct levels were higher in endothelial cells than in parenchymal  
 16 cells (hepatocytes) for cells from rats (Figure 5-6) (Chou *et al.* 2003c) and were higher in  
 17 rat endothelial cells than in mouse endothelial cells. In addition, the adducts were 2.1- to  
 18 3.6-fold more persistent in endothelial cells than in parenchymal cells for both rats and  
 19 mice. These results suggest that the levels and persistence of DNA adducts were greater  
 20 in endothelial cells, the same cell type that gave rise to hemangiosarcoma in the liver.





**Figure 5-6. DHP-derived DNA adduct levels in the livers of F344 rats and B6C3F<sub>1</sub> mice**

DNA adduct levels were determined 1, 3, 7, and 28 days after the last treatment of rats and mice by gavage with 1.0 mg/kg b.w. (rats) or 3.0 mg/kg b.w. (mice) of riddelliine. The data are presented as the mean  $\pm$  s.e.m. of 3 or 4 animals per time point. Filled circle = endothelial cells (upper curve in each panel); filled triangle = parenchymal cells (hepatocytes) (lower curve in each panel).

Source: Chou *et al.* 2003c, used with permission.

- 1 [Studies of riddelliine metabolism in rat and human liver microsomes and findings of
- 2 dose-related riddelliine-induced cell-specific adduct formation in liver DNA suggest that
- 3 DHP-derived DNA adduct formation may be a step in the mechanism of tumorigenicity.]
- 4 As previously discussed, riddelliine metabolism in human microsomes, the pathways,
- 5 DNA adduct profiles, and metabolizing enzymes are very similar to those observed in rat
- 6 liver *in vitro* and *in vivo* (Yang *et al.* 2001a). [Because riddelliine induced

1 hemangiosarcomas in the liver of male and female rats and male mice (Chan *et al.* 1994,  
2 Chan *et al.* 2003) and DHP-derived DNA adducts may be a step in hemangiosarcoma  
3 induction, the results for human liver microsome metabolism suggest that riddelliine can  
4 be highly genotoxic to humans and that the genotoxic mechanism may be mediated by  
5 the DHP-derived DNA adducts. However, the relationship between DNA adduct levels  
6 and the incidence of liver tumors is not entirely consistent. For example, in mice, adduct  
7 levels were similar in endothelial cells of males and females and more persistent in  
8 females, yet liver hemangiosarcomas were induced only in males. Also, DNA adducts  
9 were measured in parenchymal cells at a dose of 3.0 mg/kg (see Figure 5-6), but the  
10 incidence of hepatocellular neoplasms at this dose was decreased compared to controls  
11 (see Table 4-1)].

### 12 5.3.3 *Beta-catenin and p53 protein expression and K-ras and beta-catenin gene* 13 *mutations*

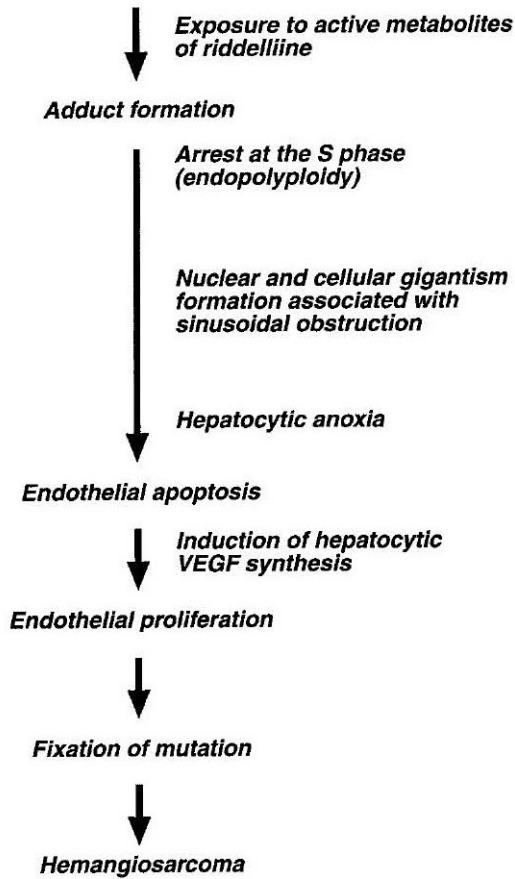
14 Hong *et al.* (2003) examined 12 riddelliine-induced hemangiosarcomas in the liver from  
15 a two-year diet study in mice and 15 spontaneous subcutaneous hemangiosarcomas for  
16 alterations in the genes for the K-*ras* and beta-catenin proteins and expression of the beta-  
17 catenin and p53 proteins. Of the 12 riddelliine-induced hemangiosarcomas in the liver, 7  
18 (58%) had K-*ras* codon 12 GTT mutations, and 9 (75%) showed strong staining for p53  
19 protein in malignant endothelial cells (the cells of origin for hemangiosarcomas). No  
20 beta-catenin protein was detected in riddelliine-induced hemangiosarcomas in the liver,  
21 and no genetic alterations in the beta-catenin gene were found. Spontaneous liver  
22 hemangiosarcomas from control mice lacked both detectable p53 and beta-catenin protein  
23 expression and K-*ras* mutations. The authors concluded that K-*ras* mutations and p53  
24 protein expression in riddelliine-induced hemangiosarcomas in the liver most likely  
25 resulted from the chemical's genotoxic effects. Nyska *et al.* (2002) detected increased  
26 p53 protein expression by immunohistochemistry in endothelial cells in the liver of male  
27 F344 rats given riddelliine at a daily dose of 1.0 or 2.5 mg/kg b.w. for six weeks (30  
28 doses) (see Section 5.3.4 for a description of other endpoints measured in this study).

### 29 5.3.4 *Endothelial-cell proliferation*

30 Nyska *et al.* 2002 proposed a potential mechanism for the pathogenesis of  
31 hemangiosarcomas in the liver of animals exposed to riddelliine. As illustrated in Figure

1 5-7, the riddelliine metabolite dehydroretronecine interacts with DNA in endothelial  
2 cells, resulting in cellular damage to these cells. The ensuing nuclear and cytoplasmic  
3 enlargement of endothelial cells causes sinusoidal obstruction and local hypoxia, which  
4 in turn stimulates vascular endothelial growth factor (VEGF) synthesis by anoxic  
5 hepatocytes. The VEGF-stimulated proliferation of endothelial cells could result in  
6 “fixation” of the DNA adducts into mutations, leading to development of  
7 hemangiosarcoma. VEGF is a specific and effective growth factor for stimulation of  
8 endothelial cell function in vasculogenesis and angiogenesis and has been implicated as a  
9 major factor in malignant endothelial-cell transformation in the development of  
10 angiosarcoma (Moyer *et al.* 2004). Smith *et al.* (2004) applied a predictive mathematical  
11 model to data taken from riddelliine-exposed rats in the Nyska *et al.* (2002) study.  
12 Replication and apoptotic rates were estimated and compared for hepatocytes and  
13 endothelial cells. The estimated replication rates were found to be significantly higher for  
14 endothelial cells, thus supporting the proposed mechanism described by Nyska *et al.*  
15 (2002).

## Endothelial Cell



**Figure 5-7. Proposed mechanism for induction of liver hemangiosarcoma by riddelliine in rats**

Source: Nyska *et al.* 2002

- 1 The proposed model was based on the findings from the Nyska *et al.* study, and
- 2 supported by the study by Moyer *et al.* Both reports were based on F344 male rats
- 3 exposed by daily gavage to vehicle (corn oil) or 1.0 or 2.5 mg/kg b.w. per day of
- 4 riddelliine for either 8 consecutive days or 30 days (5 doses/week, excluding weekends,
- 5 for 6 weeks). The Nyska *et al.* study demonstrated that the riddelliine exposure (based on
- 6 a comparison of animals exposed after 30 doses of riddelliine to untreated animals) is
- 7 associated with specific damage to hepatic endothelial cells, including, karyomegaly,
- 8 cytomegaly, decreased apoptosis, increased mitosis, and more S-phase nuclei, and p53
- 9 mutation (as assessed by immunopositivity). Hepatocytes from riddelliine-exposed

1 animals had increased hypertrophy, fatty degeneration, decreased apoptosis, fewer S-  
2 phase nuclei and reduced mitosis, and expressed higher VEGF immunopositivity  
3 compared to controls. The endothelial proliferation and eventual mutation and  
4 hemangiosarcoma development were proposed to be promoted through VEGF induction.

5 Moyer *et al.* expanded on the role of VEGF expression in hepatocytes and found that  
6 although VEGF mRNA expression occurred in the hepatocytes of both control and  
7 treatment groups, qualitative differences were noted. VEGF expression in treated animals  
8 occurred in clustered, focal hepatocytes and bile duct epithelium, while VEGF mRNA  
9 expression in controls was distributed evenly across all hepatocytes. They also reported  
10 that hepatic sinusoidal endothelial cells expressed the high affinity tyrosine receptor  
11 VEGFR2 receptor (KDR/flk-1; kinase domain region [KDR] in the human, and fetal liver  
12 kinase-1 [flk-1] in rodents), and immunohistochemical detection of phosphorylation of  
13 specific tyrosine residues of KDR/flk-1 was consistent with activation of the receptor.  
14 The authors proposed that riddelliine damages both hepatocytes and endothelial cells  
15 resulting in dysregulated VEGF synthesis by hepatocytes and activation of KDR/flk-1 in  
16 endothelium, leading to sustained endothelial-cell proliferation and development of  
17 hepatic hemangiosarcoma.

#### 18 **5.4 Genetic damage and related effects**

19 DNA adduct formation may play a role in the genotoxicity of riddelliine. Riddelliine has  
20 been tested for genotoxicity in a number of *in vitro* and *in vivo* test systems, and the  
21 genetic and related effects of riddelliine have been reviewed (IARC 1976, WHO 1988,  
22 Prakash *et al.* 1999, IARC 2002, Chan *et al.* 2003, NTP 2003).

##### 23 **5.4.1 Prokaryotic systems**

24 Riddelliine is mutagenic in *Salmonella typhimurium* TA100 in the presence of S9  
25 metabolic activation, but is not mutagenic in TA97, TA98, and TA1537, either with or  
26 without metabolic activation (Zeiger *et al.* 1988, NTP 1993, Chan *et al.* 1994). The  
27 TA100 strain detects base-pair substitutions, while the other three strains detect  
28 frameshift mutations. Table 5-6 summarizes the results of tests in prokaryotic systems.

**Table 5-6. Results of genotoxicity testing of riddelliine in prokaryotic systems**

| Test system                              | End point<br>(concentration)             | Results |     | Reference  |
|--|--|---------|-----|--|
|  |  | +S9     | –S9 |  |
| <i>S. typhimurium</i> TA97, TA98, TA1537 | reverse mutation<br>(100–5,000 µg/plate) | –       | –   | Zeiger <i>et al.</i> 1988, NTP 1993, Chan <i>et al.</i> 1994 |
| <i>S. typhimurium</i> TA100              | reverse mutation<br>(100–5,000 µg/plate) | +       | –   | Zeiger <i>et al.</i> 1988, NTP 1993, Chan <i>et al.</i> 1994 |

#### 1 5.4.2 Mammalian *in vitro* systems

2 Riddelliine has been tested for genetic effects in several mammalian *in vitro* systems,  
3 including Chinese hamster V79 cells, CHO cells, rat hepatocytes, BALB/c-3T3  
4 fibroblasts, and bovine kidney epithelial cells. DNA intrastrand crosslinking that was  
5 protease sensitive (and thus may have represented protein-associated crosslinks) was  
6 induced in cultured bovine kidney epithelial cells, but no single-strand breaks were  
7 detected in the study (Hincks *et al.* 1991). Berry *et al.* (1996) reported that riddelliine  
8 induced HGPRT mutations in Chinese hamster V79 lung cells in the presence of primary  
9 hepatocytes and induced unscheduled DNA repair synthesis (UDS) in rat hepatocytes.  
10 Riddelliine induced sister chromatid exchange (SCE) and chromosomal aberrations in  
11 Chinese hamster ovary (CHO) cells (Galloway *et al.* 1987, NTP 1993). Although SCE  
12 tests were positive both with and without metabolic activation, the response was stronger  
13 in the presence of S9. Chromosomal aberrations occurred only with metabolic activation.  
14 Riddelliine also induced cell transformation in mouse BALB/c-3T3 fibroblast cells  
15 (Matthews *et al.* 1993). Table 5-7 summarizes the results of tests in mammalian *in vitro*  
16 systems.

**Table 5-7. Results of genotoxicity testing of riddelliine in mammalian *in vitro* systems**

| Test system                    | End point<br>(concentration)                         | Results        |     | Reference                   |
|--------------------------------|--|----------------|-----|-----------------------------|
|                                |  | +S9            | –S9 |                             |
| V79 cells                      | HGPRT mutations (0.5–50 µM)                          | + <sup>c</sup> | NT  | Berry <i>et al.</i> 1996    |
| Rat hepatocytes                | UDS (0.2–5 µM)                                       | NT             | +   |                             |
| Bovine kidney epithelial cells | DNA-intrastrand crosslinks (50–500 µM)               | NT             | +   | Hincks <i>et al.</i> 1991   |
|                                | DNA single-strand breaks (50–500 µM)                 | NT             | –   |                             |
| CHO cells                      | SCEs (3–300 µg/mL) <sup>a</sup>                      | +              | +   | Galloway <i>et al.</i> 1987 |
| CHO cells                      | Chromosomal aberrations (300–600 µg/mL) <sup>b</sup> | +              | –   | Galloway <i>et al.</i> 1987 |
| BALB/c-3T3 cells               | Cell transformation (NR)                             | NT             | +   | Matthews <i>et al.</i> 1993 |

<sup>a</sup>Dose range 3 to 30 µg/mL (with S9) and 30 to 300 µg/mL (without S9).

<sup>b</sup>Dose range 300 to 498 µg/mL (with S9) and 402 to 600 µg/mL (without S9).

<sup>c</sup>Hepatocyte-mediated

NR = not reported; NT = not tested, SCE = sister chromatid exchange, UDS = unscheduled DNA repair synthesis.

#### 1 5.4.3 Mammalian *in vivo* systems

2 This section presents information from mammalian *in vivo* studies, including studies on  
3 unscheduled DNA synthesis, S-phase synthesis, and micronucleus formation in rats and  
4 mice. Studies on mutational frequency in transgenic rats and mutations and gene  
5 expression in tumor suppressor genes or oncogenes were discussed above.

6 The results for unscheduled DNA synthesis (UDS), S-phase synthesis, and micronucleus  
7 formation in rats and mice are summarized in Table 5-8. Several of the studies cited in  
8 this section discuss the same set of genetic toxicology data from the 2- and 13-week  
9 prechronic studies conducted by the NTP (1993). Genotoxicity studies related to the  
10 prechronic studies include the 5- and 30-day gavage studies in B6C3F<sub>1</sub> mice (at doses  
11 from 3.3 to 25 mg/kg b.w.) and F344 rats (at doses from 0.33 to 25 mg/kg b.w.) (Mirsalis  
12 *et al.* 1993, NTP 1993, Chan *et al.* 1994) and the 4- (at doses from 3.3 to 25 mg/kg b.w.)  
13 and 13-week (at doses from 10 to 25 mg/kg b.w.) gavage studies (NTP 1993, Chan *et al.*  
14 1994, Witt *et al.* 2000).

15 Mirsalis (1987) reported increased UDS and S-phase synthesis in the hepatocytes of rats  
16 (sex and strain not reported) following a single dose of riddelliine at 50 or 100 mg/kg

1 b.w. Nyska *et al.* (2002) examined S-phase synthesis in hepatocytes of male F344 rats  
2 given riddelliine at daily doses of 1.0 or 2.5 mg/kg b.w. for eight days or six weeks (30  
3 doses); S-phase synthesis was increased in hepatocytes and liver endothelial cells after  
4 eight days and in endothelial cells (but not hepatocytes, which had fewer S-phase nuclei)  
5 after six weeks. The NTP (1993) measured UDS and S-phase DNA synthesis in cultured  
6 hepatocytes from F344/N and B6C3F<sub>1</sub> mice after treatment by gavage for 5 and 30 days  
7 (Mirsalis *et al.* 1993, NTP 1993, Chan *et al.* 1994). Similar to Nyska (2002) and Mirsalis  
8 (1987) they reported that riddelliine increased S-phase DNA synthesis in rats (both males  
9 and females). In B6C3F<sub>1</sub> mice, an increase in S-phase synthesis was only observed in  
10 male mice at the lowest dose (3.3 mg/kg) after 30 days. The high variability of S-phase  
11 synthesis in the female mice prevented the interpretation of the results (NTP 2003).

12 An increase in UDS was observed in at least one dose group in male rats and male and  
13 female mice at both time points and in female rats after 5 days of treatment. The increase  
14 was assessed by statistically (Dunn's or Shirley test) comparing the percentage of cells  
15 showing evidence of UDS in treated animals compared with the control animals (NTP  
16 2003). Mirsalis *et al.* (1993), analyzing the same data set, concluded that riddelliine did  
17 not induce an increase in UDS in rat hepatocytes but did induce an equivocal response in  
18 male mice (both time points) and a positive response in female mice (after 30 days).  
19 Mirsalis *et al.* (1993) stated that for a UDS response to be considered positive, 20% of  
20 cells must be in repair (this is an indication of the extent of the response throughout the  
21 liver) and the net grains/nucleus must be greater than zero.

22 Micronucleated polychromatic erythrocytes (PCEs) were not increased in male or female  
23 B6C3F<sub>1</sub> mice administered riddelliine orally at doses of up to 25 mg/kg b.w. for 4 to 13  
24 weeks (NTP 1993, Witt *et al.* 2000) or in male or female F344 rats or B6C3F<sub>1</sub> mice  
25 administered riddelliine orally at doses up to 25 mg/kg b.w. for 5 or 30 days (Mirsalis *et al.*  
26 1993, Chan *et al.* 1994). However, male B6C3F<sub>1</sub> mice administered a single gavage  
27 dose of 150 mg/kg or greater had increased incidences of micronucleated PCEs in  
28 peripheral blood and bone marrow (Chen *et al.* 1994). In another study, Swiss mice given  
29 a single 70-mg/kg b.w. i.p. dose of riddelliine, had an increased frequency of  
30 micronucleated PCEs (MacGregor *et al.* 1985).



- 1 Results for all genotoxicity studies of riddelliine in mammalian *in vivo* systems are
- 2 summarized in Table 5-8.

**Table 5-8. Results of genotoxicity testing of riddelliine in mammalian *in vivo* systems**

| Test system  | Dose (mg/kg b.w.)                   | LEC              | Results                     | Reference   |
|--|-------------------------------------|------------------|-----------------------------|---|
| <b>Unscheduled DNA synthesis</b>   |                                     |                  |                             |   |
| Rats (sex and strain not reported)   | 50 and 125; single dose             | 50               | +                           | Mirsalis 1987   |
| Male and female F344 rat hepatocytes   | 0.3–3.3; 5 and 30 d <sup>a</sup>    | 1 (5 d)          | –<br>+                      | Mirsalis <i>et al.</i> 1993<br>NTP 1993, Chan <i>et al.</i> 1994 <sup>b</sup>   |
| Male and female B6C3F <sub>1</sub> mouse hepatocytes                           | 0.33–25; 5 and 30 d                 | 10 (5 d)         | + (F)<br>+ (M)<br>equiv (M) | Mirsalis <i>et al.</i> 1993, NTP 1993, Chan <i>et al.</i> 1994<br>NTP 1993, Chan <i>et al.</i> 1994 <sup>b</sup><br>Mirsalis <i>et al.</i> 1993 |
| <b>S-phase synthesis</b>   |                                     |                  |                             |   |
| Rats (sex and strain not reported)   | 50 and 125; single dose             | 50               | +                           | Mirsalis 1987   |
| Male and female F344 rat hepatocytes   | 0.3–3.3; 5 and 30 days <sup>a</sup> | 0.3 (5 and 30 d) | +                           | Mirsalis <i>et al.</i> 1993, NTP 1993, Chan <i>et al.</i> 1994  |
| Male and female B6C3F <sub>1</sub> mouse hepatocytes                           | 3.3–25; 5 and 30 days               | 3.3 (30 d)       | +/- <sup>c</sup>            | Mirsalis <i>et al.</i> 1993, NTP 1993, Chan <i>et al.</i> 1994  |
| Male F344 rat parenchymal (hepatocytes) and nonparenchymal (endothelial) cells | 1.0 and 2.5; 8 or 30 doses          | 2.5              | + <sup>d</sup>              | Nyska <i>et al.</i> 2002  |
| <b>Micronucleus formation in PCEs</b>  |                                     |                  |                             |   |
| Male and female F344 rat PCEs  | 0.3–3.3; 30 days                    | NAP              | –                           | Mirsalis <i>et al.</i> 1993   |
| Male and female B6C3F <sub>1</sub> mouse PCEs                                  | 3.3–25; 5 or 30 days                | NAP              | –                           | Mirsalis <i>et al.</i> 1993   |
| Male and female B6C3F <sub>1</sub> mouse PCEs                                  | 0.3–25; 4 weeks                     | NAP              | –                           | NTP 1993, Chan <i>et al.</i> 1994, Witt <i>et al.</i> 2000  |
|  | 10–25; 13 weeks                     | NAP              | –                           |   |
|  | 75–300; single dose                 | 150              | +                           |   |
| Swiss mouse (sex not reported) PCEs  | 70                                  | 70               | +                           | MacGregor <i>et al.</i> 1985  |

equiv = equivocal, LEC = lowest effective concentration, NAP = not applicable.

<sup>a</sup>Mirsalis *et al.* (1993) reported the dose for rats in the 5-day feeding study to range from 3.3 to 25 mg/kg b.w.

<sup>b</sup>Mirsalis *et al.* (1993), Chan *et al.* (1994), and NTP (1993) used the same data set, but in some cases interpreted the results differently. NTP (1993) reported a dose-related positive trend for UDS in hepatocytes from female rats treated for 5 days but not 30 days.

<sup>c</sup>NTP (2003) stated that the high variability in S-phase synthesis in control mice in the NTP (1993) study confounded interpretation of the results of that study.

<sup>d</sup>The numbers of S-phase nuclei in hepatocytes were significantly ( $P < 0.05$ ) increased after 8 doses but were significantly ( $P < 0.01$ ) decreased after 30 doses.

## **5.5 Carcinogenicity and genotoxicity of riddelliine metabolites and analogues**

It is beyond the scope of this document to conduct a complete literature review of the carcinogenic and genotoxic effects of riddelliine metabolites and analogues; therefore, the following sections provide a brief overview of these effects and illustrate the similarity with riddelliine. Carcinogenicity and genotoxicity data were available for several riddelliine metabolites and a number of analogues. In addition, extracts from various plants known to contain PAs have been tested for genotoxic effects. The chemical structures of the metabolites and many of the analogues discussed in this section are provided in Sections 1.3 and 1.4.

### **5.5.1 Carcinogenicity**

The carcinogenicity of DHP, which is a racemic mixture of DHR and DHH, is summarized in Section 4.4. DHR has been shown to induce rhabdomyosarcoma and skin tumors in rats (Allen *et al.* 1975, Shumaker *et al.* 1976, Johnson *et al.* 1978, Mattocks and Cabral 1982), and limited data have shown a possible association between DHH and total tumors in rats (Peterson *et al.* 1983). A single spinal cord tumor was reported in one of ten rats injected with retronecine as newborns (Schoental and Cavanagh 1972) but the study lacked controls, and no other central nervous system tumors have been reported for riddelliine metabolites. Schoental and Cavanagh also reported 5 pituitary tumors and 1 mammary tumor in female rats from the same litter.

Other PAs also share the reactive metabolite DHP in common with riddelliine (see Section 5.1.3). Studies in which rats were exposed to other PAs have shown liver tumors to be the most common tumor type; however, neoplastic responses also were reported for other organs, including tumors of the CNS, lung, bladder, pancreas, skin, testes, pituitary, and adrenal gland (Table 5-9). Campbell (1956) reported that liver tumors developed in 6 of 18 chickens that received weekly i.v. injections of seneciphylline hydrochloride at 20 to 35 mg/kg b.w. for up to 8 weeks. Chickens fed a protein- and choline-deficient diet did not show a greater tendency to develop liver tumors.

**Table 5-9. Neoplastic lesions observed in rats exposed to various PAs other than riddelliine or plants containing these PAs**

| PA or plant  | Tumor types   | References (route of administration)   |
|--|---|--|
| Heliotrine   | Pancreatic islet cell tumor, hepatoma, testicular tumor   | Schoental 1975 (gavage)  |
| <i>Heliotropium ramosissimum</i> (Heliotrine)                            | Spinal cord tumor   | Schoental and Cavanagh 1972 (feed)   |
| <i>Heliotropium supinum</i> (PAs not reported)                           | Renal lipomatous tumor  | Schoental <i>et al.</i> 1971 (gavage)  |
| Lasiocarpine   | Liver tumor (including carcinoma), skin tumor (including carcinoma), pulmonary adenoma, intestinal tumor (including carcinoma)                              | Svoboda and Reddy 1972 (i.p.)<br>Svoboda and Reddy 1974 (i.p.)<br>Rao and Reddy 1978 (feed)<br>Rao <i>et al.</i> 1983 (feed) |
| Clivorine  | Hemangioendothelial sarcoma <sup>a</sup> , liver adenoma, testicular interstitial-cell tumor  | Kuhara <i>et al.</i> 1980 (drinking water)   |
| Hydroxysenkirkine  | Cerebral tumor  | Schoental and Cavanagh 1972 (i.p.)   |
| Petasitenine   | Liver hemangioendothelial sarcoma, liver adenoma  | Hirono <i>et al.</i> 1977 (drinking water)   |
| <i>Farfugium japonicum</i> (petasitenine & senkirkine)                   | Liver hemangioendothelial sarcoma, liver adenoma, adrenal cortical adenoma, pheochromocytoma, urinary bladder papilloma, testicular interstitial-cell tumor | Hirono <i>et al.</i> 1983 (feed)   |
| Senkirkine   | Liver adenoma   | Hirono <i>et al.</i> 1979 (i.p.)   |
| <i>Tussilago farfara</i> (common name is coltsfoot) (senkirkine)         | Liver hemangioendothelial sarcoma, liver tumor (including carcinoma), urinary bladder papilloma   | Hirono <i>et al.</i> 1976 (feed)   |
| <i>Senecio cannabifolius</i> (seneciphylline, acozine & senecicannabine) | Liver hemangioendothelial sarcoma, liver adenoma, adrenal cortical adenoma, pheochromocytoma, testicular interstitial-cell tumor, pituitary adenoma         | Hirono <i>et al.</i> 1983 (feed)   |
| <i>Amsinckia intermedia</i> (intermedine & lycopsamine)                  | Islet cell tumor (including adenocarcinoma), bladder papillary tumor, renal lipomatous tumor, uterine tumor   | Schoental <i>et al.</i> 1970 (gavage)<br>Schoental <i>et al.</i> 1971 (feed)   |
| <i>Senecio jacobaea</i> extract (jacobine, jacobine & jaconine)          | Liver tumor   | Cook <i>et al.</i> 1950 (drinking water)<br>Schoental <i>et al.</i> 1954 (drinking water)                                    |

|   |  |   |
|---|--|---|
| Monocrotaline   | Liver tumor (including carcinoma), pulmonary adenoma, adrenal adenoma, renal adenoma, rhabdomyosarcoma, leukemia | Allen <i>et al.</i> 1975 (s.c)<br>Shumaker <i>et al.</i> 1976 (s.c)<br>Newberne and Rogers 1973 (gavage)                  |
| Retrorsine  | Liver tumor (including carcinoma)  | Schoental <i>et al.</i> 1954 (drinking water)<br>Schoental 1957 (drinking water)<br>Schoental <i>et al.</i> 1971 (gavage) |
| <i>Senecio longilobus</i> (retrorsine)                            | Liver tumor (including carcinoma)  | Harris and Chen 1970 (feed)   |
| Retrorsine <i>N</i> -oxide) (also known as isatidine)             | Liver tumor (including carcinoma)  | Schoental <i>et al.</i> 1954 (drinking water)<br>Schoental 1957 (drinking water)  |
| Symphytine  | Liver tumor (including hemangioendothelial sarcoma)  | Hirono <i>et al.</i> 1979 (i.p.)  |
| <i>Symphytum officinale</i> (common name is comfrey) (symphytine) | Liver tumor <sup>b</sup>   | Hirono <i>et al.</i> 1978 (feed)  |

<sup>a</sup>Hemangioendothelial sarcoma is an alternative name for hemangiosarcoma.

<sup>b</sup>Urinary bladder tumors also developed but the authors could not draw any conclusions because one control had a tumor as well.

### 5.5.2 Genotoxicity

The data reviewed indicate that the genotoxic effects of riddelliine metabolites and analogues are similar to those reported for riddelliine. Rat liver microsomes converted riddelliine *N*-oxide to the genotoxic DHP metabolite, and incubation of rat liver microsomes with riddelliine *N*-oxide in the presence of calf thymus DNA produced the same set of DHP-derived DNA adduct peaks found in liver DNA of F344 rats fed riddelliine or the *N*-oxide (Chou *et al.* 2003a, Chou *et al.* 2003c). In rats given riddelliine *N*-oxide at 1.0 mg/kg b.w. for three consecutive days, the level of DNA adducts was  $39.9 \pm 0.6$  per  $10^7$  nucleotides, which was lower by a factor of 2.6 than in rats given the same dose of riddelliine. These results indicate that riddelliine *N*-oxide, through its conversion to riddelliine, is a potential genotoxic carcinogen. The riddelliine metabolite, DHR, can bind to calf thymus DNA to form DHP-modified DNA adducts (Yang *et al.* 2001a). DHR also was reported to be mutagenic in *S. typhimurium*, to induce sister chromatid exchange in human lymphocytes without exogenous metabolic activation, and to induce DNA-DNA and DNA-protein crosslinks (IARC 2002).

1 There are hundreds of riddelliine analogues; therefore, as mentioned above, a complete  
2 review of the genetic toxicology of these compounds is beyond the scope of this  
3 document. However, many of the PAs are metabolically activated to a common  
4 metabolite, DHP, that forms DNA adducts (see Section 5.2). For example, Chou and Fu  
5 (2006) detected DHP-derived DNA adducts in female Sprague-Dawley rats exposed to  
6 various PA-containing plants or extracts (e.g., comfrey root extract, comfrey compound  
7 oil, coltsfoot root extract) for 3 consecutive days. Fu *et al.* (2004) reviewed the  
8 metabolism and toxicity of the PAs and reported a variety of genotoxic effects, including  
9 DNA binding, DNA cross-linking, DNA-protein cross-linking, sister chromatid  
10 exchange, chromosomal aberrations, micronuclei and mutagenic effects in *Salmonella*  
11 *typhimurium* and *Drosophila melanogaster*. Mutagenic effects have been reported both  
12 for PA-containing plant extracts and for pure PAs. Several different PAs induced reverse  
13 mutations in *S. typhimurium* TA100 in the presence of metabolic activation.

14 IARC (1976, 1983) reported a number of genetic and related effects of other PAs  
15 (hydroxysenkirkine, isatidine, jacobine, lasiocarpine, monocrotaline, petasitenine,  
16 retrorsine, seneciophylline, senkirkine, and symphytine) including induction of mutations  
17 in mammalian cells *in vitro*, induction of recessive sex-linked lethal mutations in *D.*  
18 *melanogaster*, induction of several types of suppression mutations in *Aspergillus*  
19 *nidulans*, inhibition of DNA synthesis in rat liver, cross-linking of DNA *in vitro*,  
20 unscheduled DNA synthesis in rat hepatocytes and transformed cryopreserved hamster  
21 embryo cells, and chromosomal aberrations and forward mutations to 8-azaguanine  
22 resistance in V79 Chinese hamster cells.

## 23 **5.6 Toxicity**

24 In humans, both acute and chronic toxicity has occurred from ingesting foods  
25 contaminated with PAs, particularly herbal products (see Section 2.3.2) and grains and  
26 flours (see Section 2.3.3) (Selzer and Parker 1951, Tandon *et al.* 1978, Culvenor 1983,  
27 Huxtable 1989a, Mayer and Luthy 1993, Steenkamp *et al.* 2000, Conradie *et al.* 2005).  
28 The available data are consistent with the animal data and indicate that the liver is the  
29 primary target organ. A common lesion is occlusion of the central and sublobular hepatic  
30 veins resulting in veno-occlusive disease (Rietjens *et al.* 2005). Veno-occlusive disease

1 was first described in the 1950s in Jamaican children with centrilobular cirrhosis (Bras *et*  
2 *al.* 1954, Rollins 1986). These children experienced sudden onset of right upper quadrant  
3 pain, enlarged liver, and ascites. Liver biopsies revealed sublobular venous occlusion by  
4 intimal proliferation and fibrosis with an absence of thrombotic occlusion. Further  
5 investigation revealed that these children had a history of ingesting a tea known as “bush  
6 tea” made from local plants. The bush teas were made from leaves of *Crotalaria* or  
7 *Senecio* and contained PAs (Huxtable 1989a). Other symptoms of PA poisoning may  
8 include weakness, abdominal pain and swelling, diarrhea, vomiting, hepatomegaly, and  
9 ascites (Stewart and Steenkamp 2001).

10 Veno-occlusive disease was also reported in two infants (2 month old boy and 6 month  
11 old girl) in the United States who had consumed herbal tea prepared from *S. longilobus*, a  
12 plant known to contain riddelliine. The 2-month-old boy developed ascites,  
13 splenomegaly, hepatomegaly, and centrilobular hepatic necrosis and died after 6 days in  
14 the hospital. The 6-month-old girl initially showed signs of recovery but developed  
15 extensive liver fibrosis after 2 months and cirrhosis after 8 months.

16 As reviewed in Section 2.3.3, contamination of wheat with the seeds of *Heliotropium*  
17 *popovii* has resulted in large outbreaks of veno-occlusive disease in Afghanistan (7,800  
18 cases) and Tajikistan (3,906 cases) (Tandon *et al.* 1978, Mayer and Luthy 1993). Veno-  
19 occlusive disease has also consistently been associated with ingestion of comfrey teas  
20 (Ridker *et al.* 1985, Weston *et al.* 1987, Bach *et al.* 1989, McDermott and Ridker 1990).  
21 In 20 cases of veno-occlusive disease in South African children thought to be caused by  
22 exposure to traditional remedies (see Section 2.3.2), Steenkamp *et al.* (2000) confirmed  
23 the presence of PAs in the urine of 4 children for whom an on-admission urine specimen  
24 was available. Also in South Africa, retrorsine was determined to be present in the  
25 traditional herbal remedies administered to two sets of twin infants (a boy and a girl in  
26 each set) with veno-occlusive liver disease (Conradie *et al.* 2005).

27 At least one case of human embryotoxicity has been reported (Roulet *et al.* 1988). In this  
28 case, the mother drank one cup of herbal tea daily throughout her pregnancy. The tea  
29 contained 0.6 mg senecionine per kg dry weight. The mother showed no signs of toxicity;

1 however, the infant was born with fatal veno-occlusive disease. Toxicity is exacerbated  
2 by chronic, small doses, and infants are particularly susceptible. Mild cases of poisoning  
3 may resolve without long-term sequelae; however, in severe cases, liver failure from  
4 cirrhosis and veno-occlusive disease commonly occurs months to years after exposure.  
5 Culvenor (1983) estimated that a daily dose of > 1 mg/day for 2 weeks, or > 0.1 mg/day  
6 for longer periods could cause liver disease in humans.

7 Riddelliine and other PAs are toxic to farm animals, causing liver disease in cattle, and  
8 “walking disease” in horses, characterized by aimless wandering and cirrhosis of the liver  
9 (Johnson *et al.* 1985b). Several investigators have reported on the toxic effects in cattle or  
10 horses (Vardiman 1952, Cheeke 1984, Johnson and Molyneux 1984, Johnson *et al.*  
11 1985b, Molyneux *et al.* 1988, Craig *et al.* 1991, Molyneux *et al.* 1991), and sheep or  
12 goats (Harris *et al.* 1957, Cheeke 1984). Chronic terminal hepatopathy may develop in  
13 cattle and horses after consuming 5% to 10% of their body weight in PA-containing  
14 plants (Lodge-Ivey *et al.* 2005). The toxicity of riddelliine also has been demonstrated in  
15 experimental studies with exposure of calves to riddelliine-containing plants. *S. riddellii*  
16 produced typical signs of PA-induced liver damage when fed to calves at a daily total  
17 alkaloid dose of 15 mg/kg b.w. in the feed for 20 days (Johnson *et al.* 1985b). Molyneux  
18 *et al.* (1988) also reported liver damage in a calf fed dried *S. riddellii* leaves mixed in  
19 chopped alfalfa hay providing 30 mg/kg b.w. riddelliine to the animal for three 20-day  
20 periods interspersed by 30- and 60-day nonexposure periods. In another study, both liver  
21 damage and pulmonary edema occurred when calves were administered 45 mg/kg b.w. of  
22 PAs (4.5 mg/kg of riddelliine and 40.5 mg/kg of riddelliine-*N*-oxide) in the feed for 20  
23 days (Molyneux *et al.* 1991). Calves fed tansy ragwort, either continuously or for 60 days  
24 followed by a return to normal feed, developed terminal hepatopathy with the onset of a  
25 moribund state or sudden death at 11 to 17 weeks and 27 to 51 weeks, respectively (Craig  
26 *et al.* 1991). Johnson and Molyneux (1984) fed cattle threadleaf groundsel (*S. longilobus*)  
27 by gavage, mixed in alfalfa hay, or pelleted in feed. The minimum lethal dose in cattle  
28 that were dosed by gavage was approximately 200 mg of PAs/kg body weight in a 15-day  
29 period (13 mg PAs/kg/day), while cattle that consumed up to 600 mg of PA/kg in 20- to  
30 100-day periods in hay or pellets were not affected or were minimally affected.



Species differences in sensitivity to PA toxicity have been related to differences in metabolic activation of the PAs to their corresponding pyrrole metabolites. Sheep, guinea pigs, rabbits, gerbils, and hamsters are resistant, whereas rats, cattle, horses, and chickens are highly susceptible (Cheeke and Pierson-Goeger 1983, Cheeke 1984, Rietjens *et al.* 2005). Lodge-Ivey *et al.* (2005) reported that a consortium of bacteria isolated from the rumen of sheep were capable of detoxifying PAs found in *S. jacobaea*, and this is believed to be a primary protective factor against PA toxicity in sheep. Japanese quail (Buckmaster *et al.* 1977) and rabbits (Pierson *et al.* 1977) were resistant to chronic intoxication when fed *S. jacobaea* but were susceptible to injected PAs. No mortality occurred in Japanese quail fed a diet containing 10% *S. jacobaea* for up to one year; however, changes in liver histology were noted (Buckmaster *et al.* 1977). The LD<sub>50</sub> of i.p. injected *Senecio* alkaloid was 115 mg/kg in quail. Eggs from quail hens were fertile and yielded normal chicks. No gross lesions or changes in serum protein levels occurred in rabbits fed *S. jacobaea* for 263 days; however, microscopic changes in the liver were observed (Pierson *et al.* 1977). Two rabbits injected with 150 mg PA/kg died in less than 24 hours.

As discussed in Section 4.3, exposure of laboratory animals to riddelliine increased the incidences of liver, kidney, and spleen lesions in rats and mice and bone marrow, lung, stomach, and lymph nodes lesions in rats (NTP 2003). After the liver, the lungs are the next most common site of toxic action of PAs in experimental animals, but not all PAs affect the lungs (Mattocks 1986). As in the liver, lung damage is caused by the pyrrolic ester metabolites, and the primary site of damage is the pulmonary vasculature. Eleven-member macrocyclic diesters such as monocrotaline are known to be particularly active in the lungs. Monocrotaline pyrrole caused lung injury, pulmonary hypertension, and right ventricular hypertrophy in rats (Ganey *et al.* 1986, Ganey *et al.* 1988). Pulmonary lesions in rats have only been observed at doses that were equal to or greater than the doses required to induce liver damage. Monocrotaline also has caused pulmonary arterial hypertension and right ventricular hypertrophy in non-human primates, but not in humans (Stewart and Steenkamp 2001). The mechanism of pulmonary toxicity is thought to involve delivery of long-lived pyrrole metabolites to the lungs by erythrocytes.

1 Some data suggest that male rats and mice may be more sensitive to riddelliine toxicity  
2 than females (NTP 2003). However, no sex-related differences were observed in the  
3 kinetics of two metabolic pathways, *N*-oxidation and DHP formation (Williams *et al.*  
4 2002), indicating that other factors may be responsible for the observed sex difference in  
5 tumorigenicity, including formation of the toxic metabolites, such as the pyrrolic ester,  
6 bound pyrroles, and DHP-derived DNA adducts, which are believed to directly cause  
7 toxicity. In rats, Yan *et al.* (2002) found levels of DHP-derived DNA adducts in the blood  
8 48 to 168 hours after riddelliine administration to be 4-fold higher in females than in  
9 males.

## 10 **5.7 Summary**

### 11 *5.7.1 Absorption, distribution, metabolism and excretion*

12 Riddelliine and other PAs are absorbed primarily via ingestion (though dermal absorption  
13 can occur), distributed to the liver, and excreted in the urine and feces. Riddelliine has  
14 three primary metabolic pathways: (1) hydrolysis of the ester group(s) to form the necine  
15 base, (2) oxidation of the necine base (of riddelliine) to the corresponding *N*-oxide (which  
16 may be reduced to riddelliine), and (3) hydroxylation of the necine base (of riddelliine),  
17 followed by dehydration to form the corresponding dehydroriddelliine (pyrrolic)  
18 derivative. This pyrrolic derivative is then hydrolyzed to form the racemic ( $\pm$ )-6,7-  
19 dihydro-7-hydroxy-1-hydroxymethyl-5*H*-pyrrolizine (DHP), which is a 50/50 mixture of  
20 the optically pure DHR and DHH enantiomers. Metabolism of PAs to the reactive  
21 pyrrolic ester metabolites in rodents and humans is mainly catalyzed by CYP3A and  
22 CPY2B6 isozymes of cytochrome P450. Metabolism of PAs to the corresponding *N*-  
23 oxides is catalyzed by both cytochrome P450 and flavin-containing monooxygenase.

### 24 *5.7.2 DHP adducts*

25 DHP can bind to DNA, which may be a key step leading to its genotoxicity and  
26 tumorigenicity. A set of eight DHP-derived adduct peaks has been detected in DNA  
27 reacted with riddelliine in the presence of rat microsomes. Dose-dependent DHP adduct  
28 formation has also been detected in livers of rats and mice exposed to riddelliine. Adduct  
29 levels were higher in endothelial cells than in parenchymal cells in rats and were more  
30 persistent in endothelial cells than in parenchymal cells in both rats and mice. The kinetic

parameters ( $V_{\max}$  and  $K_m$ ) for formation of DHP are comparable in rat and human microsomes, and the same profile of DHP adduct peaks is detected, demonstrating that this pathway occurs in humans.

#### 5.7.3 Mechanistic studies and considerations

DNA adduct formation may play a role in the genotoxicity of riddelliine. Riddelliine induced a higher frequency of mutations in non-neoplastic endothelial cells (but not in parenchymal cells) in the *cII* gene mutation assay in transgenic Big Blue rats. The predominant mutations observed were G·C→T·A transversions, which is consistent with riddelliine-induced formation of DNA adducts involving G·C base pairs.

#### 5.7.4 Genetic damage and related effects

Riddelliine induced mutations in a *S. typhimurium* strain (TA100) that detects base-pair substitutions (in the presence of metabolic activation) but not in three other *S. typhimurium* strains that detect frameshift mutations (with or without metabolic activation). In addition to mutations, riddelliine also induced other types of genetic damage in mammalian experimental studies. *In vitro*, riddelliine increased the frequency of sister chromatid exchange and chromosomal aberrations in Chinese hamster ovary cells, cell transformation in BALB/c-3T3 fibroblast cells, and DNA crosslinking, but not DNA strand breaks in bovine kidney epithelial cells. In rats exposed *in vivo*, riddelliine induced S-phase synthesis in hepatocytes and endothelial cells and increased p53 expression in endothelial cells but did not induce micronucleus formation in polychromatic erythrocytes. In mice, riddelliine caused unscheduled hepatocyte DNA synthesis (in females only), but did not induce micronucleus formation. Mutations in the *k-ras* gene and p53 gene expression were detected in hemangiosarcomas from mice treated with riddelliine.

Riddelliine metabolites appear to cause damage to endothelial cells, as shown by karyomegaly and cytomegaly in endothelial cells and accumulation of intravascular macrophages in many organs. Short-term exposure to riddelliine in rats increased apoptosis and S-phase nuclei in endothelial cells and hepatocytes. Increased levels of p53 protein were detected in endothelial cells, and vascular endothelial growth factor (VEGF), an endothelial cell-specific mitogen, was increased in hepatocytes.

1 Development of hemangiosarcoma in the liver may have resulted from endothelial cell  
2 DNA adduct formation, apoptosis, proliferation of endothelial cells, and mutations.  
3 Increased expression of VEGF protein also could have contributed by stimulating  
4 endothelial-cell proliferation.

#### 5 *5.7.5 Carcinogenicity and genotoxicity of metabolites and analogues*

6 Metabolites and analogues of riddelliine have shown carcinogenic and genotoxic  
7 properties in experimental animals. Since many of the PAs share a common metabolic  
8 activation pathway, the genotoxic and carcinogenic effects are similar to those observed  
9 with riddelliine. DHP-DNA adducts, mutations, clastogenic effects, liver tumors in rats  
10 and, to a lesser extent, tumors of other organs, including the CNS, lung, bladder,  
11 pancreas, skin, testes, pituitary, and adrenal gland, have been observed in studies with  
12 other PAs or plant extracts containing PAs.

#### 13 *5.7.6 Toxicity*

14 The liver is the primary target organ in humans, experimental animals, and livestock.  
15 Veno-occlusive disease is a characteristic lesion in humans poisoned by PAs. Other  
16 common effects in humans include ascites, splenomegaly, hepatomegaly, centrilobular  
17 hepatic necrosis, and cirrhosis. Young children appear to be particularly susceptible since  
18 many of the case reports involve infants and young children. Livestock poisoned by  
19 ingesting PA-containing plants often develop fatal liver disease. [The available data  
20 indicate interspecies differences in susceptibility with sheep, guinea pigs, gerbils,  
21 hamsters, and rabbits showing resistance, while rats, cattle, horses, and chickens are  
22 highly susceptible.] The lungs are the second most common site of PA toxicity, but not  
23 all PAs affect the lungs. The primary site of damage is the pulmonary vasculature. The  
24 11-member macrocyclic diesters such as monocrotaline are particularly active in the lung  
25 but only at doses that were equal to or greater than doses causing liver toxicity.

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## Glossary of Terms

**Boiling point:** The boiling point of the anhydrous substance at atmospheric pressure (101.3 kPa) unless a different pressure is stated. If the substance decomposes below or at the boiling point, this is noted (dec). The temperature is rounded off to the nearest °C.

**Density:** The density for solids and liquids is expressed in grams per cubic centimeter ( $\text{g/cm}^3$ ) and is generally assumed to refer to temperatures near room temperature unless otherwise stated. Values for gases are generally the calculated ideal gas densities in grams per liter at 25°C and 101.325 kPa.

**Epimer:** A type of isomer in which the difference between the two compounds is the relative position of the hydrogen group and hydroxyl group on the last asymmetric carbon atom of the chain.

**Exogenous:** Due to an external cause; not arising within the organism.

**Fibroadenoma:** A benign tumor derived from glandular epithelium, commonly occurs in breast tissue.

**Hemangiosarcoma:** A malignant tumor characterized by rapidly proliferating cells derived from the blood vessels and lining irregular blood-filled spaces.

**Hemoptysis:** the coughing up of blood or mucus containing blood from the respiratory tract.

**Henry's Law constant at 25°C:** The ratio of the aqueous-phase concentration of a chemical to its equilibrium partial pressure in the gas phase. The larger the Henry's law constant the less soluble it is (greater tendency for vapor phase).

**Hepatectomy:** Removal of the liver.

**Hepatocytomegaly:** The production of abnormal hepatocytes (the most common cell type) in the liver.

**$K_m$ :** A kinetic parameter used to characterise an enzyme, defined as the concentration of substrate that permits half maximal rate of reaction.

**Lipophilic:** Having a strong affinity for fats.

**Log octanol-water partition coefficient ( $\log K_{ow}$ ):** The ratio of concentrations of a substance in octanol and in water, when dissolved in a mixture of octanol and water. For convenience, the logarithm of  $K_{ow}$  is used. The octanol/water partition coefficient of a substance is useful as a means to predict soil adsorption, biological uptake, lipophilic storage, and bioconcentration.

**Melting point:** The melting point of the substance at atmospheric pressure (101.3 kPa). When there is a significant difference between the melting point and the freezing point, a range is given. In case of hydrated substances (i.e., those with crystal water), the apparent melting point is given. If the substance decomposes at or below its melting point, this is noted (dec). The temperature is rounded off to the nearest °C.

**Molecular weight:** The molecular weight of a substance is the weight in atomic mass units of all the atoms in a given formula. The value is rounded to the nearest tenth.

**Neoplasm:** Tumor.

**Negative log acid dissociation constant ( $pK_a$ ):** A measure of the degree to which an acid dissociates in water (a measurement of acid strength). The  $pK_a$  is the negative logarithm (to the base 10) of the acid dissociation constant ( $K_a$ ); the lower the  $pK_a$ , the stronger the acid.

**Optical rotation:** Rotation of the plane of polarization of plane-polarized light, or of the major axis of the polarization ellipse of elliptically polarized light by transmission through a substance or medium.

**Physical state:** Substances may either be gases, liquids, or solids according to their melting and boiling points. Solids may be described variously as amorphous, powders, pellets, flakes, lumps, or crystalline; and the shape of the crystals is specified if available.

Solids also may be described as hygroscopic or deliquescent depending upon their affinity for water.

**Poly-3 test:** A survival-adjusted statistical test that takes survival differences into account by modifying the denominator in the numerical (quantal) estimate of lesion incidence to reflect more closely the total number of animal years at risk. For analysis of a given tumor site, each animal is assigned either (1) a risk weight of one if the animal had a lesion at that site or if it survived until terminal sacrifice or (2) a risk weight that is the fraction of the entire study time that it survived, raised to the 3rd power, if the animal died prior to terminal sacrifice and did not have a lesion at that site. The resulting test is similar to the Cochran-Armitage trend test, with the adjusted tumor rates replacing the observed tumor rates in the test statistic (Portier and Bailer 1989, Bieler and Williams 1993). The Poly-3 test is based on the more general Poly-k test; however, Bailer and Portier (1988) showed that the Poly-3 test gave valid results if the true value of k was anywhere in the range of 1 to 5.

**Polyarteritis:** Simultaneous inflammation of a number of arteries.

**Rhabdomyosarcoma:** A malignant tumor derived from skeletal muscle.

**Solubility:** The ability of a substance to dissolve in another substance and form a solution.

**Tincture:** An alcoholic extract of an herb or other material.

**Transgenic:** An animal that carries a foreign gene that has been deliberately inserted into its genome.

**Vapor density, relative:** A value that indicates how many times a gas (or vapor) is heavier than air at the same temperature. If the substance is a liquid or solid, the value applies only to the vapor formed from the boiling liquid.

**Vapor pressure:** The pressure of the vapor over a liquid (and some solids) at equilibrium, usually expressed as mm Hg at a specific temperature (°C).

**Veno-occlusive disease:** Blockage of the small veins in the liver, resulting in liver damage.

**$V_{\max}$ :** The maximum initial velocity of an enzyme catalysed reaction.